

# Physics 239 Topology from Physics Winter 2021

## Assignment 9 – Solutions

Due 5pm Friday March 12, 2021

Thanks in advance for following the guidelines on hw01. Please ask me by email if you have any trouble.

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1. **Intersection pairing and cohomology.** Because  $2 + 2 = 4$ , on a 4-manifold  $M_4$ , we can define a pairing on the integral 2-cycles,  $[S_1], [S_2] \in H_2(M_4, \mathbb{Z})$ , by  $(S_1, S_2) \equiv$  the number of points in which  $S_1$  and  $S_2$  intersect, counted with orientation and multiplicity. The sign is plus if the volume form on  $S_1$  wedge the volume form on  $S_2$  agrees with the volume form on  $M_4$ .

(a) Now consider the Poincaré dual perspective. Each 2-cycle  $S$  has a Poincaré dual 2-form  $\eta_S$ . Show that

$$(S_1, S_2) = \int_{M_4} \eta_{S_1} \wedge \eta_{S_2}.$$

Bonus: check the sign by considering representatives of  $[\eta_{S_{1,2}}]$  supported in a small neighborhood of  $S_{1,2}$ , and looking at a coordinate system near an intersection point where  $S_1$  is  $x = y = 0$  and  $S_2$  is  $z = w = 0$ .

Everything I am saying here is explained on the first few pages of the book by Donaldson and Kronheimer, *Geometry of four-manifolds*.

The definition of the Poincaré dual form  $\eta_S$  is  $\int_S i_*^S(\omega) = \int_{M_4} \eta_S \wedge \omega$  for all 2-forms  $\omega$ , where  $i^S$  is the inclusion map  $i : S \rightarrow M_4$ . Since we can choose the Poincaré dual to have support in a small neighborhood about the cycle, we have

$$(S_1, S_2) = \int_{S_1} i_*^{S_1} \eta_{S_2} = \int_{M_4} \eta_{S_1} \wedge \eta_{S_2}.$$

To verify the sign, choose coordinates near an intersection point and choose representatives where

$$\eta_1 = \rho(x, y) dx \wedge dy, \quad \eta_2(z, w) dz \wedge dw$$

with  $\rho(x, y)$  a function supported in a small neighborhood about the origin. In this case

$$\int \eta_1 \wedge \eta_2 = \int_{M_4} \rho(x, y) \rho(z, w) dx \wedge dy \wedge dz \wedge dw = \pm$$

gets contribution only from the neighborhood of the intersection and the sign is determined by comparing the orientations of  $S_1$  and  $S_2$  with that of  $M_4$ .

- (b) Convince yourself of the following statement: There exists a basis of harmonic 2-forms  $\alpha_I, I = 1..b_2(M_4)$  satisfying

$$\int_{M_4} \alpha_I \wedge \alpha_J = K_{IJ}$$

where  $K_{IJ}$  is the intersection matrix on some basis of the 2-cycles.

The intersection form is independent of cohomology representative. So we can appeal to the Hodge theorem to choose a harmonic representative of each class.

- (c) Show that  $K_{IJ}$  is symmetric.

2-forms commute with each other.

- (d) What is the intersection form on  $S^2 \times S^2$ ? On  $\mathbb{C}\mathbb{P}^2$ ? On  $S^4$ ?

$\sigma^x, 1$  and a 0-dimensional matrix.

The example of  $\mathbb{C}\mathbb{P}^2$  illustrates the following lesson: The self-intersection of a given 2-cycle can be nonzero. In terms of forms, this is clear because 2-forms are commuting objects. The definition of the self-intersection is: take the two cycle  $S$  and deform it a little bit to another representative  $S'$  of the same homology class. Generically  $S$  and  $S'$  will intersect at a finite number of points, and this number counted with multiplicity depends only on the homology class.

In  $\mathbb{C}\mathbb{P}^2$  there is only one nontrivial generator of  $H_2$ . A representative is  $S = \{\sum_{i=0}^2 a_i z_i = 0\}$  the zero locus of arbitrary linear function of the homogeneous coordinates. So one representative is  $S_2 = \{z_2 = 0\}$  and another is  $S_1 = \{z_1 = 0\}$ .  $[S] = [S_1] = [S_2]$ . The intersection of the latter two sets is the point  $\{(z_0, 0, 0)\}$ , so  $K_{SS} = \#(S \cup S) = 1$ .

- (e) Bonus: define the connected sum  $X_1 \# X_2$  of two  $n$ -manifolds  $X_{1,2}$  to be the result of removing a small  $n$ -ball from each and gluing the resulting things together along the boundaries. What is the intersection form on  $X_1 \# X_2$  in terms of those of  $X_1$  and  $X_2$ ?

Direct sum.

- (f) Bonus: By thinking about the spectrum of the Hodge  $\star$  operator on 2-forms, relate the signature of the matrix  $K$  (the number of positive eigenvalues minus the number of negative eigenvalues) to the Hirzebruch signature of  $M_4$ .

