

# Real space RG — Kadanoff's picture (I)

## { Block spins

Instead of directly calculating partition function, Kadanoff developed an insight that coupling constant of an effective Hamiltonian should depend on the length scale over which the order parameter is defined. We will use the Ising model as an example:

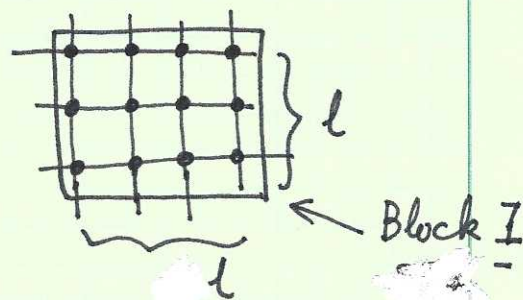
$$\beta H = -\beta J \sum_{\langle ij \rangle} S_i S_j - \beta B \sum_i S_i = -K \sum_{\langle ij \rangle} S_i S_j - h \sum_i S_i$$

where  $K = \beta J$ ,  $h = \beta B$  are dimensionless parameters.

Use  $f_s(t, h)$  to denote the singular part of the free energy per spin near  $T_c$ . We define coarse graining parameter  $l$ , such that

$$a \ll la \ll \xi(T).$$

A block with each site length  $l$ :



define block spin

$$S_I \equiv \frac{1}{|\bar{m}_l|} \frac{1}{l^d} \sum_{i \in I} S_i \quad (d \text{ is the space dimension})$$

$$\text{and } \bar{m}_l \equiv \frac{1}{l^d} \sum_{i \in I} \langle S_i \rangle, \quad \text{thus } \langle S_I \rangle = \pm 1.$$

We should be able to express the effective Hamiltonian at the level of block spin with size  $la$ , i.e.

$$-\beta H_L = K_l \sum_{\langle IJ \rangle} S_I S_J + h_l \sum_I S_I,$$

Then the correlation length measured in the bigger lattice constant  $la$  is smaller i.e.  $\xi = \xi_e(la) = \xi_1 a$ , thus  $\xi_e = \xi_1/l$ .

Since  $\xi_e < \xi_1$ , the effective Hamiltonian must be further away from criticality than the original system, at a different reduce temperature  $t_1$ .

Similarly the effective magnetic field  $h$  is also rescaled to  $h_e$ .

$$h \sum_i S_i \cong h \bar{m}_e l^d \sum_I S_I \equiv h_e \sum_I S_I \Rightarrow h_e = h \bar{m}_e l^d.$$

For the coarsed system, we have

$$N l^{-d} f_s(t_e, h_e) = N f_s(t, h) \Rightarrow \boxed{f_s(t_e, h_e) = l^d f_s(t, h)}$$

Block spin free energy.

Near the critical region, we assume

$$\boxed{\begin{aligned} t_e &= t l^{y_t}, & y_t > 0 \\ h_e &= h l^{y_h}, & y_h > 0 \end{aligned}}$$

we arrive at the homogeneous form of free energy

$$f_s(t, h) = l^{-d} f_s(t l^{y_t}, h l^{y_h}).$$

if we set  $l = |t|^{-1/y_t}$ , we have

$$\boxed{\begin{aligned} f_s(t, h) &= |t|^{d/y_t} f(1, h |t|^{-y_h/y_t}) = |t|^{2-\alpha} F_f(h/|t|^\Delta) \\ \text{with } \Delta &\equiv y_h/y_t, & 2-\alpha &\equiv d/y_t \text{ and } F_f(x) \equiv f_s(1, x). \end{aligned}}$$

This was the starting point of the scaling hypothesis.

### \* Correlation functions

The correlation function of the block spin

$G(r_e, t_e) \equiv \langle S_I S_J \rangle - \langle S_I \rangle \langle S_J \rangle$ .  $r_e$  is the distance between the centers of the blocks I and J in the units of  $la$ , i.e.  $r_e(la) = ra$ .

Then what's relation between  $G(r_e, t_e)$  and  $G(r, t)$ ?

The average magnetization  $\bar{m}_e = \frac{h_e l^{-d}}{h} = l^{y_h - d}$ .

