

Homework #3

Problem 5.4, Sakurai

Solution:

(a) Ground state is $|0\rangle_x \otimes |0\rangle_y$, with energy $\hbar\omega$;1st excited states are $|0\rangle_x \otimes |1\rangle_y$ and $|1\rangle_x \otimes |0\rangle_y$, with energy $2\hbar\omega$.(b) For ground state, $\langle 0|_x \otimes \langle 0|_y \delta m \cdot \mathbf{w}^2 xy |0\rangle_x \otimes |0\rangle_y = 0$, hence 1st order correction to energy vanishes.For 1st excited states, one should use degenerate perturbation theory.

The appropriate matrix elements are

$$\langle 0|_x \otimes \langle 1|_y \delta m \cdot \mathbf{w}^2 xy |0\rangle_x \otimes |1\rangle_y = 0$$

$$\langle 1|_x \otimes \langle 0|_y \delta m \cdot \mathbf{w}^2 xy |1\rangle_x \otimes |0\rangle_y = 0$$

$$\langle 0|_x \otimes \langle 1|_y \delta m \cdot \mathbf{w}^2 xy |1\rangle_x \otimes |0\rangle_y = \frac{1}{2} \frac{\delta m}{m} \hbar\omega$$

$$\langle 1|_x \otimes \langle 0|_y \delta m \cdot \mathbf{w}^2 xy |0\rangle_x \otimes |1\rangle_y = \frac{1}{2} \frac{\delta m}{m} \hbar\omega$$

Then it is easy to get eigenvalues and eigenvectors of the 2×2 matrix $\begin{bmatrix} 0 & \frac{1}{2} \frac{\delta m}{m} \hbar\omega \\ \frac{1}{2} \frac{\delta m}{m} \hbar\omega & 0 \end{bmatrix}$ as follows:

Eigenvalue

$$\frac{1}{2} \frac{\delta m}{m} \hbar\omega \quad \frac{1}{\sqrt{2}} (|0\rangle_x \otimes |1\rangle_y + |1\rangle_x \otimes |0\rangle_y)$$

$$-\frac{1}{2} \frac{\delta m}{m} \hbar\omega \quad \frac{1}{\sqrt{2}} (|0\rangle_x \otimes |1\rangle_y - |1\rangle_x \otimes |0\rangle_y)$$

(c) Now

$$H_0 + V = \frac{P_x^2}{2m} + \frac{P_y^2}{2m} + \frac{1}{2} m\omega^2 (x^2 + y^2) \begin{bmatrix} 1 & \delta m/m \\ \delta m/m & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}.$$

One can use an orthogonal transformation to diagonalize the Hamiltonian:

$$H_0 + V = \frac{P_{x'}^2}{2m} + \frac{P_{y'}^2}{2m} + \frac{1}{2} m\omega^2 (x'^2 + y'^2) \begin{bmatrix} 1 + \delta m/m & 0 \\ 0 & 1 - \delta m/m \end{bmatrix} \begin{bmatrix} x' \\ y' \end{bmatrix}$$

$$\text{where } \begin{bmatrix} x' \\ y' \end{bmatrix} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}.$$

Hence the energies of the three lowest states are

$$\frac{1}{2} \hbar\omega (\sqrt{1 + \delta m/m} + \sqrt{1 - \delta m/m}) = \hbar\omega + O((\frac{\delta m}{m})^2)$$

$$\hbar\omega (\frac{1}{2} \sqrt{1 + \delta m/m} + \frac{3}{2} \sqrt{1 - \delta m/m}) = 2\hbar\omega - \frac{1}{2} \hbar\omega \frac{\delta m}{m} + O((\frac{\delta m}{m})^2),$$

$$\hbar\omega (\frac{3}{2} \sqrt{1 + \delta m/m} + \frac{1}{2} \sqrt{1 - \delta m/m}) = 2\hbar\omega + \frac{1}{2} \hbar\omega \frac{\delta m}{m} + O((\frac{\delta m}{m})^2)$$

in agreement with the perturbation results obtained in part (b).