The key is that  $\int \alpha \wedge \star \alpha \geq 0$  is a positive semi-definite norm on 2-forms. So if we choose a basis of forms  $\alpha_{\pm}$  which are eigenvectors of  $\star$ , we have

$$0 \geq \int \alpha_{\pm} \wedge \star \alpha_{\pm} = \pm \int \alpha \wedge \alpha = \pm(\alpha, \alpha)$$

(where I denote the dual 2-cycle also as  $\alpha$  because why not). We conclude that if  $K_{II} < 0 (> 0)$  then  $\eta_{S_I}$  is in the anti-self-dual (self-dual) eigenspace of the Hodge  $\star$ . Therefore the number of negative (positive) diagonal entries of the intersection form is  $b_2^-$  ( $b_2^+$ ) and the signature of the matrix  $K$  is equal to the Hirzebruch signature  $b_2^+ - b_2^-$ .

(g) Bonus: argue that  $K_{IJ}$  is unimodular, that is, it satisfies  $\det K = \pm 1$ .

This follows from the fact that the pairing between  $H_2(M_4, \mathbb{Z})$  and  $H^2(M_4, \mathbb{Z})$  is an isomorphism.

2. **Dimensional reduction exercise.** Consider the following 3-form  $U(1)$  gauge theory in 6+1 dimensions. The degree of freedom is a 3-form potential  $C$ . Consider the action

$$S[C] = \frac{1}{4\pi} \int_{M_7} C \wedge dC$$

where  $M_7$  is some smooth manifold. A field theory with this action is topological in the sense that no metric was required to write down the action.

(a) Show that  $S$  is gauge invariant if  $M_7$  is closed,  $\partial M_7 = 0$ . The infinitesimal gauge transformation acts as  $C \rightarrow C + d\lambda$  for some 2-form  $\lambda$ .

$$\delta S = \frac{1}{4\pi} \int d\lambda \wedge dC \stackrel{\text{IBP}}{=} 0.$$

(b) Consider the case where  $M_7 = M_4 \times \mathbb{R}^3$ , where  $M_4$  is some 4-manifold. Suppose that the intersection form on  $M_4$  is  $K_{IJ}, I = 1.. \dim H_2(M_4, \mathbb{Z})$ . Plug in  $C = \sum_I \alpha^I \wedge A^I(x)$ , where  $\alpha^I$  are the basis of harmonic 2-forms on  $M_4$  from the previous part, and find the resulting 3d action for  $A^I$ .

$$S[A] = \frac{K_{IJ}}{4\pi} \int_{\mathbb{R}^3} A^I \wedge dA^J.$$

3. **Fundamental group of an acyclic space.** In lecture we defined  $X$  by gluing two disks  $B_{1,2}$  into a bouquet of two circles  $a$  and  $b$  by identifying their boundaries with the paths  $a^5 b^{-3}$  and  $b^3 (ab)^{-2}$ . Use the van Kampen theorem twice to compute  $\pi_1(X)$ . That is, first use it compute  $\pi_1(X \setminus B_1)$ .

Decompose  $Y \equiv X \setminus B_1$  into  $U \cup V$  with  $U = B_2$  and  $V = Y \setminus$  a point in the middle of  $B_2$ . Then  $\pi_1(U) = 0$ ,  $\pi_1(V) = \langle a, b \rangle = \mathbb{F}_2$ , the free group on two elements, and  $\pi_1(U \cap V) = \langle g \rangle$ . Therefore

$$\pi_1(Y) = \langle a, b | i_\star^V(g) = i_\star^U(g) \rangle = \langle a, b | b^3(ab)^{-2} = e \rangle.$$

Now decompose  $X$  into  $U' \cup V'$  with  $U' = B_1$  and  $V' = X \setminus$  a point in the middle of  $B_1$ .  $\pi_1(U') = 0$ ,  $\pi_1(V') = \pi_1(Y)$  from the previous step and  $\pi_1(U' \cap V') = \langle h \rangle$ . Therefore

$$\pi_1(X) = \langle a, b | b^3(ab)^{-2} = e, i_\star^{V'}(h) = i_\star^{U'}(h) \rangle = \langle a, b | b^3(ab)^{-2} = e, a^5b^{-3} = e \rangle.$$

4. **Induced map on homotopy groups.** Like homology,  $\pi_q$  is a covariant functor from the category of topological spaces (and continuous maps) to the category of groups (and group homomorphisms). To see this, consider a map  $\phi : (X, x_0) \rightarrow (Y, y_0)$ . Given a representative of  $\pi_q(X)$ ,  $\alpha : (I^q, \partial I^q) \rightarrow (X, x_0)$ , we can use  $\phi$  to make a representative of  $\pi_q(Y)$ , namely  $\phi \circ \alpha : (I^q, \partial I^q) \rightarrow (Y, y_0)$ . So we can define an induced map on the homotopy groups

$$\phi_\star[\alpha] \equiv [\phi \circ \alpha].$$

Convince yourself that this is a group homomorphism in the sense that  $\mathbb{1}_\star = \mathbb{1}$ ,  $\phi \circ (\alpha \star \beta) = (\phi \circ \alpha) \star (\phi \circ \beta)$  and given also  $\psi : (Y, y_0) \rightarrow (Z, z_0)$ , we have  $\psi_\star \circ \phi_\star = (\psi \circ \phi)_\star$ .

