

Physics 211C (239):

## Phases of Quantum Matter

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Q&A: after lecture or by email request  
or email Q's.

Work: ① prets < weekly  
submit via canvas  
typeset preferred. (exists template)

② brief final paper 2 page PPL format

③ find typos & email me.

Zoom: please video on!

## O.1 Goals

phases of matter =  $\left\{ \begin{array}{l} \text{macroscopic piles} \\ \text{of stuff} \end{array} \right\} / \sim$

$A \sim B$  if adiabatically connected.

by deforming  $H$  & adding trivial stuff.

→  $\sim$   
"stable equivalence"

(K theory)

what is  $\pi_0(\text{stuff})$ ?

Topological invariant  $\equiv$  can't change continuously.

e.g.: # of ground states e.g. of an Ising magnet

unbroken phase  $\xrightarrow{\star}$  broken phase  
 $\# = 1$                      $\# = -$

Goal: understand such topological  
labels on phases of matter  
as concretely as possible.

(some of them exist on this planet!)

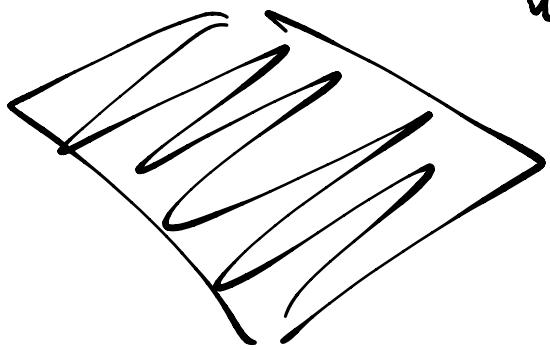
- Topics:
- Defects of ordered media.  
[Mermin]
  - Symmetry-protected topological  
phases (SPTs)
  - Lieb-Schultz-Mattis theorem.

⋮

? FQH ?

# I Defects & textures of ordered media

## 1.1 Landau-Ginzburg theory of ordering



w symmetry  $G$ .

$$\text{eg: } G = U(1)$$

(superfluid, particle #  
or planar magnet  $(\zeta_x, \zeta_y)$ )

Quantumly:  $\underline{[Q, H] = 0}$ . spectrum of  $Q \in \mathbb{Z}$ .

SSB:  $e^{iQ\phi} |0\rangle = |\phi\rangle$  another g.s.

$$\phi = \text{constant.} \\ \in [0, 2\pi)$$

w same  
energy

$$|\phi(x)\rangle \equiv$$

$$\rightarrow \langle 1 | e^{iQ\phi(x)} | 0 \rangle \text{ has } E \propto \partial\phi$$

$$E[\phi] = \underbrace{\langle \phi(x) | \hat{H} | \phi(x) \rangle}_{\text{ }}$$

$$Q = \int d^d x j^0(x) \quad (\text{assumption})$$

$$"Q\phi(x)" = \int d^d x j^0(x) \phi(x) \quad \underline{\underline{=}} \quad \underline{\underline{=}}$$

Goldstone's theorem:

sses of continuous sym  $\rightarrow$  gapless mode

Let  $\Phi(x)$  transforms linearly under  $G$ .

$$\Phi(x) \rightarrow e^{i\alpha} \Phi(x)$$

e.g.  $\Phi(x) = \sum_x (x^A_i) f_A$

$$F_G[\Phi] = \int d^d x \left[ \underbrace{V(|\Phi|)}_{\text{space}} + \underbrace{k \tilde{\nabla} \Phi \cdot \tilde{\nabla} \Phi}_{\text{2nd res}} + \text{terms w/ more derivatives} \right]$$

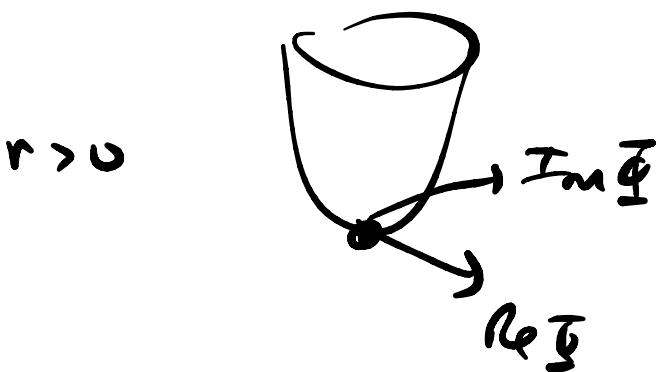
- local

- U(1) symmetric

(- rotation sym)

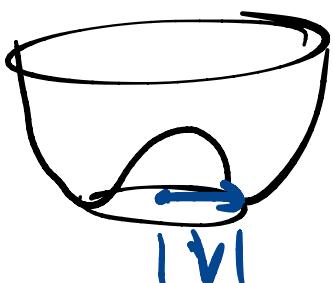
-  $\Phi$  is slowly varying

$$V(\bar{\Phi}) = r|\dot{\Phi}|^2 + u|\Phi|^4 + \dots$$



unique min at  $\bar{\Phi} = 0$

UNBROKEN



ring of minima  
at  $\bar{\Phi} \neq 0$ .