Problem 5.11, Sakurai

Solution:

$$(a) H = \frac{E_1^0 + E_2^0}{2} I_3 + \frac{E_1^0 - E_2^0}{2} \sigma_3 + \lambda \Delta \sigma_1$$

Hence eigenvalues are

$$\frac{E_1^0 + E_2^0}{2} \pm \sqrt{\left(\frac{E_1^0 - E_2^0}{2}\right)^2 + \lambda^2 \Delta^2}$$

Eigenfunctions are

$$\text{for plus sign, } \frac{1}{N^+} \begin{bmatrix} -\lambda \Delta \\ \frac{E_1^0 - E_2^0}{2} - \sqrt{\left(\frac{E_1^0 - E_2^0}{2}\right)^2 + \lambda^2 \Delta^2} \end{bmatrix},$$

$$\text{for minus sign, } \frac{1}{N^-} \begin{bmatrix} -\lambda \Delta \\ \frac{E_1^0 - E_2^0}{2} + \sqrt{\left(\frac{E_1^0 - E_2^0}{2}\right)^2 + \lambda^2 \Delta^2} \end{bmatrix},$$

where N^\pm are appropriate normalization factors.

(b) We apply non-degenerate perturbation theory. Notice that 1st order energy correction vanishes.

For $\phi_1^{(1)}$, we have
 $\phi_1^{(1)} = \phi_2^{(0)} \cdot \frac{\langle \phi_2^{(0)} | H' | \phi_1^{(0)} \rangle}{E_1^0 - E_2^0}$

$$\Delta E_1^{(1)} = \frac{|\langle \phi_2^{(0)} | H' | \phi_1^{(0)} \rangle|^2}{E_1^0 - E_2^0} = \frac{\lambda^2 \Delta^2}{E_1^0 - E_2^0}.$$

Notice that from the exact results in (a), we have

$$\begin{aligned} & \frac{E_1^0 + E_2^0}{2} + \sqrt{\left(\frac{E_1^0 - E_2^0}{2}\right)^2 + \lambda^2 \Delta^2} \\ &= \frac{E_1^0 + E_2^0}{2} + \frac{E_1^0 - E_2^0}{2} \left(1 + \frac{4\lambda^2 \Delta^2}{E_1^0 - E_2^0}\right)^{\frac{1}{2}} \\ &= E_1^0 + \frac{\lambda^2 \Delta^2}{E_1^0 - E_2^0} + \mathcal{O}(\Delta^3) \end{aligned}$$

$$\begin{aligned} \text{Eigenfunction} &= \frac{1}{N^+} \begin{bmatrix} 1 \\ \frac{1}{\lambda \Delta} \left(\frac{E_1^0 - E_2^0}{2} - \sqrt{\left(\frac{E_1^0 - E_2^0}{2}\right)^2 + \lambda^2 \Delta^2}\right) \end{bmatrix} \\ &= \frac{1}{N^+} \begin{bmatrix} 1 \\ \frac{\lambda \Delta}{E_1^0 - E_2^0} \end{bmatrix} + \mathcal{O}(\Delta^2) \end{aligned}$$

and are consistent with perturbation results in part (a).

A similar analysis applied to $\phi_2^{(1)}$ gives

$$\phi_2^{(1)} = \frac{\lambda\Delta}{E_2^0 - E_1^0} \phi_1^{(0)}$$

$$\Delta E_2^{(2)} = \frac{\lambda^2 \Delta^2}{E_2^0 - E_1^0}$$

(c) We apply degenerate perturbation theory with $H = H_0 + H'$,

$$\text{where } H_0 = \begin{bmatrix} E_1^0 & 0 \\ 0 & E_2^0 \end{bmatrix}, \quad H' = \begin{bmatrix} 0 & \lambda\Delta \\ \lambda\Delta & 0 \end{bmatrix}.$$

Zeroth order wave functions are

$$\Psi_1^{(0)} = \frac{1}{\sqrt{2}} (\phi_1^{(0)} + \phi_2^{(0)}), \text{ with energy shift } \lambda\Delta;$$

$$\Psi_2^{(0)} = \frac{1}{\sqrt{2}} (\phi_1^{(0)} - \phi_2^{(0)}). \text{ With energy shift } -\lambda\Delta.$$

It is direct to see that this agrees with part (a) when $E_1^0 = E_2^0$.

Problem 5.14, Sakurai

Solution:

Choose coordinate system such that the external electric field is along z-direction, then electric potential is

$$V(r) = -(-e) \vec{E} \cdot \vec{r} = eEz.$$

Since spin degree of freedom is still degenerate by assumption, we consider orbital part where there is a total of nine orbits $\{|3l'm\rangle | 0 \leq l \leq 2, -l \leq m \leq l\}$. To apply degenerate perturbation theory, we need to calculate the following matrix elements: $\langle 3l'm' | V(r) | 3l'm \rangle$.

Notice that $V(r) = eEz$ is a rank 1 irreducible tensor operator;

of eigenvalue zero under the action of taking commutator with L_z ; odd under parity transformation.

