

Lect 6 Lie's 1st, 2nd, 3rd theorems, Lie algebra ①

§ Lie's 1st theorem — how infinitesimal element determines the Lie group?

Theorem one: the linear representation of a connected Lie group is determined by its generators.

Proof: consider $RS = T \rightarrow t_j = f_j(r; s)$

then $D(R) = D(T) D(S^{-1})$, take derivatives with respect to r

$$\frac{\partial D(R)}{\partial r_k} = \frac{\partial D(T)}{\partial r_k} D(S^{-1}) = \sum_t \frac{\partial D(T)}{\partial t_j} \frac{\partial f_j(r; s)}{\partial r_k} D(S^{-1})$$

Set $S = R^{-1}$, and take $T = E$ for $\frac{\partial D(T)}{\partial t_j}$, we have

$$\frac{\partial D(R)}{\partial r_k} = -i \left(\sum_j I_j S_{jk}(r) \right) D(R), \text{ with}$$

$$I_j = i \frac{\partial D(T)}{\partial t_j} \Big|_{T=E} \quad \text{and} \quad S_{jk}(r) = \frac{\partial f_j(r; s)}{\partial r_k} \Big|_{s=\bar{r}}$$

$S_{jk}(r)$ is determined matrix function, actually it's non-singular.

(it's the group element transformation, its determinant is the Jacobian of volume element transformation. I_j 's are the generators

we have a first order differential matrix equation, with the

initial condition $D(E) = I$. If we want to know the matrix

at group element R , we can integrate the evolution equation follow

a path from $E \rightarrow R$.

Question: if we have two paths, should we obtain consistent results?

Example: $SO(2)$ group representation

: We take the rotation angle as the group parameter

$$f(\omega_1, \omega_2) = \omega_1 + \omega_2, \quad S(\omega_1) = \left. \frac{\partial f(\omega_1, \omega_2)}{\partial \omega_1} \right|_{\omega_2 = \bar{\omega}_1} = 1$$

$$\left[\begin{array}{l} \frac{\partial D(\hat{n}, \omega)}{\partial \omega} = -i I D(\hat{n}, \omega) \\ \text{with } D(\hat{n}, 0) = 1 \end{array} \right] \Rightarrow D(\hat{n}, \omega) = e^{-i I \omega}$$

If we diagonalize I , then $D(\hat{n}, \omega)$ is a direct sum of 1D representations

According to $D(\omega + 2\pi) = D(\omega) \Rightarrow$ eigenvalues of I should be integers

$$\Rightarrow D^{(m)}(\hat{n}, \omega) = e^{-im\omega}$$

If we know the rotation axis \hat{n} , $\rightarrow I = \sum I_a n_a$

$$\Rightarrow D(\hat{n}, \omega) = e^{-i I_a n_a \omega} = e^{-i I_a n_a \omega}$$

• Corollary

• If for 2 representations, their generator $\bar{I}_j = X^{-1} I_j X$,

then the 2 representations are equivalent

• An irreducible representation, \Leftrightarrow ~~if~~ a matrix commutable with all the generators, it must be a constant matrix.

• $I_j^{(1)}$ and $I_j^{(2)}$ are two non-equivalent irreducible representations generators of

their dimensions m_1 , and m_2 . If there exist $m_1 \times m_2$ matrix X

such that $I_j^{(1)} X = X I_j^{(2)}$ then $X \equiv 0$.

Theorem 2: The generators of the linear representations of Lie group satisfy the commutation relation

$$I_j I_k - I_k I_j = i \sum_l C_{jk}^l I_l, \text{ where } C_{jk}^l = \left\{ \frac{\partial S_{lk}(r)}{\partial r_j} - \frac{\partial S_{lj}(r)}{\partial r_k} \right\}$$

Conversely, if there exist 'g' matrices satisfy the above relation, then they can be used as a set of generators of Lie group.

C_{jk}^l is a set of real numbers, which is independent of concrete Reps.

Prove: Start with $\frac{\partial D(r)}{\partial r_k} = -i \left(\sum_j I_j S_{jk}(r) \right) D(r) \leftarrow \begin{matrix} D = T S^{-1} \\ t_j = f_j(r; s) \end{matrix}$

where $I_j = i \frac{\partial D(T)}{\partial t_j} \Big|_{T=E}$, and $S_{jk}(r) = \frac{\partial f_j(r; s)}{\partial r_k} \Big|_{s=\bar{r}}$.

