

Recall:  $SPT_D \equiv$  a phase of matter in  $D$  dims  
characterized by its edge states.

$D-1$  dim'l  
 $\Leftrightarrow$  edge theory is anomalous.

anomaly: 0) symmetry of  $S[\text{fields}]$   
but not of  $\int D[\text{fields}]$

1) obstruction to a lattice realization  
w/ on-site action of  $G$ .

$$\left[ \begin{array}{l} \rho: \bigotimes_x \mathcal{H}_x \rightarrow \bigotimes_x \mathcal{H}_x \\ \text{by } \rho_x: \mathcal{H}_x \rightarrow \mathcal{H}_x \end{array} \quad \rho = \bigotimes_x \rho_x \right]$$

2) obstruction to gauging  $G$ .

$$\left( \text{Dirac} = \text{Weyl}_L + \text{Weyl}_R \right)$$

3) symmetry violation in the presence of BG fields

ie  $\int_{\text{gauge}} S_{\text{eff}}[A] \neq 2\pi\mathbb{Z}$

LSMH  $\Rightarrow$  g.s. is not boring.

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SPT<sub>D</sub><sup>G</sup> is an abelian group.

[ classification (in terms of generalized cohomology)  
does not build representations. ]

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SPTs of fermions & bosons are different.  
↑ (or spins)

↳ free-fermion

requires  
interactions

top. insulators (TIs)

why study SPTs? ① they exist.

Q: "how can symmetries be realized on quantum many-body systems?"

① gauge them.

Q: Is every T.O. a gapped SPT?

$$c = \psi_1 \psi_2 + \psi_3 \psi_4$$

② each  $SPT_D \iff$  some  $D-1$  chiral theory that can't be regularized (a Nielsen-Ninomiya theorem.)

the Standard Model is a chiral gauge theory.

if no anomalies.

③ emergence of SUSY at SPT edge.  
( $N=1$  in  $d=2+1$ .)

### 3.1 EM response for SPT<sub>G=U(1)</sub>

- $D=2+1$ ,  $G=U(1)$ .

$$S_{\text{eff}}[A] = \frac{\nu}{4\pi} \int A \wedge dA + \dots$$

$$\rightarrow \sigma^{xy} = \nu \frac{e^2}{h} = \frac{\nu}{2\pi} \cdot \underbrace{\nu \in \mathbb{Z}}_{\substack{\text{labels} \\ \text{phases} \\ \text{of fermions}}}.$$

No T.O.  $\nearrow$

for bosons:  $\nu \in \mathbb{Z}$ .

K-matrix construction:

$$S[a_{\pm}] = \sum_{\pm J} \frac{K_{\pm J}}{4\pi} \int a^{\pm} \wedge da^{\pm} + \left( A \frac{t_{\pm}}{2\pi} da^{\pm} \right)$$

Q: for which  $\{K, t\}$  is there no T.O.?

$$\sigma^{xy} = \frac{1}{2\pi} t K^{-1} t \in \mathbb{Z}$$



$$\text{GSD on } \Sigma_g = |\det K|^2$$

$$\Rightarrow |\det(K)| = 1.$$

statistics of qps :

$$\left\{ \begin{array}{l} \theta = \pi \ell^T K^{-1} \ell \quad (\ell_I \in \mathbb{Z}) \\ \theta_{12} = 2\pi \ell_1^T K^{-1} \ell_2 \\ \alpha = t^T K^{-1} \ell \end{array} \right.$$

eg: Boson IQM .

$$\left\{ \begin{array}{l} K = \sigma^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \\ t = (1, 1) \end{array} \right.$$

$$\rightarrow \sigma^{xy} = 2 \frac{e^2}{h}$$

$\phi^-$  vacuum

$\nu = 2$   $\phi^+$

$$S_{cs}[a^I = \tilde{d}\phi^I] = \frac{1}{4\pi} \int_{\text{bdy}} dt dx \left[ \partial_t \phi^+ \partial_x \phi^+ - \partial_t \phi^- \partial_x \phi^- \right.$$

$$\phi^\pm = \frac{1}{\sqrt{2}} (\phi^1 \pm \phi^2)$$

evecs of  $K$

$$\left. + \nu (\partial_x \phi^+)^2 + \nu (\partial_x \phi^-)^2 \right]$$

$$L \Rightarrow A^\mu \in \partial(t^I a_{I\rho}) / 2\pi \quad a_i^I = \epsilon_{ab} \partial_b \phi^I$$

$$= A_\mu^{a=0,1} \partial_\mu (\phi^1 + \phi^2) / 2\pi$$

$$a, b = 0, 1$$

$$= A_\mu \partial^\mu \phi^+$$

$\Rightarrow$  only the right mover is charged!

$$\Delta S = \int g \cos(\phi^+ + \phi^-)$$

is not U(1) invariant.