Then by also noticing that $PY_{lm} = (-1)^l Y_{lm}$, we have that $\langle 3l'm' | V(r) | 3l'm \rangle$ is not zero only when

$$\begin{cases} |l-1| \leq l' \leq l+1; \\ m' = m; \\ (-1)^{l'} = (-1)^l. \end{cases}$$

i.e. only when $l' = l \pm 1$, $m' = m$.

So among all the $9^2 = 81$ matrix elements only the following 4 and their complex conjugate do not vanish:

$$\langle 300 | V | 310 \rangle, \langle 310 | V | 320 \rangle, \langle 311 | V | 321 \rangle, \langle 31- | V | 32- \rangle.$$

Notice that Wigner-Eckart theorem tells us that $\langle 311 | V | 321 \rangle = \langle 31- | V | 32- \rangle$.

Calculations give the following

$$\langle 311 | V | 321 \rangle = -\frac{27}{2} eEa_0. \quad \text{together with time reversal symmetry}$$

$$\langle 310 | V | 320 \rangle = -9\sqrt{3} eEa_0.$$

$$\langle 300 | V | 310 \rangle = -9\sqrt{6} eEa_0.$$

This 9×9 matrix is block-diagonal in the following pattern:

$$\begin{array}{ccccccccc} 322 & 32-2 & 311 & 321 & 31-1 & 32-1 & 300 & 310 & 320 \\ 322 \begin{bmatrix} 0 & 0 \end{bmatrix} & 311 \begin{bmatrix} 0 & -\frac{27}{2} \end{bmatrix} & 31-1 \begin{bmatrix} 0 & -\frac{27}{2} \end{bmatrix} & 300 \begin{bmatrix} 0 & -9\sqrt{6} & 0 \end{bmatrix} \\ 32-2 \begin{bmatrix} 0 & 0 \end{bmatrix} & 321 \begin{bmatrix} -\frac{27}{2} & 0 \end{bmatrix} & 32-1 \begin{bmatrix} -\frac{27}{2} & 0 \end{bmatrix} & 310 \begin{bmatrix} -9\sqrt{6} & 0 & -9\sqrt{3} \end{bmatrix} \\ & & & 320 \begin{bmatrix} 0 & -9\sqrt{3} & 0 \end{bmatrix} \end{array}$$

$$(\times eEa_0)$$

It is easy to diagonalize the latter three blocks:

Energy / eEa.	0 th order wavefunction
$\frac{27}{2}$	$\left\{ \frac{1}{\sqrt{2}} (311\rangle - 321\rangle) \right.$
	$\left. \frac{1}{\sqrt{2}} (31-1\rangle - 32-1\rangle) \right)$
$-\frac{27}{2}$	$\left\{ \frac{1}{\sqrt{2}} (311\rangle + 321\rangle) \right.$
	$\left. \frac{1}{\sqrt{2}} (31-1\rangle + 32-1\rangle) \right)$
0	$\frac{1}{\sqrt{6}} (300\rangle - \sqrt{2} 320\rangle)$
27	$\frac{1}{\sqrt{6}} (\sqrt{2} 300\rangle - \sqrt{3} 310\rangle + 320\rangle)$
-27	$\frac{1}{\sqrt{6}} (\sqrt{2} 300\rangle + \sqrt{3} 310\rangle + 320\rangle)$

We see that a 1st order degenerate perturbation theory does not break the degeneracy in $\{|322\rangle, |32-2\rangle\}$ sector. One has to go to 2nd degenerate perturbation to get the 0th order wavefunction.

This turns to an eigen-problem of the following 2×2 matrix

$$P_0 V P_1 \frac{1}{E' - H_0} P_1 V P_0$$

where

$$P_0 = |322\rangle \langle 322| + |32-2\rangle \langle 32-2|$$

$$P_1 = \mathbb{I} - P_0$$

To obtain this matrix, one has to perform an infinite sum over all levels, and also an integration over scattering states, and is a very difficult problem. Hence we will be satisfied by just the above order E' results.

Problem 5.15 Sakurai

Solution:

For Na atom ($Z=11$), $n=1$ and $n=2$ levels accommodate a total of $2 \times (1^2 + 2^2) = 10$ electrons, and the 11th electron is in the $3S$ level ($n=3, l=0$) for ground state. As due to Coulomb screening, different l 's with same n now have different energies. Since there is electric field $\vec{E} = -\frac{1}{e} \frac{dV_c}{dr} \hat{r}$ inside the atom produced by the ion core, the presumed electric dipole moment can couple with this internal electric field yielding a term $-\vec{\mu}_{el} \cdot \vec{E} = \frac{1}{e} \mu_{el} \frac{dV_c}{dr} \vec{\sigma} \cdot \hat{r}$ in the Hamiltonian, where we have set the coefficient between $\vec{\mu}_{el}$ and $\vec{\sigma}$ to be μ_{el} .