Then
$$\begin{aligned} \frac{\partial^2 D(r)}{\partial r_j \partial r_k} &= -i \sum_l I_l \frac{\partial S_{lk}(r)}{\partial r_j} D(r) - i \sum_l I_l S_{lk}(r) \frac{\partial D(r)}{\partial r_j} \\ &= -i \sum_l I_l \frac{\partial S_{lk}(r)}{\partial r_j} D(r) - \sum_l I_l S_{lk}(r) I_p S_{pj}(r) D(r) \end{aligned}$$

exchange j and k

$$\frac{\partial^2 D(r)}{\partial r_k \partial r_j} = -i \sum_l I_l \frac{\partial S_{lj}(r)}{\partial r_k} D(r) - \sum_l I_l I_p S_{lj}(r) S_{pk}(r) D(r)$$

If the solution of $D(r)$ is unique, along any path (topo-equivalent) we should have

$$\frac{\partial^2 D(r)}{\partial r_j \partial r_k} = \frac{\partial^2 D(r)}{\partial r_k \partial r_j} \Rightarrow$$

$$\sum_p [I_l I_p - I_p I_l] S_{lj}(r) S_{pk}(r) = i \sum_l I_l \left[\frac{\partial S_{lk}(r)}{\partial r_j} - \frac{\partial S_{lj}(r)}{\partial r_k} \right]$$

multi-ply the inverse $\bar{S}_{j\ell}(r) \bar{S}_{k\rho}(r) \Rightarrow$

$$I_{\ell'} I_{\rho'} - I_{\rho'} I_{\ell'} = i \sum_{\epsilon} I_{\epsilon} \left[\frac{\partial S_{\ell k}(r)}{\partial r_j} - \frac{\partial S_{\ell j}(r)}{\partial r_k} \right] \bar{S}_{j\ell}(r) \bar{S}_{k\rho}(r)$$

or $I_j I_k - I_k I_j = i \sum_{\epsilon} I_{\epsilon} C_{jk}^{\epsilon}$, with $C_{jk}^{\epsilon} = \sum_{pq} \left(\frac{\partial S_{pq}(r)}{\partial r_p} - \frac{\partial S_{pq}(r)}{\partial r_q} \right) \bar{S}_{pj}(r) \bar{S}_{qk}(r)$

Since LHS is independent of r , so does C_{jk}^{ϵ} , set $r=E, \Rightarrow$

$$C_{jk}^{\epsilon} = \sum_{pq} \left\{ \frac{\partial S_{pq}(r)}{\partial r_j} - \frac{\partial S_{pq}(r)}{\partial r_k} \right\} \Big|_{r=0}$$

I will skip the proof of the converse, which is tedious. But use SOE as an illustration:

For $SO(3)$ $[L_a, L_b] = i \sum_d \epsilon_{abd} L_d$, then $C_{ab}^d = \epsilon_{abd}$.

Let use find matrices of L_a satisfying this relation.

Introduce $L_{\pm} = L_1 \pm iL_2$, then $[L_3, L_{\pm}] = \pm L_{\pm}$, $[L_+, L_-] = 2L_3$

$$L^2 = L_3^2 + L_3 - L_- L_+ = L_3^2 - L_3 + L_+ L_-, \text{ and } [L^2, L_a] = 0.$$

Denote $|m\rangle$ as L_3 's eigenstate: $\begin{cases} L_3 |m\rangle = m |m\rangle \\ L_3 L_{\pm} |m\rangle = (m \pm 1) L_{\pm} |m\rangle \end{cases}$

Hence for finite dimensional Rep, there exist a highest weight state

satisfying $\begin{cases} L_+ |l\rangle = 0 \\ L_3 |l\rangle = l |l\rangle \end{cases} \Rightarrow L^2 = l(l+1).$

Starting from $|l\rangle$, successively apply L_- , such that

$$L_-^n |l\rangle \neq 0, \text{ but } L_-^{n+1} |l\rangle = 0. \quad = l(l+1) L_-^n |l\rangle$$

The $L_3 L_-^n |l\rangle = (l-n) L_-^n |l\rangle$, and then $L^2 L_-^n |l\rangle = [(l-n)^2 - (l-n)] L_-^n |l\rangle$

$$\Rightarrow (l-n)(l-n-1) = l(l+1) \Rightarrow n=2l$$

hence $l = n/2$, which can be integer, or, half an integer.

