

# Lect 7 • QHE conductance of a non-interacting system

(1)

Let's use the Landau gauge  $\vec{A} = -By, 0$ , then

$$H = \frac{P_y^2}{2m} + \frac{1}{2} m \omega_c^2 \left( y + \frac{\ell_B^2 P_x}{\hbar} \right)^2 = \frac{P_y^2}{2m} + \frac{(P_x + \frac{e}{c} By)^2}{2m}$$

Defin  $\begin{cases} D_z = z \partial_z + \frac{i}{\ell_B^2} y \\ D_{\bar{z}} = z \partial_{\bar{z}} + \frac{i}{\ell_B^2} y \end{cases}$        $z = x + iy$        $x = \frac{z + \bar{z}}{2}$        $\partial_z = \frac{1}{2}(\partial_x - i\partial_y)$   
 $\bar{z} = x - iy$        $y = \frac{z - \bar{z}}{2i}$        $\partial_{\bar{z}} = \frac{1}{2}(\partial_x + i\partial_y)$

**Prove:**  $H = -\frac{\hbar^2}{2m} D_z D_{\bar{z}} + \text{const}$

Proof:  $D_z D_{\bar{z}} = \left( z \partial_z + \frac{i}{\ell_B^2} y \right) \left( z \partial_{\bar{z}} + \frac{i}{\ell_B^2} y \right)$

$$= 4 \partial_z \partial_{\bar{z}} + \frac{i}{\ell_B^2} z (\partial_z y + y \partial_{\bar{z}}) - \frac{1}{\ell_B^4} y^2$$

$$= (\partial_x^2 + \partial_y^2) + \frac{i}{\ell_B^2} 2y \partial_x - \frac{1}{\ell_B^4} y^2 + \frac{1}{\ell_B^2}$$

$\Rightarrow H = -\frac{\hbar^2}{2m} D_z D_{\bar{z}} + \frac{1}{2} \hbar \omega$

also  $[D_z, D_{\bar{z}}] = \frac{2i}{\ell_B^2} \left\{ [\partial_z, y] + [y, \partial_{\bar{z}}] \right\} = \frac{2i}{\ell_B^2} \left[ \frac{-i}{2} [\partial_x, y] + \frac{i}{2} [y, \partial_y] \right] = \frac{-2}{\ell_B^2}$

The ground state satisfies

$$D_{\bar{z}} \psi(x, y) = 0,$$

HW: prove that  $\psi(x, y) = f(z) e^{-\frac{y^2}{2\ell_B^2}}$

where  $f(z)$  is an analytic function.

For infinite system, we have  $\psi(x,y) = e^{ikx} e^{-\frac{(y+kl_B)^2}{2l_B^2}}$  (2)

$$\rightarrow \psi(x,y) \propto e^{ik(x+iy)} e^{-\frac{y^2}{2l_B^2}}$$

But this wavefunction does not obey magnetic translation symmetry. We will construct Landau levels satisfying magnetic Bloch theorem. Defining the magnetic unit cell  $l_x \times l_y$  enclosing a fundamental flux  $\Phi_0$ .

For the Landau gauge  $\vec{A} = -By, 0$ , the magnetic translation

$$T_{lx} = e^{-lx\partial_x}, \quad T_{ly} = e^{-ly\partial_y - i ly x / l_B^2}$$

plug in  $\psi_{\vec{k}}(x,y) = f_{\vec{k}}(z) e^{-\frac{y^2}{2l_B^2}}$ , use  $\vec{k} = (k_x, k_y)$

$$\Rightarrow T_{lx} \psi_{\vec{k}}(x,y) = \psi(x-lx, y) = e^{-ik_x lx} \psi(x,y)$$

$$f_{\vec{k}}(z-lx) = e^{-ik_x lx} f_{\vec{k}}(z) \Rightarrow f_{\vec{k}}(z+lx) = e^{ik_x lx} f_{\vec{k}}(z)$$

$$T_{ly} \psi_{\vec{k}}(x,y) = e^{-i ly x / l_B^2} \psi(x, y-ly) = e^{-ik_y ly} \psi(x,y)$$

$$\Rightarrow f_{\vec{k}}(z-ily) e^{-\frac{(y+ly)^2}{2l_B^2}} = e^{i ly x / l_B^2 - ik_y ly} f_{\vec{k}}(z) e^{-\frac{y^2}{2l_B^2}}$$

$$f_{\vec{k}}(z+ily) e^{-\frac{(y-ly)^2}{2l_B^2}} = e^{-i ly x / l_B^2 + ik_y ly} f_{\vec{k}}(z) e^{-\frac{y^2}{2l_B^2}}$$

$$\Rightarrow f_{\vec{k}}(z+ily) = e^{ik_y ly} e^{-i \frac{lx ly}{l_B^2} \left[ \frac{x+iy}{lx} + i \frac{ly}{2lx} \right]} f_{\vec{k}}(z)$$

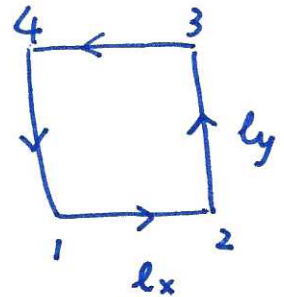
②

Since  $l_0^2 = \frac{\hbar c}{|eB|}$ ,  $l_x l_y = \frac{\phi_0}{B} = \frac{hc}{eB} \Rightarrow \frac{l_x l_y}{l_0^2} = 2\pi$

$$\Rightarrow \boxed{f_{\vec{k}}(z+ily) = e^{ik_y l_y} e^{-i\pi \left[ \frac{2z}{l_x} + \tau \right]} f_{\vec{k}}(z)}$$

where  $\tau = i l_y / l_x$  is the modular factor.

$$N_\phi = \oint_{\gamma} \frac{dz}{2\pi i} \frac{f'(z)}{f(z)} = \frac{1}{2\pi i} \oint d \ln f$$



$$= \frac{1}{2\pi i} \left[ \int_1^2 + \int_2^3 + \int_3^4 + \int_4^1 d \ln f \right]$$

$$= \frac{1}{2\pi i} \left[ \int_1^2 \{ \ln f(z) - \ln [f(z+ily)] \} + \int_3^4 d [ \ln f(z+l_x) - \ln f ] \right]$$

$$\ln \frac{f(z+l_x)}{f} = ik_x l_x \Rightarrow d \ln \left( \frac{f(z+l_x)}{f} \right) = 0$$

$$\ln \frac{f(z+ily)}{f} = ik_y l_y - i\pi \frac{2z}{l_x} + l_y / l_x$$

$$d \ln \frac{f(z+ily)}{f} = i\pi 2 \frac{dx}{l_x}$$

$$\Rightarrow N_\phi = \frac{1}{2\pi i} \cdot 2\pi i \int_1^2 dx / l_x = 1$$

$\Rightarrow$

$f(z)$  has one node  
in the magnetic  
unit cell!

We define  $f(z) = e^{ikz} \theta_1\left(\frac{z-z_0}{l_x} \mid \tau\right)$ , where  $k$  and  $z_0$

(4)

to be determined. Here  $\theta_1$  is the Jacobi  $\theta$ -function

$$\theta_1(u \mid \tau) = -i \sum_{n=-\infty}^{\infty} (-1)^n q^{(n+1/2)^2} e^{i(2n+1)\pi u}$$

$$= 2 \sum_{n=0}^{\infty} (-1)^n q^{(n+1/2)^2} \sin(2n+1)\pi u, \text{ with } q = e^{i\pi\tau} = q^{-\pi ly/l_x}$$

$$\theta_1 \text{ satisfies } \begin{cases} \theta_1(u+1) = -\theta_1(u) \\ \theta_1(u+\tau) = -N\theta_1(u) \end{cases} \text{ with } N = q^{-1} e^{-2\pi u i}$$

$\theta_1(u)$  zeros are at  $m + m'\tau$ . (single zeros)

Then condition  $f_{k_x, k_y}(z + l_x) = e^{ik_x l_x} f_{k_x, k_y}(z) \Rightarrow$

$$\Rightarrow e^{ik_x l_x} \theta_1\left(\frac{z-z_0}{l_x} + 1 \mid \tau\right) = e^{ik_x l_x} \theta_1\left(\frac{z-z_0}{l_x} \mid \tau\right) \Rightarrow \boxed{e^{ik_x l_x} = -e^{ik_x l_x} \text{ (1)}}$$

$$f_{k_x, k_y}(z + i l_y) = e^{ik_y l_y} e^{-i\pi \left[\frac{2z}{l_x} + \tau\right]} f_{k_x, k_y}(z)$$

$$e^{-k_y l_y} \theta\left(\frac{z-z_0}{l_x} + \tau \mid \tau\right) = e^{ik_y l_y - i\pi \left(\frac{2z}{l_x} + \tau\right)} \theta\left(\frac{z-z_0}{l_x} \mid \tau\right)$$