We now analyze the effect of this additional term on energy levels treating it as a perturbation. First notice that $\vec{\sigma} \cdot \hat{r}$ commutes with total angular momentum $\vec{j} = \vec{l} + \vec{s}$. so (j, j_z) is good quantum number after this perturbation term is introduced. We denote new energy eigenstates as $\Psi_{n'l}^{jj_z}$. This is not to say that l is still a good quantum number now. It is only a reminder that this new eigenstate is very close to an unperturbed state with orbital angular momentum quantum number l . More precisely, we are labeling new eigenstates via their zeroth order part, $\Psi_{n'l}^{(0)jj_z}(r) = R_{nl}(r) Y_l^m(\theta, \phi)$, in which $j = l \pm \frac{1}{2}$ and Y_l^m is the spin-angular function.

We are now prepared for a discussion of perturbation effect. First order energy correction $\langle \Psi_{n'l}^{(0)jj_z} | -\vec{\mu}_{el} \cdot \vec{E} | \Psi_{n'l}^{(0)jj_z} \rangle = 0$ by selection rule: $\langle Y_{lm} | \vec{F} | Y_{l'm'} \rangle \neq 0$ only when $\Delta l = \pm 1$ (notice that $\Psi_{n'l}^{(0)jj_z}$ consists of Y_{lm} and $|S_z\rangle$ with fixed l , and hence selection rule applies). The lowest non-vanishing energy correction has to be of 2nd order. As to mixing, $\Psi_{n'l}^{jj_z} = \sum_{n'l'} A_{n'l'} \Psi_{n'l'}^{(0)jj_z}$ with a peak of $A_{n'l'}$ at $(n', l') = (n, l)$, so different (n', l') states got mixed (with same jj_z) where $|l' - l| = 1$.

Let's consider the perturbation effect to lowest level state $n=3, l=0$, i.e. $\Psi_{30}^{(0)\frac{1}{2}\frac{1}{2}}$ as an illustration. We should use 2nd order non-degenerate perturbation to get the lowest order energy correction. Then

$$\begin{aligned} E^{(2)} &= \sum_{n'l} \frac{1}{E_{30}^{(0)\frac{1}{2}\frac{1}{2}} - E_{n'l}^{(0)\frac{1}{2}\frac{1}{2}}} |\langle \Psi_{n'l}^{(0)\frac{1}{2}\frac{1}{2}} | -\vec{\mu}_{el} \cdot \vec{E} | \Psi_{30}^{(0)\frac{1}{2}\frac{1}{2}} \rangle|^2 \\ &= \sum_{n \geq 3} \frac{1}{E_{30}^{(0)} - E_{n1}^{(0)}} |\langle \Psi_{n1}^{(0)\frac{1}{2}\frac{1}{2}} | -\vec{\mu}_{el} \cdot \vec{E} | \Psi_{30}^{(0)\frac{1}{2}\frac{1}{2}} \rangle|^2. \end{aligned}$$

Since for $n \geq 4$,

$$|E_{30}^{(0)} - E_{n1}^{(0)}| \gg |E_{30}^{(0)} - E_{31}^{(0)}|.$$

We ignore the $n \geq 4$ terms and only keep that of $n=3$. Then

$$\begin{aligned}
 E^{(2)} &\doteq \frac{1}{E_{30}^{(0)} - E_{31}^{(0)}} \left| \langle \Psi_{31}^{(0) \frac{1}{2}j_2} | -\vec{\mu}_H \cdot \vec{E} | \Psi_{30}^{(0) \frac{1}{2}j_2} \rangle \right|^2 \\
 &= \frac{1}{E_{30}^{(0)} - E_{31}^{(0)}} \left(\frac{\mu_H}{e} \right)^2 \left(\int R_{31}^*(r) R_{30}(r) r^2 \frac{dV_c}{dr} dr \right)^2 \cdot \left| \langle y_1^{\frac{1}{2}j_2} | \vec{\sigma} \cdot \hat{r} | y_0^{\frac{1}{2}j_2} \rangle \right|^2
 \end{aligned}$$

in which one can express $y_1^{\frac{1}{2}j_2}$ in terms of $|Y_m \otimes |S_z\rangle$ and evaluate the inner product in the above expression.

A graphical illustration of this 2nd order correction is depicted as follows:

