

# 1. Number field and field extension

§ Motivation — factorization of polynomials

$x^2 - 2$  cannot be further factorized on the rational number field, but can be factorized as  $(x - \sqrt{2})(x + \sqrt{2})$  in the real number field.  $x^2 + 1$  cannot be factorized in the complex number field but can be factorized as  $(x - i)(x + i)$  in the complex number field. Rational ( $\mathbb{Q}$ ), real ( $\mathbb{R}$ ), and complex ( $\mathbb{C}$ ) are typical number fields.  $\mathbb{Q}$  is closed under  $+$   $-$   $\times$   $\div$  operations,  $\mathbb{R}$  is closed under  $\sqrt[n]{x}$  ( $x \geq 0$ ), and  $\mathbb{C}$  is closed under any radical operations.

# definition:

A set of numbers denoted as  $F$ : ①  $F$  contains 0 and 1.

②  $F$  is closed under  $+$   $-$   $\times$   $\div$  (divisor  $\neq 0$ ).

Then  $F$  is called a number field.

Examples: ①  $F$  must contain all integers. And rational numbers  $\mathbb{Q}$

is the minimal number field.

② Real number  $\mathbb{R}$  and complex number  $\mathbb{C}$  are also number fields.

But the jump from  $\mathbb{Q}$  to  $\mathbb{R}$  is too big, In comparison, from  $\mathbb{R}$  to  $\mathbb{C}$  is only to add " $i$ " which is not that big. But  $\mathbb{Q}$  is countable, and  $\mathbb{R}$  is continuous. We can imagine  $\mathbb{R}$  has much more degrees of freedom than  $\mathbb{Q}$ . We can gradually add new elements to  $\mathbb{Q}$  to extend it. This is an example of "field extension".

Example: In order to solve  $x^2 - 2 = 0$ , we add  $\sqrt{2}$  to  $\mathbb{Q}$ , then we have the set of  $a + b\sqrt{2}$  ( $a, b \in \mathbb{Q}$ ). This also forms a number field  $\mathbb{Q}(\sqrt{2})$ .

Test:  $(a_1 + b_1\sqrt{2})(a_2 + b_2\sqrt{2}) = (a_1a_2 + 2b_1b_2) + (a_1b_2 + a_2b_1)\sqrt{2}$

$$\frac{a_1 + b_1\sqrt{2}}{a_2 + b_2\sqrt{2}} = \frac{a_1a_2 - 2b_1b_2}{a_2^2 - 2b_2^2} + \frac{a_2b_1 - a_1b_2}{a_2^2 - 2b_2^2} \sqrt{2}$$

$\mathbb{Q}(\sqrt{2})$  is the minimal number field containing  $\mathbb{Q}$  and  $\sqrt{2}$ .

The set of  $a + bi$  ( $a, b \in \mathbb{Q}$ ) form a number field  $\mathbb{Q}(i)$ , which is the minimal number field containing  $\mathbb{Q}$  and  $i$ .

When we talk about polynomials, we need to consider the set of its coefficients belong to. If the coefficients of a polynomial are defined in the field  $K$ , then it's called the polynomial on the field  $K$ . If  $f(x)$  can be factorized in  $K$ , then  $f(x)$  is reducible in  $K$ . For example,  $x^2 - 2$  is reducible on  $\mathbb{R}$ , but irreducible on  $\mathbb{Q}$ , and it also reducible in  $\mathbb{Q}(\sqrt{2})$ .

### § Field extension

For two number fields  $F$  and  $K$ , if  $F \subset K$ , then  $K$  is the extended field of  $F$ , and  $F$  is called the subfield of  $F$ .

**Algebraic extension:** if  $c$  is a root of an irreducible equation on  $F$

$$h_0 + h_1x + \dots + h_nx^n = 0, \text{ then all the numbers in the form of}$$

$a_0 + a_1c + \dots + a_{n-1}c^{n-1}$  with  $(a_0, a_1, \dots, a_{n-1} \in F)$  form a field  $F(c)$ .

$F(c)$  is the algebraic extension of  $F$ , and is the minimal extension containing  $c$ . We can view  $1, c, \dots, c^{n-1}$  as independent bases, and  $n$

is the relative dimension of  $F(c)$  to  $F$ , denoted as

$[F(c): F] = n$ , then we say  $F(c)$  is  $F$ 's  $n$ -th order extension.

We can add generators  $c_1$  and  $c_2$  one by one. There's the following theorem:

If  $c_1$  is a root of an irreducible equation in the field  $F$ , and  $c_2$  is a root of an irreducible equation in the extend field  $F(c_1)$ , we extend  $F(c_1)$  by adding  $c_2$  and arrive at  $F(c_1, c_2)$ . We can also reverse the process, and arrive at  $F(c_2, c_1)$ , then  $F(c_1, c_2) = F(c_2, c_1)$ . There exists a root  $c$  of irreducible equation on  $F$ , such that  $F(c) = F(c_1, c_2) = F(c_2, c_1)$ .