U(1) protects the edge.

- $D=3+1$  with  $G = U(1) \times \mathbb{Z}_2^T$   
 (fermions w/ real hopping) ↑  
time reversal.

$$S_{\text{eff}}[\vec{E}, \vec{B}] = \int d^3x dt \left[ \cancel{\vec{p} \cdot \vec{E}} + \cancel{\vec{\mu} \cdot \vec{B}} \right. \\
\left. + \epsilon_{ij} E^i E^j + \left(\frac{1}{\mu}\right) B^i B^j + \frac{e^2}{4\pi^2} \theta \vec{E} \cdot \vec{B} + O(E, B)^4 \right] \\
\in E^2 - \frac{1}{\mu} B^2$$

(assume rotation symmetry)

Flux quantization  $\oint_S F \in 2\pi\mathbb{Z}$ .

$$\Rightarrow \frac{e^2}{4\pi^2} \int_{M_4} \vec{E} \cdot \vec{B} = \frac{e^2}{8\pi^2} \int_{M_4} F \wedge F \in \mathbb{Z}$$

if  $2M_4 = \emptyset$  and  $M_4$  is spin.

$$\Rightarrow Z_{M_4}(\theta) = Z_{M_4}(\theta + 2\pi) \Rightarrow \text{spectrum on } X_3 \text{ is periodic.}$$

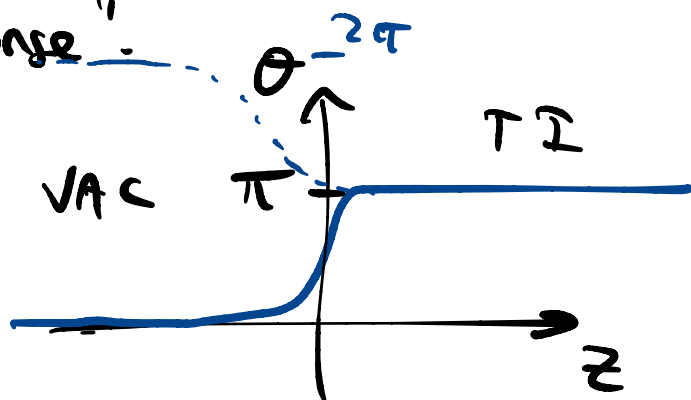
$$\mathcal{T}: (\vec{E}, \vec{B}) \rightarrow (\vec{E}, -\vec{B})$$

$$\theta \rightarrow -\theta$$

preserves the spectrum if  $\theta = 0$  or  $\underline{\underline{\pi \approx -\pi}}$

$\Rightarrow \frac{\theta}{\frac{\pi}{4}} = 0, 1$  are distinct SPT phases.

"magneto electric response"



$$S_0 = e^2 \int \frac{\theta(z)}{8\pi^2} F \wedge F \stackrel{\text{BP}}{=} e^2 \int \frac{d\theta \wedge A \wedge F}{8\pi^2}$$

IF edge is gapped:

$$d\theta = \Delta\theta \delta(z) dz$$

$$j_{EM}^\mu = \frac{\delta S_{eff}}{\delta A_\mu} = \frac{-e^2}{8\pi^2} \partial_\nu \theta F_{\rho\sigma} \epsilon^{\mu\nu\rho\sigma} + \dots \quad (\hbar = 2\pi)$$

$$\text{apply } \vec{E} = \hat{y} E \Rightarrow Q_{xy} = \frac{e^2 \Delta\theta}{\hbar 2\pi} = \frac{e^2}{\hbar} \left(\frac{1}{2} + \nu\right).$$

Parallel  $\eta$  polarization

polarization:  $\theta$  ::

surface charge: IQH layers  
( $h$ )



$$\text{polarization} \sim \langle e \hat{r} \rangle \sim e \int_{BZ} \langle i \nabla_k \rangle dk$$

$$= e \int_{BZ} A(k) dk$$

$$D = 1 + i \omega U(\omega) \times C$$

$$\left. \begin{array}{l} \tilde{F} \rightarrow -F \\ (j_\mu \rightarrow -j_\mu) \end{array} \right\}$$

$$S_0[A] = \int_{X_2} \frac{\theta}{2\pi} F$$

$$\int_{2\pi} F \in \mathbb{Z}$$

$$\Rightarrow Z(\theta + 2\pi) = Z(\theta)$$

$$C \Rightarrow \theta \in 0, \pi$$

$$\dot{j}_\mu = \epsilon_{\mu\nu} \partial_\nu \theta / 2\pi \Rightarrow \text{wall acquisition charge } \frac{\Delta\theta}{2\pi} \quad \text{[SSH]}$$