Denote $L_- |m\rangle = A_m |m-1\rangle, -l \leq m \leq l$

$$\langle m | L_+ L_- |m\rangle = \langle m | L^2 - L_3^2 + L_3 |m\rangle = |A_m|^2$$

$$A_m^2 = l(l+1) - m^2 + m = (l+m)(l-m+1), \text{ use the convention } A_m > 0$$

$$\Rightarrow L_- |m\rangle = \sqrt{(l+m)(l-m+1)} |m-1\rangle$$

Similarly $L_+ |m\rangle = \sqrt{(l-m)(l+m+1)} |m+1\rangle$

This set of L_3, L_{\pm} are just what we derive from the Lie group D-matrix by taking derivatives. Hence Solving the Lie group problem is reduced to solve the Lie algebra.

Theorem 3: the structure constant

① $C_{jk}^l = -C_{kj}^l$ antisymmetric by switching j and k

② The generators should satisfy the Jacobi identity

$$[[I_j, I_k], I_l] + [[I_k, I_l], I_j] + [[I_l, I_j], I_k] = 0$$

$$\Rightarrow \sum_p \{ C_{jk}^p C_{pl}^q + C_{kl}^p C_{pj}^q + C_{lj}^p C_{pk}^q \} = 0$$

The structure constants of Lie group satisfy the above relations.

Conversely, for a set of C_{jk}^l satisfying these relations, we can also construct a Lie group.

Lie groups share the same structure constants have the same local structure, but can have different global structure.

For example:

U(2) locally ~ U(1) x SU(2), but globally U(2) ≠ U(1) ⊗ SU(2)

Since ±I ∈ both U(1), and SU(2).

U(2) : e^{iφ} [h_0 - ih_3, -h_2 - ih_1; h_2 - ih_1, h_0 + ih_3], φ → φ + π can be the same as h → -h.

hence U(2) = U(1) ⊗ SU(2) / Z_2

(half quantum vortex — Alice string)

§ The adjoint Rep:

D(R) I_j D^{-1}(R) = Σ_k I_k D_{kj}^{ad}(R), take R as infinitesimal elements.

D(R) = 1 - i Σ_l v_l I_l, D^{-1}(R) = 1 + i Σ_l v_l I_l

⇒ D_{kj}^{ad}(R) = δ_{kj} - i Σ_l v_l (I_l^{ad})_{kj}

⇒ I_j - i Σ_l v_l I_l I_j + i Σ_l v_l I_j I_l = I_j - i Σ_l v_l (I_l^{ad})_{kj} I_k

⇒ [I_l, I_j] = I_k (I_l^{ad})_{kj} ⇒ (I_l^{ad})_{kj} = i C_{lj}^k

or ~~...~~ or (I_j^{ad})_{kl} = i C_{je}^k

HW: Use Lie's 3rd theorem, prove that (I_j^{ad})_{kl} = i C_{jl}^k indeed satisfy the commutation relation [I_j^{ad}, I_k^{ad}] = i C_{jk}^p I_p^{ad}

For example, for $SU(2)$ and $SO(3)$ $C_{jl}^k = \epsilon_{kjl}$

$$\Rightarrow (I_a^{ad})_{bd} = i C_{ad}^b = i \epsilon_{adb} = -i \epsilon_{abd} = (T_a)_{bd}$$

$$\underbrace{C_{ad}^b}_{\text{ad}} = \epsilon_{ad} \underbrace{b}$$

The structure constants are actually parameter dependent.

For compact Lie-group, it's always possible to find real parameters

such that the structure factors are antisymmetry for its 3 indices. fully purely

Then it means that the adjoint Rep can be written as purely imaginary anti-symmetric matrix.

* Definition of Lie algebra

$$[(-iI_j), (-iI_k)] = \sum_l C_{jk}^l (-iI_l)$$

$(-iI_j)$ can be viewed as a set of basis, to span a real linear space. In such a space, we use above commutation relation as the definition of product. Hence such a space is closed for the product, hence, form an algebra. It's a real Lie algebra.

