

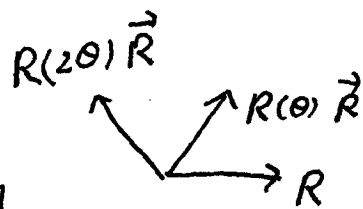
# HW 4

The rotation axis <sup>R!</sup> of a crystal can only be 2, 3, 4, 6-fold.

pick up a plane perpendicular to rotation axis, & consider a lattice vector in that plane. Perform <sup>symmetry</sup> rotation  $R(\theta) \vec{R}$  and  $R(2\theta) \vec{R}$ .  
primitive  $\vec{R}$ .

if  $\theta = \pi \Rightarrow$  2-fold

if  $\theta \neq \pi \Rightarrow R(\theta) \vec{R}$  and  $\vec{R}$  are linearly independent.



within the plane, there're only 2 - primitive vectors

$$\Rightarrow R(2\theta) \vec{R} = m R(\theta) \vec{R} + n \vec{R} \quad (m, n, \text{integers})$$

$$\Rightarrow \begin{cases} \cos 2\theta = m \cos \theta + n \\ \sin 2\theta = n \sin \theta \end{cases} \Rightarrow 2 \sin \theta \cos \theta = n \sin \theta$$

$$\Rightarrow \sin \theta (\cos \theta - \frac{n}{2}) = 0 \quad \text{for } \theta \neq \pi, 0 \Rightarrow$$

$$\cos \theta = \frac{n}{2} \Rightarrow n = 0, \pm 1, \pm 2$$

$$\theta = \pm \frac{\pi}{2}, \pm \frac{\pi}{3}, \pi, 0, \pm \frac{2\pi}{3}$$

$$\text{take } 0 < \theta \leq \pi \Rightarrow \theta = \frac{\pi}{3}, \frac{\pi}{2}, \frac{2\pi}{3}, \pi$$

$$\begin{matrix} \uparrow & \uparrow & \uparrow & \uparrow \\ 6 & 4 & 3 & 2 \end{matrix}$$

you can check

$\cos 2\theta = m \cos \theta + n$  can also be satisfied!

2. First BZ for fcc and bcc lattice.

First BZ for fcc is the Wigner-Seitz cell of the reciprocal lattice to fcc, which has the same shape as the W-S cell of bcc lattice.

There are 6 squares and 8 hexagons.

The coordinate of each vertex

$$\left(\pm \frac{\pi}{a}, 0, \pm \frac{2\pi}{a}\right)$$

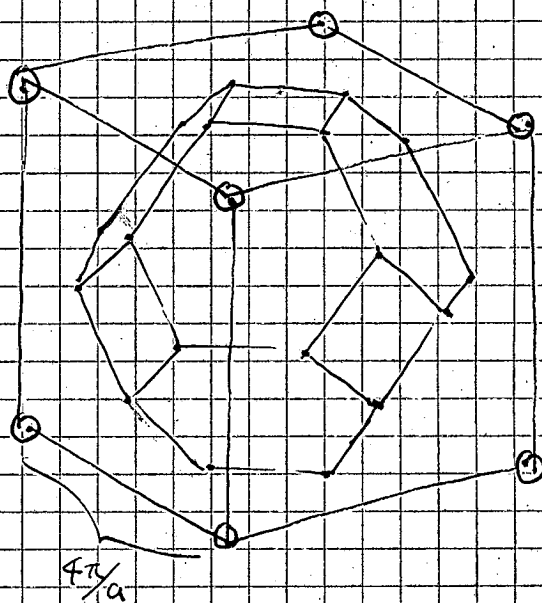
$$\left(0, \pm \frac{\pi}{a}, \pm \frac{2\pi}{a}\right)$$

$$\left(\pm \frac{\pi}{a}, \pm \frac{2\pi}{a}, 0\right)$$

$$\left(0, \pm \frac{2\pi}{a}, \pm \frac{\pi}{a}\right)$$

$$\left(\pm \frac{2\pi}{a}, \pm \frac{\pi}{a}, 0\right)$$

$$\left(\pm \frac{2\pi}{a}, 0, \pm \frac{\pi}{a}\right)$$



The coordinate of central points of each face:

Squares:  $\left(0, 0, \pm \frac{2\pi}{a}\right)$   $\left(0, \pm \frac{2\pi}{a}, 0\right)$   $\left(\pm \frac{2\pi}{a}, 0, 0\right)$

hexagons:  $\left(\pm \frac{\pi}{a}, \pm \frac{\pi}{a}, \pm \frac{\pi}{a}\right)$

First BZ for bcc is the W-S cell of the reciprocal lattice of bcc, which has the same shape as the W-S cell of fcc lattice.