# "Bulk-edge Correspondence"

SPTS  
in  $D$  dims

(phases of matter)

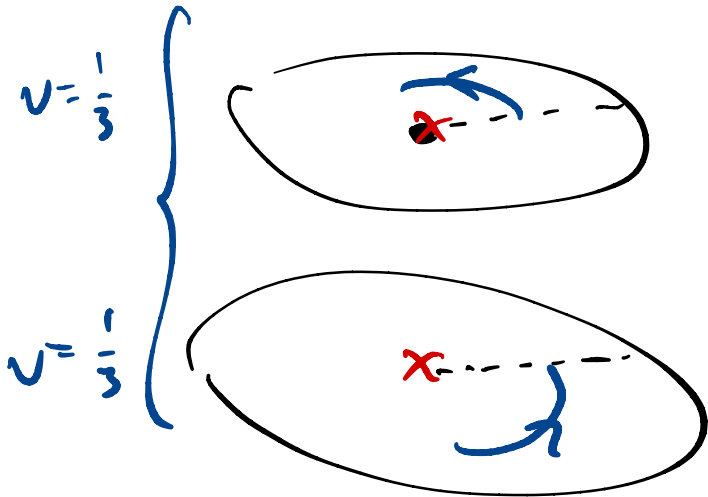
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anomalous  
system in  $D-1$   
dims.  
on the edge.

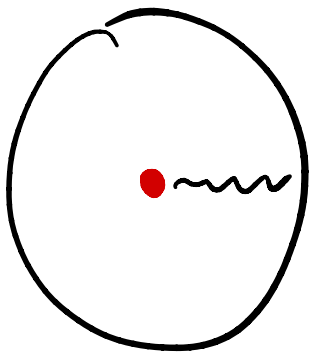
possible edges in  $D \geq 3+1$  :

- can break  $G$ .  $\rightarrow$  (GSD or goldstones)
- can be gapless.
- can be (anomalous) TO ( $\rightarrow$  GSD)
- can attach any non-anomalous system!

[Qi, Parkesuli, Jian]



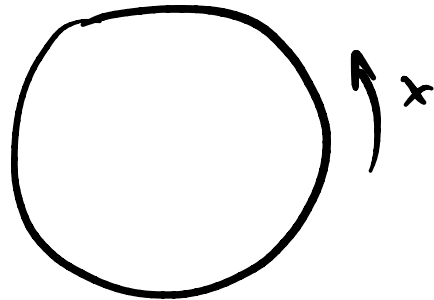
$\sigma$  anyon :



$$\frac{\gamma(x+2\pi) = +\gamma(x)}{\mathcal{R}}$$

$\mathcal{R}$

$n$  anyon

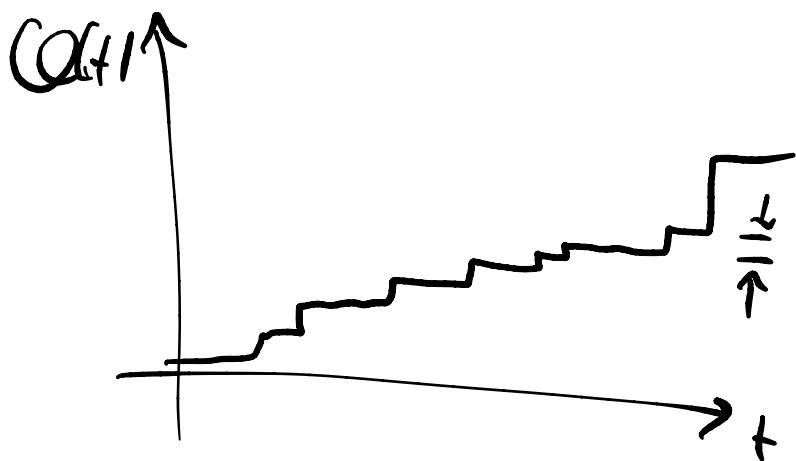


$$\gamma(x+2\pi) = -\gamma(x)$$

$\mathcal{NS}$

$$\Rightarrow \gamma(x) = \sum_{n \in \mathbb{Z}} e^{inx} \gamma_n$$

$$[H, \gamma_n] = in \gamma_n$$



$$\frac{\int_0^T I^2(t) dt}{T}$$