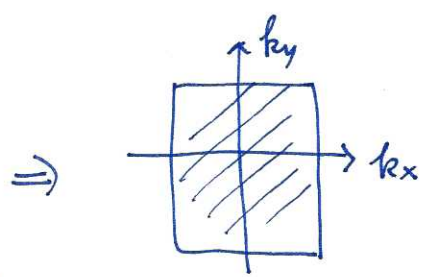
$$-e^{-k_y l_y} e^{-i\pi\tau} e^{-2\pi i \left(\frac{z-z_0}{l_x}\right)} = e^{ik_y l_y - i\pi \frac{2z}{l_x} - i\pi\tau}$$

$$\Rightarrow \boxed{e^{ik_y l_y} = -e^{-k_y l_y + 2\pi i \frac{z_0}{l_x}} \text{ (2)}}$$

$$\text{From (1)} \Rightarrow k l_x = k_x l_x + \pi \Rightarrow k = -k_x + \pi/l_x$$

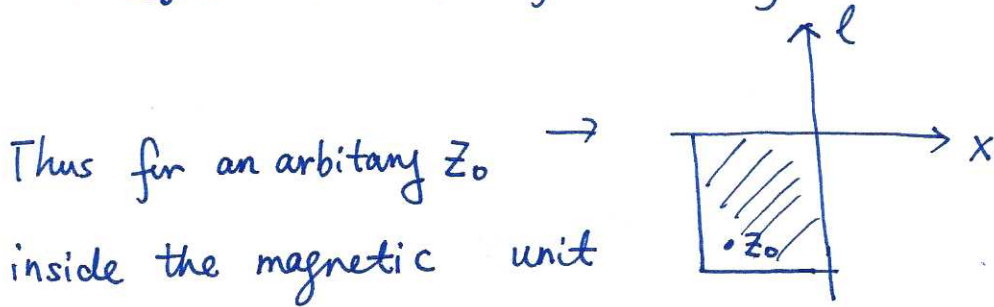
$$k_y l_y = 2\pi i \frac{z_0}{l_x} + \pi + i k_y l_y \Rightarrow z_0 = \frac{l_x l_y [k_y - i k_x]}{2\pi} - \left[\frac{l_x + i l_y}{2}\right]$$

For  $k_x \in [-\frac{\pi}{l_x}, \frac{\pi}{l_x}]$ ,  $k_y \in [-\frac{\pi}{l_y}, \frac{\pi}{l_y}]$



(5)

$\Rightarrow z_0 \in 0, -l_x, -ily, -l_x - ily$



there exist a  $\vec{k} = (k_x, k_y)$ , such that  $\int_{k_x, k_y} f_{k_x, k_y}(z_0) = 0$ .

or express  $k_x l_x = \theta_x$ , and  $k_y l_y = \theta_y$

we have

$$\vec{k} = \frac{\theta_x}{l_x} + \frac{\pi}{l_x}, \quad z_0 = \frac{l_x \theta_y - ily \theta_x}{2\pi} - \frac{l_x + ily}{z}$$

According to  $\psi_{k_x, k_y}(x, y) = e^{ik_x x + ik_y y} u_{k_x, k_y}(x, y)$

$$= f_{k_x, k_y}(z) e^{-\frac{y^2}{2l_y^2}}$$

$\Rightarrow u_{k_x, k_y}(x, y) = N e^{-i(k_x x + k_y y) - \frac{y^2}{2l_y^2}} f_{k_x, k_y}(z)$  where  $N$  is normalization factor

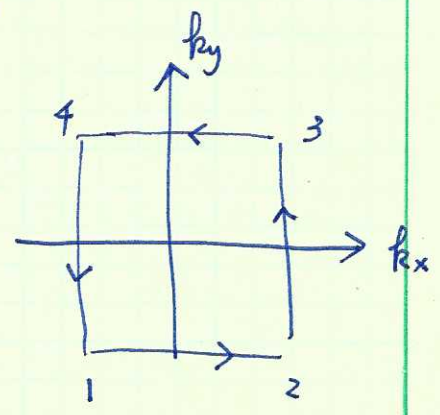
$$A(\vec{k}) = \int_{\text{unit cell}} d\vec{r} u_{k_x, k_y}^*(x, y) i \nabla_{k_x} u_{k_x, k_y}(x, y)$$

since  $e^{ik_x x + ik_y y}$  is a pure phase

$$\nabla_{k_x} \left( e^{-i(k_x x + k_y y)} \nabla_{k_x} e^{ik_x x + ik_y y} \right) = 0$$

We use  $A_{\alpha}(\vec{k}) = N(k) \int_{\text{unit cell}} d\vec{r} \left( f_{\vec{k}}^* i \partial_{k_{\alpha}} f_{\vec{k}} \right) e^{-\frac{y^2}{2\ell_B^2}}$

$= N(k) \int_{\text{unit cell}} d\vec{r} |f_{\vec{k}}(\vec{r})|^2 e^{-\frac{y^2}{2\ell_B^2}} i \partial_{k_{\alpha}} \ln f_{\vec{k}}(\vec{r})$