Conclude that if  $X \simeq Y$  then  $\pi_1(X) \cong \pi_1(Y)$ .

If  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$  are the relevant maps then the induced map  $f_\star : \pi_q(X, x_0) \rightarrow \pi_q(Y, f(x_0))$  is an isomorphism with inverse  $g_\star$ .

5.  **$\mathbb{C}\mathbb{P}^2$  is not anyone's boundary.**

In this problem we will show that the boundary of any compact manifold has even Euler character. Since  $\chi(\mathbb{C}\mathbb{P}^2)$  is odd, it cannot arise as the boundary of any compact 5-manifold.

- (a) Here we will show that if  $M = \partial V$  is a  $2n$ -dimensional manifold and  $V$  is compact, then  $\dim_{\mathbb{Z}_2} H^n(M, \mathbb{Z}_2)$  is even. (If we assume  $V$  is oriented, then we can replace  $\mathbb{Z}_2$  by any other field.)

Consider the following part of the long exact sequence for the homology of  $V$  relative to its boundary  $M$ :

$$\begin{array}{ccccc}
 H^n(V) & \xrightarrow{i^*} & H^n(M) & \xrightarrow{\delta^*} & H^{n+1}(V, M) \\
 & & \downarrow f & & \downarrow g \\
 & & H_n(M) & \xrightarrow{i_*} & H_n(V)
 \end{array}$$

All coefficients are  $\mathbb{Z}_2$ . The vertical maps  $f$  and  $g$  are isomorphisms because of Poincaré duality (the one that relates homology and cohomology).

Use the fact that  $\text{rank}(i_*) = \text{rank}(i^*)$  and the diagram to conclude that  $\dim H^n(M) = 2\text{rank}(i^*)$ .

This comes from statements 10.4 and 10.5 of chapter VI of Bredon's book.

The diagram implies that

$$\dim \text{im}(i^*) = \dim \ker(\delta^*) = \dim \ker(i_*). \quad (1)$$

The first step is exactness of the sequence, and the second step is the fact that the vertical maps are isomorphisms. Then

$$\text{rank}(i^*) = \dim \text{im}(i^*) \stackrel{(1)}{=} \dim \ker(i_*) = \dim H_n(M) - \text{rank}(i_*) = \dim H^n(M) - \text{rank}(i^*).$$

Therefore

$$\dim H_n(M) = 2\text{rank}(i^*).$$

Since the rank of a linear map is an integer,  $\dim H_n(M)$  is even.

- (b) Show that if  $M = \partial V$ , then  $\chi(M)$  is even. Consider separately the cases where  $\dim M$  is odd and even.

Hint: in the case where  $\dim M = 2n$ , relate  $\chi(M)$  to  $\dim_{\mathbb{Z}_2} H^n(M, \mathbb{Z}_2)$ .

If  $\dim M = 2n + 1$  is odd, its euler character is  $\chi = (b_0 - b_{2n+1}) + (b_1 - b_{2n}) + \cdots + (b_n - b_{n+1}) = 0$  by Poincaré duality. If  $\dim M = 2n$  is even, its euler character is  $\chi = (b_0 - b_{2n}) + (b_1 - b_{2n-1}) + \cdots + b_n = b_n$  (also by Poincaré duality). Therefore in the latter case

$$\chi = b_n$$

is even.

- (c) What is  $\chi(\mathbb{C}\mathbb{P}^2)$ ? Conclude that  $\mathbb{C}\mathbb{P}^2$  represents a nontrivial cobordism class.

Since  $\mathbb{C}\mathbb{P}^q$  has a single generator in each even dimension, the Euler characteristic of  $\mathbb{C}\mathbb{P}^2$  is three. A good way to describe the cohomology of  $\mathbb{C}\mathbb{P}^q$  is as the polynomial ring

$$H^\bullet(\mathbb{C}\mathbb{P}^q) = \mathbb{R}[x]/x^{q+1},$$

where  $x$  is the generator of  $H^2(\mathbb{C}\mathbb{P}^2)$ .

- (d) What about  $\mathbb{R}\mathbb{P}^2$ ? Can an unoriented closed compact Riemann surface be a boundary? (Use the same argument.)

$\chi$  is odd for any unoriented closed compact Riemann surface, so the same argument applies.

- (e) What about  $\mathbb{C}\mathbb{P}^n$  for general  $n$ ?

$\mathbb{C}\mathbb{P}^1 \simeq S^2 = \partial B^3$  is a boundary. More generally, since  $\chi(\mathbb{C}\mathbb{P}^q) = q + 1$ , we conclude that for  $q$  even  $\mathbb{C}\mathbb{P}^q$  is not a boundary. For general odd  $q$  we can't say based on the results of this problem.