If we allow the superposition coefficients to be complex, then it's complex Lie ~~algebra~~ algebra. Different real Lie algebras can share

the same complex lie algebra. For example, the Lorentz group

$SO(3,1)$ has a real non-compact Lie algebra. $SO(4)$ has a compact

real algebra. Nevertheless, they share the same complex Lie algebra. (8)

If a Lie group G has an invariant sub Lie group H , their Lie algebras are denoted as L_G and L_H , whose dimensions are g and h respectively. Assume H has h parameters, I_μ with $1 \leq \mu \leq h \in L_H$ and $A(\alpha)$ is infinitesimal element of H , then

$$D(A) = 1 - i \sum_{\mu=1}^h \alpha_\mu I_\mu, \text{ for a } R \in G,$$

$$D(R) = 1 - i \sum_{j=1}^g r_j I_j, \text{ then } D(R) D(A) D^{-1}(R) \in L_H$$

$$= 1 - i \sum_{j=1}^g \sum_{\mu=1}^h r_j \alpha_\mu [I_j, I_\mu]$$

$$= 1 - i \sum_{\nu=1}^h \beta_\nu I_\nu$$

Hence $[I_j, I_\mu] \in L_H$, for any $I_j \in L_G$ and $I_\mu \in L_H$.

Then L_H is called the ideal of L_G .

If a group does not have non-trivial ideal, then it's called the simple Lie group! And its algebra is simple Lie algebra.

According to

$$[I_i, I_j] = \sum_k I_k (I_{ij}^{\text{ad}})_k$$

$$D(R) I_j D^{-1}(R) = \sum_k I_k D_{kj}^{\text{ad}}(R)$$

The necessary & sufficient conditions for a Lie group to be a simple Lie group is that the adjoint Rep is irreducible.

* Casimir

If the structure factor is fully anti-symmetric, the sum of square of each generators commute with each generator

$$\begin{aligned}
 \left[\sum_j I_j^2, I_k \right] &= \sum_j I_j [I_j, I_k] + [I_j, I_k] I_j \\
 &= i \sum_{j \neq k} I_j I_l \left(C_{jk}^l + C_{lk}^j \right) = 0
 \end{aligned}$$

hence $\sum_j I_j^2 = C_2(\lambda) \mathbb{1}$, $C_2(\lambda)$ is a Rep dependent constant,

which is call Casimir.

For compact simple Lie group, then its adjoint Rep is irreducible.

We introduce $g \times g$ matrix $T_{jk} = \text{Tr} [I_j I_k]$ where I_j is the generator in the λ -representation. Then the adjoint Rep is also

$g \times g$. It can proved that $[I_p^{ad}, T] = 0$, for any P .

HW: prove this!

Then T is a constant matrix $\Rightarrow T_{jk} = \text{Tr} [I_j I_k] = \delta_{jk} T_2(\lambda)$

where $T_2(\lambda)$ is a constant. We only need to know one generator, the concrete form of then $T_2(\lambda)$ can be read out. Then from

$$\text{Tr} [I_j I_k] = \delta_{jk} T_2(\lambda) \Rightarrow \text{set } j=k, \text{ sum over } j$$

$$\Rightarrow \text{Tr} \left[\sum_j I_j^2 \right] = T_2(\lambda) \cdot g = C_2(\lambda) m_\lambda \Rightarrow C_2(\lambda) = T_2(\lambda) \cdot g / m_\lambda$$

Example: For $SO(3)$, $[I_j, I_k] = i \epsilon_{jkl} I_l$

Then $T_{jk} = \text{tr}[I_j I_k] = \delta_{jk} T_2(\lambda)$

take the diagonal $\text{tr}[I_3^2] = 2 \sum_{m=1}^{\lambda} m^2 = \lambda(\lambda+1)(2\lambda+1)/3 = T_2(\lambda)$

Then $g T_2(\lambda) = 3 \cdot \frac{\lambda(\lambda+1)(2\lambda+1)}{3} = C_2(\lambda) \cdot (2\lambda+1)$

$\Rightarrow C_2(\lambda) = \lambda(\lambda+1)$.

HW: work out the Casimir for half-integer spin Representation of $SU(2)$