Then

$\sigma_{xy} = \frac{e^2}{h} \frac{1}{2\pi} \oint d\vec{k} \cdot \vec{A}_{k_{\alpha}}$

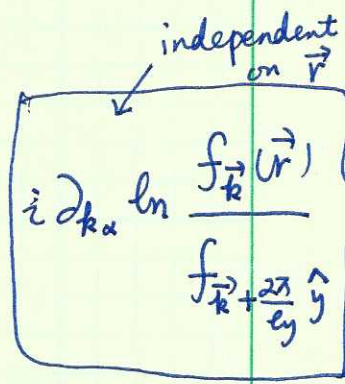
$= \frac{e^2}{h} \frac{1}{2\pi} \left[ \int_1^2 dk_x \left( A_{k_x}(\vec{k}) - A_{k_x}(\vec{k} + \frac{2\pi}{\ell_y} \hat{y}) \right) + \int_1^4 dk_y \left( -A_{k_y}(\vec{k}) + A_{k_y}(\vec{k} + \frac{2\pi}{\ell_x} \hat{x}) \right) \right]$

since  $f_{\vec{k}}(\vec{r})$  and  $f_{\vec{k} + \frac{2\pi}{\ell_y} \hat{y}}(\vec{r})$  represents the same state,

they can only differ by an overall phase factor  $f_{\vec{k} + \frac{2\pi}{\ell_y} \hat{y}}(\vec{r}) = e^{i\Delta\theta_{\vec{k}}} f_{\vec{k}}(\vec{r})$

$\theta_{\vec{k}}$  is independent on  $\vec{r}$ . then

$A_{k_x}(\vec{k}) - A_{k_x}(\vec{k} + \frac{2\pi}{\ell_y} \hat{y}) = N(\vec{k}) \int_{\text{unit cell}} d\vec{r} |f_{\vec{k}}(\vec{r})|^2 e^{-\frac{y^2}{2\ell_B^2}} i \partial_{k_x} \ln \frac{f_{\vec{k}}(\vec{r})}{f_{\vec{k} + \frac{2\pi}{\ell_y} \hat{y}}(\vec{r})}$



$= N(\vec{k}) (+\Delta\theta_{\vec{k}}) \int_{\text{unit cell}} d\vec{r} |f_{\vec{k}}(\vec{r})|^2 e^{-\frac{y^2}{2\ell_B^2}} = +\Delta\theta_{\vec{k}} \text{ (for } \vec{k} \text{ along } 1 \rightarrow 2)$

similarly if we define  $f_{\vec{k} + \frac{2\pi}{\ell_x} \hat{x}}(\vec{r}) = e^{i\Delta\theta_{\vec{k}}} f_{\vec{k}}(\vec{r})$

then 
$$-A_{ky}(\vec{k}) + A_{ky}(\vec{k} + \frac{2\pi}{l_x} \hat{x}) = -\Delta \theta_{\vec{k}} = i \partial_{ky} \ln \frac{f_{\vec{k} + \frac{2\pi}{l_x} \hat{x}}(\vec{r})}{f_{\vec{k}}(\vec{r})}$$

↑  
independent on  $\vec{r}$

⇒ overall

$$\sigma_{xy} = \frac{e^2}{h} \frac{(-)}{2\pi i} \left[ \int_1^2 + \int_2^3 + \int_3^4 + \int_4^1 d\vec{k} \cdot \partial_{\vec{k}} \ln f_{\vec{k}}(\vec{r}) \right]$$

we can choose our  $\vec{r}$  such that  $f_{\vec{k}}(\vec{r}) \neq 0$  for all  $\vec{k}$  along the path.

recall 
$$f_{\vec{k}}(z) = e^{ikz} \theta_1\left(\frac{z-z_0}{l_x} \mid \tau\right)$$

$$= e^{i(kx + \pi/l_x)z} \theta_1\left[\frac{z}{l_x} - \frac{ly(ky - ikx)}{2\pi} \mid \tau\right]$$

For a given  $z \Rightarrow$   $k_{0,y} - ik_{0,x} = \frac{2\pi z}{l_x l_y}$ , which sets  $f_{\vec{k}_0}(z) = 0$

as  $\vec{k}$  around  $\vec{k}_0$ ,  $\frac{z-z_0}{l_x}$  around 0 with phase winding  $2\pi$ .

⇒  $f_{\vec{k}}(z)$  phase winds  $2\pi$  since  $\theta_1$  has single zero.

⇒  $\frac{1}{2\pi i} \oint d\vec{k} \partial_{\vec{k}} \ln f_{\vec{k}}(z) = \pm 1$  ← vorticity for the wavefunction parameter goes round

⇒  $\sigma_{xy} = \pm \frac{e^2}{h}$   $\vec{k}_0$