The correlation function transform as

$$G(r_e, t_e) = \frac{1}{|\bar{m}_e|^2} \frac{1}{l^{2d}} \sum_{i \in I} \sum_{j \in J} \langle S_i S_j \rangle - \langle S_i \rangle \langle S_j \rangle$$

$$= \frac{1}{(l^{y_h - d})^2} \frac{1}{l^{2d}} l^d l^d \langle S_i S_j \rangle - \langle S_i \rangle \langle S_j \rangle \approx l^{2(d - y_h)} G(r, t)$$

add  $h$ , we have

$$G(r_e, t_e, h_e) = l^{2(d - y_h)} G(r, t, h)$$

$$\text{or } G(r/l, t l^{y_t}, h l^{y_h}) = t^{2(d - y_h)} G(r, t, h)$$

Set  $l = t^{-1/y_t} \Rightarrow$

$$G(r, t, h) = t^{2(d - y_h)/y_t} G(r t^{1/y_t}, h t^{-y_h/y_t}, 1)$$

since  $r t^{1/y_t}$  is combined together, we may reorganize

$$G(r, t, h) = r^{-2(d - y_h)} (r t^{1/y_t})^{2(d - y_h)} G(r t^{1/y_t}, h t^{-y_h/y_t}, 1)$$

$$\triangleq r^{-2(d - y_h)} F(r t^{1/y_t}, h t^{-y_h/y_t})$$

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This is just the scaling form that we assumed in Fisher scaling law: with the following correspondence

$$v = 1/y_e, \quad z(d - y_h) = d - z + \eta, \quad \Delta = y_h/y_t$$

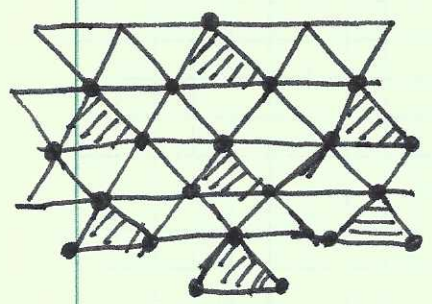
In Kadanoff's picture, we do not treat all the freedom at once, but progressively coarse graining the degree of freedom and coupling constants vary with the change of length scale.

# Real space RG - 2d Ising model (II)

①

Now let us apply Kadanoff's idea to the 2D Ising model on triangular lattice (we don't use square lattice for technical reasons). We will follow the following steps

- ① Define block spin cluster and values for block spin,
- ② calculate partial trace,
- ③ find the RG transformation,
- ④ find the fixed points,
- ⑤ calculate the critical exponents.



$$H = -J \sum_{\langle ij \rangle} S_i S_j - \frac{\mu_B}{B} \sum_i S_i$$

and  $\mathcal{H} = -\beta H = K \sum_{\langle ij \rangle} S_i S_j - h \sum_i S_i$

with  $K = \frac{J}{k_B T}$  and  $h = \frac{\mu_B B}{k_B T}$ .

The traditional method is to calculate

$$Z = \sum_{\{S_i\}} e^{-\mathcal{H}(\{S_i\}, K, h)}$$

and we obtain

$$F = -k_B T \ln Z = F(K, h),$$

but Kadanoff did not

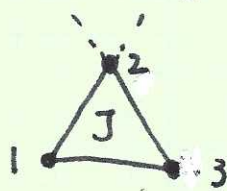
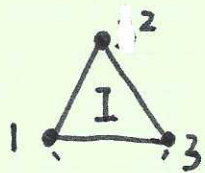
aim at an exact solution of  $Z(K, h)$ .