Example: Add  $\sqrt{2}$  into  $\mathbb{Q} \rightarrow \mathbb{Q}(\sqrt{2})$ . Further add  $i$  to  $\mathbb{Q}(\sqrt{2})$ , we have  $\mathbb{Q}(\sqrt{2}, i)$ , which is the same as  $\mathbb{Q}(i, \sqrt{2})$ . Then we can also add  $c = \sqrt{2} + i$  to  $\mathbb{Q}$ ,  $\rightarrow \mathbb{Q}(\sqrt{2} + i)$ .  $c = \sqrt{2} + i$  is a root of  $x^4 - 2x^2 + 1 = 0$ .

We can show that  $\mathbb{Q}(\sqrt{2} + i) = \mathbb{Q}(\sqrt{2}, i)$ .

We can generalize it to adding  $c_1, \dots, c_k$ , such that the extended field  $F(c_1, c_2, \dots, c_k)$  is independent on the order of adding. And there exists a  $c$ , which is a root of an irreducible equation in  $F$ , such that

$$F(c) = F(c_1, c_2, \dots, c_k).$$

## § Root field and normal extension

\*  $f(x)$  is a polynomial on the field  $F$ . The minimal extension  $K$  such that  $f(x)$  can be completely factorized as  $f(x) = (x-u_1) \cdots (x-u_n)$ , in  $K$ , is called the root field.  $K = F(u_1, \dots, u_n)$ , and there exists a "c"

— a root of an irreducible equation in  $F$ , such that  $F(c) = F(u_1, \dots, u_n)$ .

example:  $x^3 - 2 = 0$  is an equation on  $\mathbb{Q}$ , which has roots  $\sqrt[3]{2}, \sqrt[3]{2}\omega, \sqrt[3]{2}\omega^2$  with  $\omega = e^{i\frac{2\pi}{3}}$ . Its root field  $\mathbb{Q}(\sqrt[3]{2}, \sqrt[3]{2}\omega, \sqrt[3]{2}\omega^2) = \mathbb{Q}(\sqrt[3]{2}, \omega)$ .

Obviously  $[\mathbb{Q}(\sqrt[3]{2}); \mathbb{Q}] = 3$ , and  $\omega$  satisfies quadratic equation

$x^2 + x + 1 = 0$  defined on  $\mathbb{Q}(\sqrt[3]{2})$ , then  $[\mathbb{Q}(\sqrt[3]{2}, \omega); \mathbb{Q}(\sqrt[3]{2})] = 2$ .

$\swarrow$   
 $x^3$  and higher order can be expressed as linear combinations of  $x$  and 1

Hence,  $[\mathbb{Q}(\sqrt[3]{2}, \omega); \mathbb{Q}] = [\mathbb{Q}(\sqrt[3]{2}, \omega); \mathbb{Q}(\sqrt[3]{2})][\mathbb{Q}(\sqrt[3]{2}); \mathbb{Q}] = 3 \times 2 = 6$ .

### \* Conjugate numbers:

If  $u$  and  $v$  satisfy a same irreducible equation on  $F$ , then we can say  $u$  and  $v$  are conjugate on  $F$ .

example: ①  $1 \pm i$  are complex conjugate since they satisfy  $x^2 - 2x + 2 = 0$ .

②  $\sqrt[3]{2}, \sqrt[3]{2}\omega, \sqrt[3]{2}\omega^2$  are conjugate on  $\mathbb{Q}$ , since they satisfy  $x^3 - 2 = 0$ .

Case ② shows that we can have more than two numbers in a conjugate set.

For the field extension, it's possible that only part of the conjugate members are included: For example,  $\mathbb{Q}(\sqrt[3]{2})$  does not contain  $\sqrt[3]{2}\omega$  and  $\sqrt[3]{2}\omega^2$ .

## \* Normal extension

It will be much more convenient if we include all the numbers in the same conjugacy set when extending the field. This kind of extension is called the **normal extension**. More formally, if  $N$  is a normal extension of  $F$ , it satisfies: For any  $u \in N$ , then all numbers conjugate on the field  $F$  also belong to  $N$ . There's the following theorem

Theorem:  $N$  is  $F$ 's normal extension  $\iff N$  is the root field of an equation  ~~$x$~~  in the field of  $F$ . (Proof is complicated and omitted).

For example, for  $x^3 - 2 = 0$ . Its root field  $\mathbb{Q}(\sqrt[3]{2}, \omega)$  is a normal extension of  $\mathbb{Q}$ . It contains  $\sqrt[3]{2}$ ,  $\sqrt[3]{2}\omega$  and  $\sqrt[3]{2}\omega^2$ .