BROKEN.

★  $r < 0$   
you  
are here.

$$(v = |r|/2u)$$

one perspective on  $F_{LG}(\bar{\Phi})$ : mean field theory.

ansatz  $|\bar{\Phi}\rangle = \bigotimes_x \underbrace{|\bar{\Phi}(x)\rangle}_x$

$$F_{LG} \sim \langle \bar{\Phi} | H | \bar{\Phi} \rangle$$

if  $H$  is local ,  $F_{LG} = \int d^d x (\dots)$

$$(H = \int d^d x H_x)$$

$$f_{LG} [\Phi = v e^{i\phi(x)}]$$

$$= \int_{\text{space}} d^d x \equiv \left( \rho (\nabla \phi)^2 + \text{terms in more derivatives} \right)$$

No potential for the goldstone mode  $\phi(x)$ .

$$\left( \text{if instead } \Phi = (v + \delta v) e^{i\phi} \right)$$

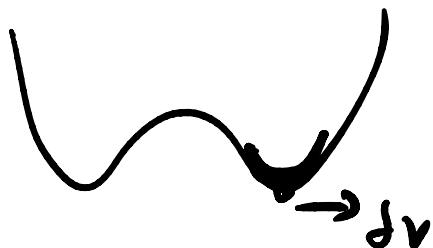
$$F = \dots (\delta v(x))^2$$

gradients of  $\phi$

Cost energy

$\equiv$  "generalized rigidity"

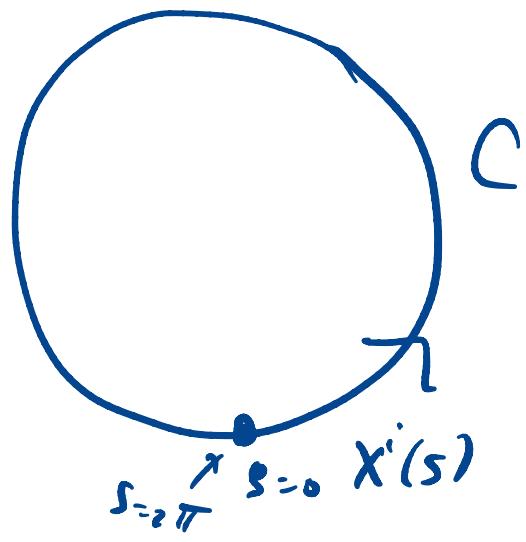
(absent in the unbroken phase  $\langle \Phi \rangle = 0$ )



Vortices  $s \in [0, 2\pi]$

given:  $\phi(x)$

phase of  
order parameter  
 $\Phi = e^{i\phi}$



$$2\pi w[C] \equiv \oint_C d\phi = \oint \frac{\partial \phi}{\partial x^i} dx^i = \int_0^{2\pi} \frac{\partial \phi}{\partial x^i} \frac{dx^i}{ds} ds$$

$\stackrel{\text{FTC}}{=} \left. \phi(x(s)) \right|_{s=0}^{s=2\pi} \in 2\pi \mathbb{Z}$

$\phi \cong \phi + 2\pi$

Suppose  $w[C] \equiv w \neq 0$ .

Fix  $\phi(x)$ , vary  $C$ .