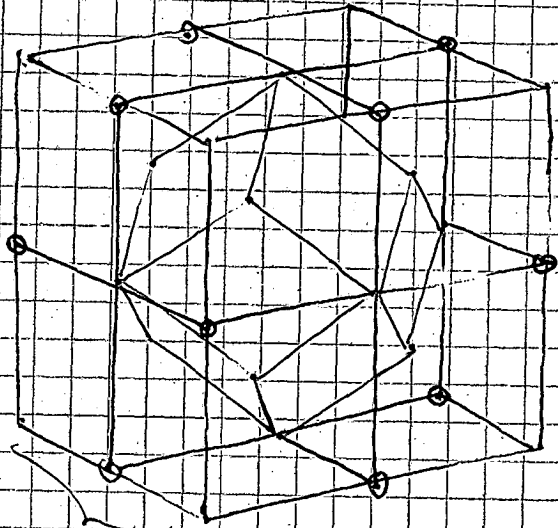
Coordinate of vertex

$$(0, 0, \pm \frac{2\pi}{a})$$

$$(0, \pm \frac{2\pi}{a}, 0)$$

$$(\pm \frac{2\pi}{a}, 0, 0)$$

$$(\pm \frac{\pi}{a}, \pm \frac{\pi}{a}, \pm \frac{\pi}{a})$$

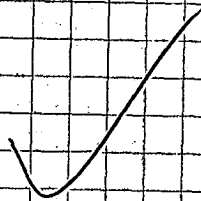


Coordinate of the central points of each face  $\frac{4\pi}{a}$

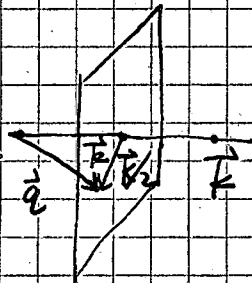
$$(\pm \frac{\pi}{a}, \pm \frac{\pi}{a}, 0)$$

$$(\pm \frac{\pi}{a}, 0, \pm \frac{\pi}{a})$$

$$(0, \pm \frac{\pi}{a}, \pm \frac{\pi}{a})$$



3. A & M 9.1



$$\vec{q} = \frac{1}{2} \vec{k} + \vec{k}$$

$$\epsilon_{\vec{q}}^0 = \frac{\hbar^2 \left( \frac{k}{2} + k_{||} \right)^2 + k_{\perp}^2}{2m}$$

$$\epsilon_{\vec{q}-\vec{k}}^0 = \frac{\hbar^2 \left( \frac{k}{2} - k_{||} \right)^2 + k_{\perp}^2}{2m}$$

$$\epsilon = \frac{1}{2} \left( \epsilon_{\vec{q}}^0 + \epsilon_{\vec{q}-\vec{k}}^0 \right) \pm \left[ \left( \frac{\epsilon_{\vec{q}}^0 - \epsilon_{\vec{q}-\vec{k}}^0}{2} \right)^2 + |U_{\vec{k}}|^2 \right]^{1/2}$$

$$= \frac{\hbar^2 \left( \frac{k}{2} \right)^2}{2m} + \frac{\hbar^2 (k_{||}^2 + k_{\perp}^2)}{2m} \pm \left[ \left( \frac{\hbar^2 k_{||}^2}{2m} \right)^2 + |U_{\vec{k}}|^2 \right]^{1/2}$$

$$= \epsilon_{\vec{q}}^0 / 2 + \frac{\hbar^2 k_{\perp}^2}{2m} \pm \left[ 4 \epsilon_{\vec{q}}^0 \frac{\hbar^2 k_{||}^2}{2m} + |U_{\vec{k}}|^2 \right]^{1/2}$$

(a)

$$\epsilon_F = \epsilon_{\vec{q}}^0 / 2 + |U_{\vec{k}}| + \Delta$$

lowest energy of upper band is  $\epsilon_{\vec{q}}^0 / 2 + |U_{\vec{k}}|$

So when  $0 < \Delta < 2|U_{\vec{k}}|$   $\epsilon_F$  will not reach the lowest energy of higher, so in this condition Fermi surface lies in lower band.

When  $k_{||} = 0$

$$E_{\pm} = E_0 \pm \frac{\hbar^2 k_{\perp}^2}{2m} - |U_{\pm}|$$

with  $k_{||} = 0$

$$= E_0 \pm \frac{\hbar^2 k_{\perp}^2}{2m} - |U_{\pm}|$$

$$\Delta = \frac{\hbar^2 p^2}{2m}$$

$$p = \sqrt{2m\Delta}$$

(b) When  $\Delta > 2|U_{\pm}|$  Fermi surface can enter the higher band

for higher band:  $P_1$

$$E_{\pm} = E_0 \pm \frac{\hbar^2 k_{\perp}^2}{2m} + |U_{\pm}| + \frac{\hbar^2 P_1^2}{2m}$$

for lower band:  $P_2$

$$E_{\pm} = E_0 \pm \frac{\hbar^2 k_{\perp}^2}{2m} - |U_{\pm}| + \frac{\hbar^2 P_2^2}{2m}$$

$$= 2|U_{\pm}| = \frac{\hbar^2 (P_2^2 - P_1^2)}{2m}$$

$$\therefore \pi (P_2^2 - P_1^2) = \frac{4m\pi}{\hbar^2} |U_{\pm}|$$