① We define Kadanoff blocks as above: each block consists of three sites. The center of clusters also form a triangular lattice with  $a' = \sqrt{3}a$ . The number of clusters  $N' = N/3$ .

$$H = -K \sum_{\langle ij \rangle} S_i S_j - h \sum_i S_i = H_0 + V$$

$H_0$  is the intra-cluster term defined as (we use I, J as block indexes)

$$H_0 = \sum_I H_0^I = \sum_I -K (S_1^I S_2^I + S_2^I S_3^I + S_3^I S_1^I)$$

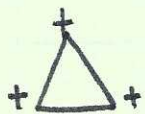


$$V = \sum_{\langle IJ \rangle} -K (S_1^I S_2^J + S_3^I S_2^J) + \dots - h \sum_{i=1}^N S_i$$

We use the majority rule to define the value of block spin.

$$S_I = \text{Sgn} (S_1^I + S_2^I + S_3^I)$$

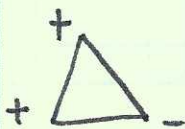
spin config  $(S_1^I, S_2^I, S_3^I) \longrightarrow (S_I, \sigma)$  blockspin internal index



$$(1, 1, 1)$$



$$(1, 1)$$



$$(1, 1, -1)$$



$$(1, 2)$$

$$\begin{array}{c} + \\ \triangle \\ + \end{array} \quad (1, -1, 1) \quad \rightarrow \quad (1, 3)$$

$$\begin{array}{c} - \\ \triangle \\ + \end{array} \quad (-1, 1, 1) \quad \rightarrow \quad (1, 4)$$

Similarly, we also have the other four configurations

$$(S_I, \sigma) = (-1, 1), (-1, 2), (-1, 3) \text{ and } (-1, 4).$$

The above rule define the mapping from  $S_i^I \rightarrow S_I'$ .

(' means block variable, or, slow variable).

② Partial trace

$$\begin{aligned} Z &= \sum_{\{S_i\}} e^{-H\{S_i\}} = \sum_{\{S_I'\}} \left\{ \sum_{\{\sigma\}} e^{-(H_0 + V)} \right\} \\ &= \sum_{\{S_I'\}} e^{-H'\{S_I'\}} = G \end{aligned}$$

$$e^{-H'\{S_I'\}} = G \equiv \sum_{\{\sigma_I\}} e^{-(H_0 + V)} = A \cdot \left\langle \sum' e^{-V} \right\rangle_0$$

$$\text{where } A \equiv \sum_{\{\sigma_I\}} e^{-H_0}, \text{ and } \left\langle \sum' e^{-V} \right\rangle_0 \equiv \frac{\sum_{\{\sigma_I\}} e^{-V} e^{-H_0}}{\sum_{\{\sigma_I\}} e^{-H_0}}$$

$\left\langle \sum' e^{-V} \right\rangle_0$  is doing average in a fixed anfigure of block spin  $S_I'$  (slow variable)

but average over the internal index  $\sigma_I$ .

↑ (fast variable).

This is called partial trace.

$$\langle \bar{e}^V \rangle_0 = \sum_{n=0}^{\infty} \frac{(H)^n}{n!} \langle V^n \rangle_0$$

$$\Rightarrow Z = \sum_{\{S_I\}} e^{-(H'+G)} \quad \text{and} \quad e^{-(H'+G)} = A \langle \bar{e}^V \rangle_0$$

$$\Rightarrow H' + G = -\ln A = \ln \langle \bar{e}^V \rangle_0$$

Set  $G = -\ln A$ , which is an uninteresting part.

$$\ln \langle \bar{e}^V \rangle_0 = \ln (1 + \langle V \rangle_0 + \frac{1}{2!} \langle V^2 \rangle_0 + \dots)$$

$$= \{ \langle V \rangle_0 + \frac{1}{2!} \langle V^2 \rangle_0 + \dots \} - \frac{1}{2} \{ \langle V \rangle_0 + \frac{1}{2!} \langle V^2 \rangle_0 + \dots \}^2 + \frac{1}{3} \{ \langle V \rangle_0 + \dots \}^3 + \dots$$

$$= \langle V \rangle_0 + \frac{1}{2!} \{ \langle V^2 \rangle_0 - \langle V \rangle_0^2 \} - \frac{1}{3!} \{ \langle V^3 \rangle_0 - 3 \langle V \rangle_0 \langle V^2 \rangle_0 + 2 \langle V \rangle_0^3 \} + \dots$$

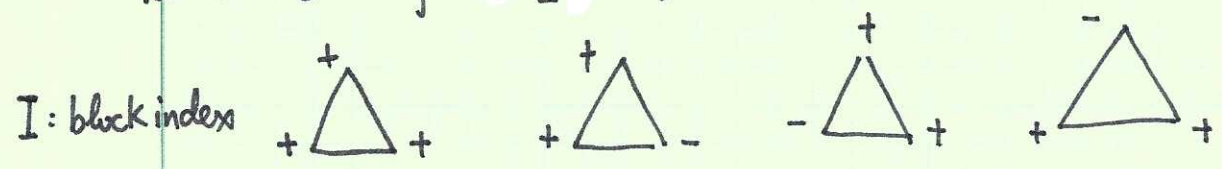
② RG transformation:

keep to the first order

$$\langle V \rangle_0 = \sum_{\langle IJ \rangle} -K (\langle S_I^I S_J^J \rangle_0 + \langle S_3^I S_2^J \rangle_0) - h \sum_{\langle I \rangle} (\langle S_1^I \rangle_0 + \langle S_2^I \rangle_0 + \langle S_3^I \rangle_0)$$

$$\langle V \rangle_0 = -2K \sum_{\langle IJ \rangle} \langle S_I^I \rangle_0 \langle S_J^J \rangle_0 - 3h \sum_{\langle I \rangle} \langle S_I^I \rangle_0$$

For the case of  $S_I = 1$ ,



$H_0^I$  -3K K K K

$$\sum_{\sigma=1,2,3,4} e^{-H_0} = e^{3K} + 3e^{-K} \triangleq g_0$$

For the case of  $S_I = -1$ , we have the same  $\sum_{\sigma=1,2,3,4} e^{-H_0} = e^{3K} + 3e^{-K}$



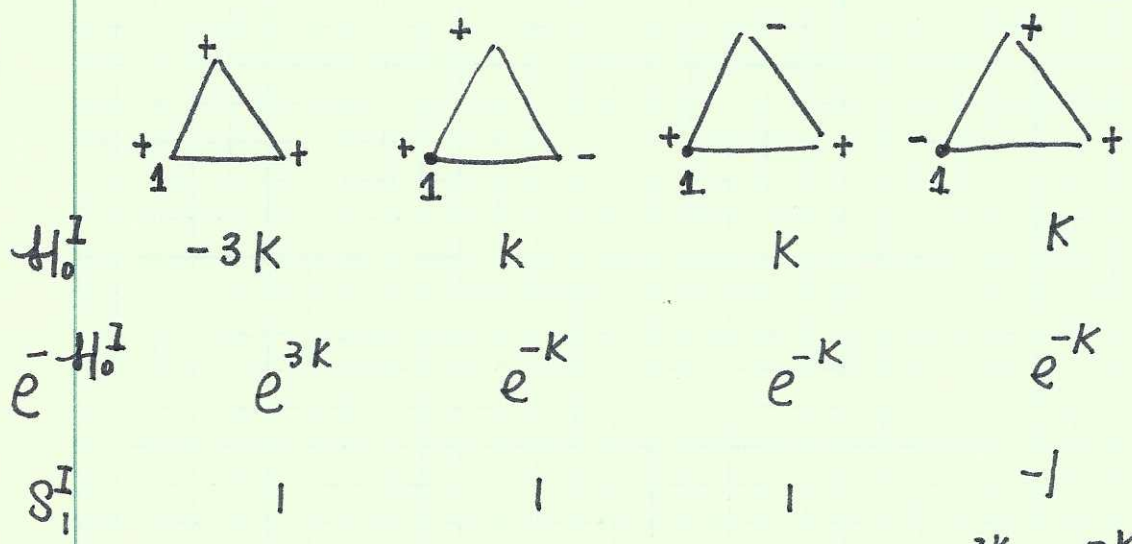
$$A = \sum_{\{\sigma_i\}} e^{-H_0} = \sum_{\{\sigma_i\}} \prod_I e^{-H_0^I} = \prod_I \sum_{\sigma_i=1,2,3,4} e^{-H_0^I}$$

$$= \prod_I g_0 = g_0^{N'} = (e^{3K} + 3e^{-K})^{N'/3}$$

A does not depend on the distribution of  $\{S_i^I\}$ .

$G = \ln A = N' \ln g_0$  — smooth part of free energy and we're not interested.

$$\langle S_1^I \rangle_0 \equiv \frac{\sum_{\{\sigma_i\}} S_1^I e^{-H_0}}{\sum_{\{\sigma_i\}} e^{-H_0}} = \frac{\sum_{\sigma_i=1 \sim 4} S_1^I e^{-H_0^I}}{\sum_{\sigma_i=1 \sim 4} e^{-H_0^I}}$$



$$\Rightarrow \text{if } S_1^I = 1 \Rightarrow \langle S_1^I \rangle_0 = \frac{e^{3K} + e^{-K}}{e^{3K} + 3e^{-K}}$$

Similarly, if  $S_1^I = -1$ , we have  $\langle S_1^I \rangle_0 = -\frac{(e^{3K} + e^{-K})}{e^{3K} + 3e^{-K}}$

$$\Rightarrow \langle V \rangle_0 = -2K \sum_{\langle IJ \rangle} \langle S_1^I \rangle_0 \langle S_2^I \rangle_0 - 3h \sum_I \langle S_1^I \rangle_0$$

$$\langle V \rangle_0 = -2K \left( \frac{e^{3k} + e^{-k}}{e^{3k} + 3e^{-k}} \right)^2 \sum_{\langle IJ \rangle} S'_I S'_J - 3h \left( \frac{e^{3k} + e^{-k}}{e^{3k} + 3e^{-k}} \right) \sum_I S'_I$$

$$\Rightarrow H'_{\{S'_I\}} \approx \langle V \rangle_0 = -K' \sum_{\langle IJ \rangle} S'_I S'_J - h' \sum_I S'_I$$

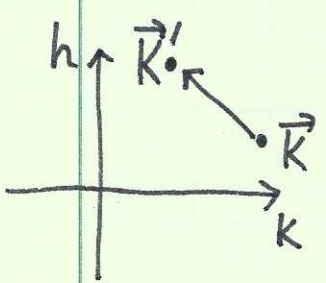
$$\Rightarrow \begin{cases} K' = 2K \left( \frac{e^{3k} + e^{-k}}{e^{3k} + 3e^{-k}} \right)^2 \\ h' = 3h \left( \frac{e^{3k} + e^{-k}}{e^{3k} + 3e^{-k}} \right) \end{cases}$$

The new Hamiltonian has the same form as before.

Recursive relation

Define  $\vec{K} \equiv (K, h)$ , under the RG transformation

$$H_{\{S_i\}} \xrightarrow{R(K)} H'_{\{S'_I\}} \xrightarrow{R(K)} H''_{\{S''_I\}} \rightarrow \dots$$



④ Find the fixed point of R transformation if  $\vec{K}' = R(\vec{K})$ , if  $\vec{K}'^* = R(\vec{K}^*)$ , then  $\vec{K}^*$  is fixed point.

Unstable fixed point  $\longleftrightarrow$  critical point

$$a' \longrightarrow a' = l a$$

$$\xi' a' = \xi a \implies \xi' = \frac{1}{l} \xi$$

at CP point  $\infty \longrightarrow \infty$

Solve p fixed point

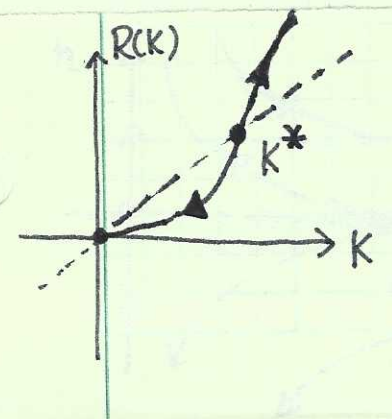
$$\begin{cases} k^* = 2k^* \left( \frac{e^{3k^*} + e^{-k^*}}{e^{3k^*} + 3e^{-k^*}} \right)^2 \\ h^* = 3h^* \left( \frac{e^{3k^*} + e^{-k^*}}{e^{3k^*} + 3e^{-k^*}} \right) \end{cases}$$

$$\Rightarrow \begin{cases} k^* = 0, \infty \\ \frac{1}{\sqrt{2}} = \frac{e^{3k^*} + e^{-k^*}}{e^{3k^*} + 3e^{-k^*}} = \frac{e^{4k^*} + 1}{e^{4k^*} + 3} \end{cases}$$

$$\Rightarrow k^* = \frac{1}{4} \ln(1 + 2\sqrt{2}) = 0.34$$

$$h^* = 0, \infty$$

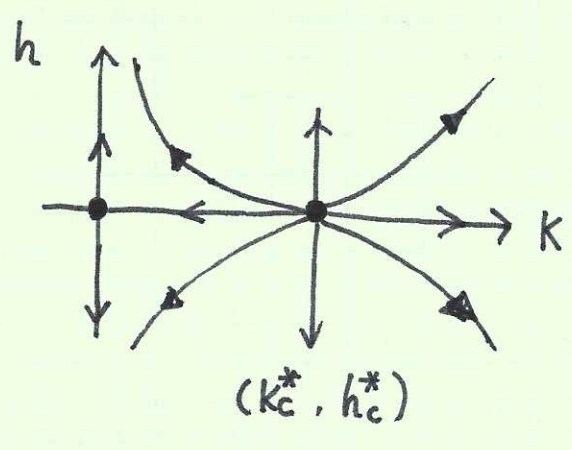
flow diagram



$$\begin{cases} k_c^* = 0.34 \text{ (not bad)} \\ h_c^* = 0 \end{cases}$$

The Onsager solution on triangular lattice

$$k_c = \frac{1}{4} \ln 3 = 0.27$$



### ⑤ Calculation of critical exponents

recall Widom scaling law:  $\alpha \beta \gamma \delta \nu, \nu : p$  and  $q$

$$f(\lambda^p t, \lambda^q h) = \lambda f(t, h) \quad \text{with} \quad t = \frac{T - T_c}{T_c}, \quad h = \frac{\mu_B B}{k_B T}$$

$$\text{or } f(t, h) = \frac{1}{\lambda} f(\lambda^p t, \lambda^q h)$$

check in the Block spin system:

$$Z(K, h, N) = \sum_{\{S_i\}} e^{-H(\{S_i\}, K, h, N)} = e^{-G} \sum_{\{S'_i\}} e^{-H'(\{S'_i\}, K', h', N')}$$

$$F(K, h, N) = -k_B T \ln Z(K, h, N) = + k_B T G - \underbrace{k_B T \ln Z(K', h', N')}_{F'(K', h', N')}$$

if we neglect the regular part contribution from G, we have

$$\Rightarrow F(K, h, N) = F(K', h', N') \text{ or}$$

$$f(K, h) = \frac{1}{l^d} f(K', h')$$

set  $\lambda = l^d$ , in the vicinity of the critical point, we

linearize the RG transformation

$$\begin{cases} \delta K = K - K^* \\ \delta h = h - h^* \end{cases} \Rightarrow \begin{pmatrix} \delta K' \\ \delta h' \end{pmatrix} = \underbrace{\begin{pmatrix} \frac{\partial K'}{\partial K} \Big|_* & \frac{\partial K'}{\partial h} \Big|_* \\ \frac{\partial h'}{\partial K} \Big|_* & \frac{\partial h'}{\partial h} \Big|_* \end{pmatrix}}_{IR\text{-matrix}} \begin{pmatrix} \delta K \\ \delta h \end{pmatrix}$$

$$\text{and } \begin{cases} K' = K(K, h) \\ h' = h(K, h) \end{cases}$$

After linearization

$$\begin{pmatrix} \delta K' \\ \delta h' \end{pmatrix} = IR \begin{pmatrix} \delta K \\ \delta h \end{pmatrix}$$

We diagonalize IR as  $\begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix}$  with eigenvector  $\vec{e}_1, \vec{e}_2$

$$\begin{cases} \delta K = \delta u_{K1} \vec{e}_1 + \delta u_{K2} \vec{e}_2 \\ \delta h = \delta u_{h1} \vec{e}_1 + \delta u_{h2} \vec{e}_2 \end{cases} \longrightarrow \begin{cases} \delta K' = \lambda_1 \delta u_{K1} \vec{e}_1 + \lambda_2 \delta u_{K2} \vec{e}_2 \\ \delta h' = \lambda_1 \delta u_{h1} \vec{e}_1 + \lambda_2 \delta u_{h2} \vec{e}_2 \end{cases}$$

if  $\lambda > 1$ , then  $\delta u' = \lambda \delta u \rightarrow$  increasing  
 $\rightarrow$  relevant eigenvalue

if  $\lambda < 1$ . irrelevant eigenvalue.

For the case of

$$K' = 2k \left( \frac{e^{3k} + e^{-k}}{e^{3k} + 3e^{-k}} \right)^2 \Rightarrow IR = \begin{pmatrix} 1.62 & 0 \\ 0 & 2.12 \end{pmatrix}$$

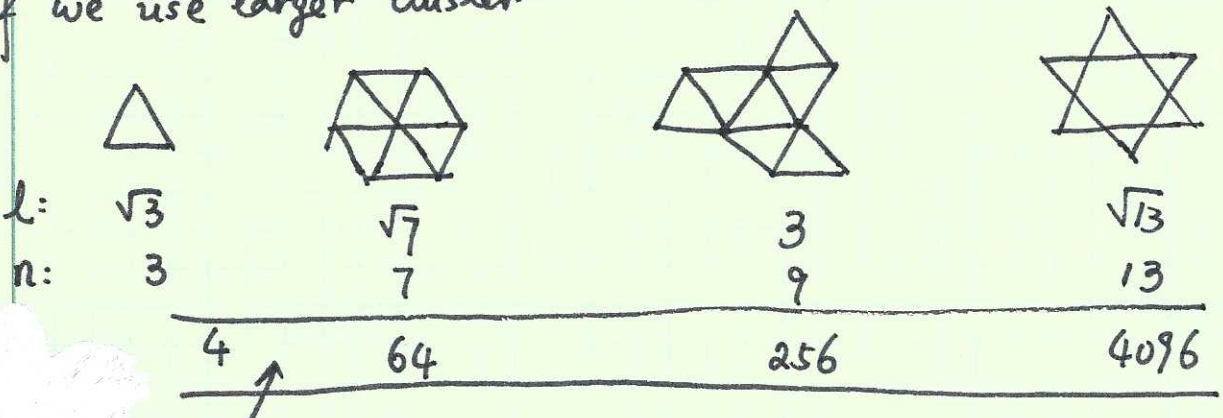
$$h' = 3h \left( \frac{e^{3k} + e^{-k}}{e^{3k} + 3e^{-k}} \right) \quad \text{already diagonalized}$$

$$\Rightarrow f(\delta u_1, \delta u_2) = \frac{1}{l^d} f(\lambda_1 \delta u_1, \lambda_2 \delta u_2)$$

$$\Rightarrow \lambda = l^d, \quad \begin{cases} \lambda^p = \lambda_1 \\ \lambda^q = \lambda_2 \end{cases} \Rightarrow \begin{cases} \ln \lambda = d \ln l \\ p = \frac{\ln \lambda_1}{d \ln l} = \frac{\ln 1.62}{2 \ln \sqrt{3}} = 0.44 \\ q = \frac{\ln \lambda_2}{d \ln l} = \frac{\ln 2.12}{2 \ln \sqrt{3}} = 0.68 \end{cases}$$

exact value 2D Ising  $p = 0.500$   
 $q = 0.938$

if we use larger cluster



dim: partial trace over internal states

$n$	$K_c = K^*$	$P$	$Q$
3	0.34	0.442	0.685
7	0.30	0.459	0.740
9	0.31	0.485	0.730
13	0.30		
exact	0.275	0.500	0.938

AMPAD

\* if we keep high order term  $\langle V^2 \rangle_0 - \langle V \rangle^2$ ,  $\langle V^3 \rangle - 3\langle V^2 \rangle \langle V \rangle + \langle V \rangle^3$

for  $n=7$  cluster

$$\Rightarrow K_c = 0.2752, \quad P = 0.536 \quad Q = 0.938.$$