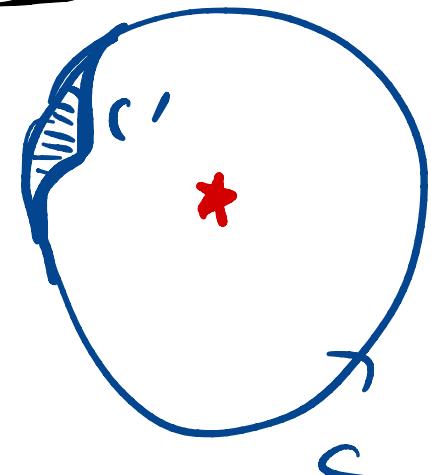
{pts across which  $w[C]$  jumps}

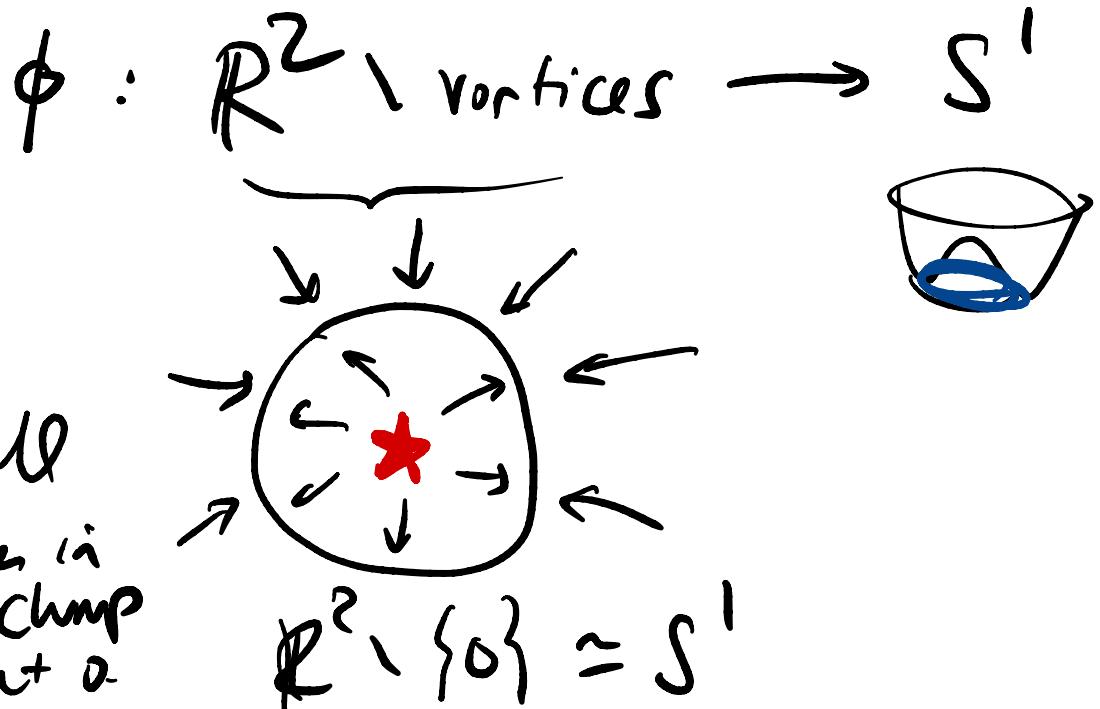
= vortices.  $\Rightarrow \phi$  is not well-defined there

If  $\Phi(x_0) = 0$   $\Rightarrow \phi$  is not well-def'd at  $x_0$ .

Vortices occur at ord in 2 = most specifying  
 2 coords to specify location.

In  $d=2$  vortices are points  
 In  $d=3$  " " " strips.

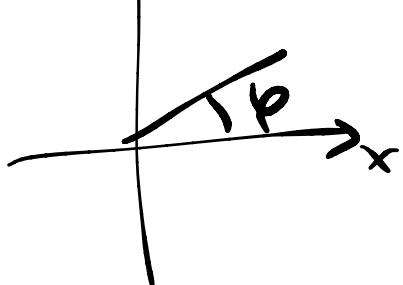




$$\phi : S^1 \rightarrow S^1$$

$$\overline{[\phi]} \in \pi_1(S^1) = \mathbb{Z} \ni w[\text{unit circle}]$$

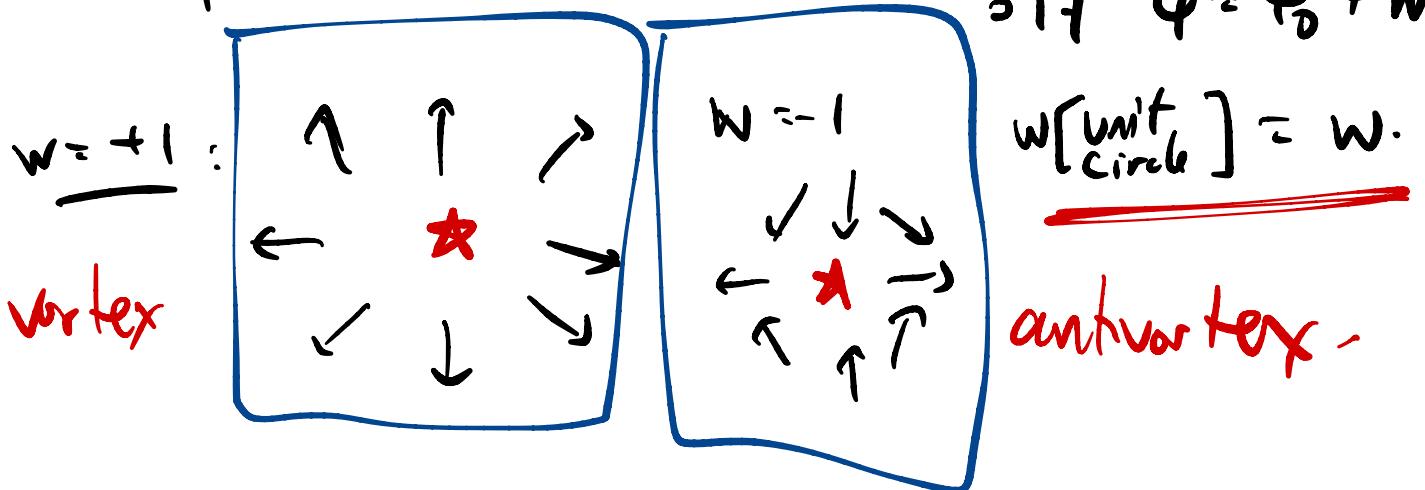
space:  $z = x + iy = r e^{i\varphi}$

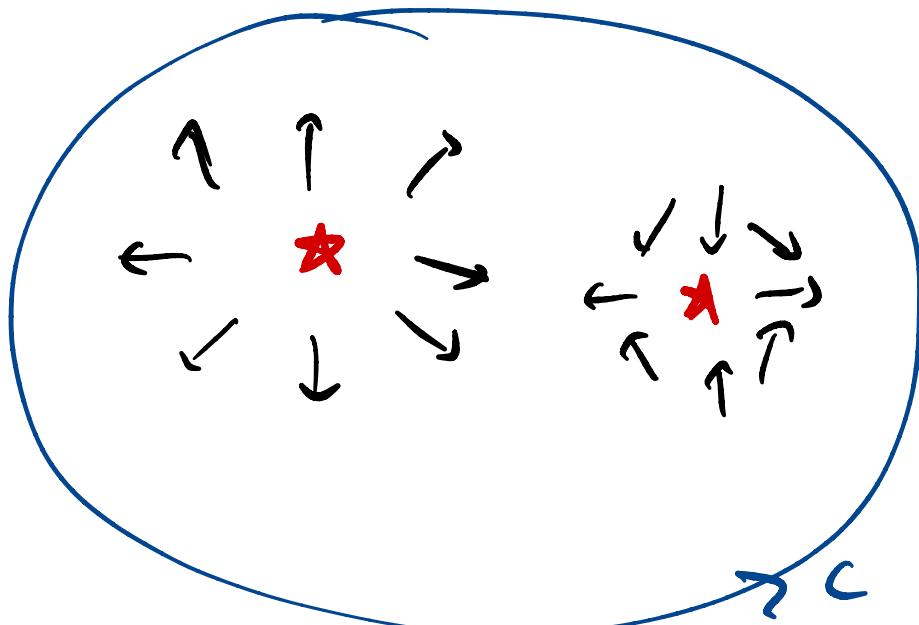


- If  $\phi = \phi_0$
- $w[C] = 0$ .

- If  $\phi = \phi_0 + N\varphi$

$$w[\text{unit circle}] = w.$$





$$W(c) = 0.$$

Energetics:  $F_{LG}^{\circ}[\phi = \varphi] = \left[ p(\partial\phi)^2 \right]_{\phi = \varphi}$

$$= \log(L) \rightarrow \infty$$

But:

-  $V - \bar{V}$  pair has

finite energy

- vortex in the abelian Higgs model

has finite energy.

$\uparrow$   
(superconductor)

$$2\pi W[\psi] = \oint_{C_R} d\phi$$

$$\Phi = v e^{i\phi}$$

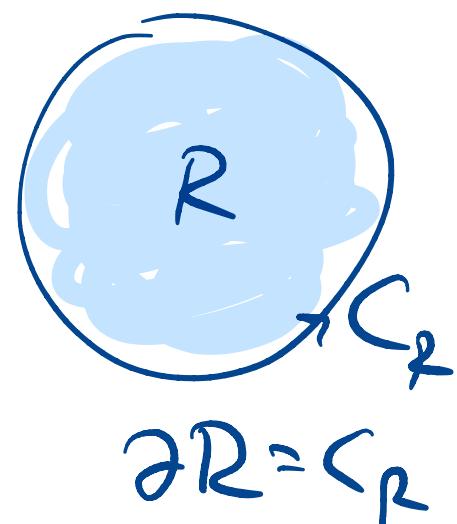
$$d\phi = -\frac{1}{2}i\bar{\Phi}^* d\Phi + \frac{1}{2}i d\bar{\Phi}^* \Phi$$

Stokes

$$= \int_R d\phi \quad \downarrow$$

$\text{---} = \text{density of vorties}$

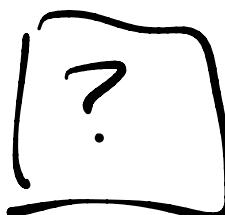
$$W[C] = \# \text{ of vorties in } R$$



$$\oint_C \psi(x) = \frac{1}{2\pi} \epsilon_{ij} \partial_i \left( -\frac{1}{2}i \bar{\Phi}^* \partial_j \Phi + \text{hc} \right)$$

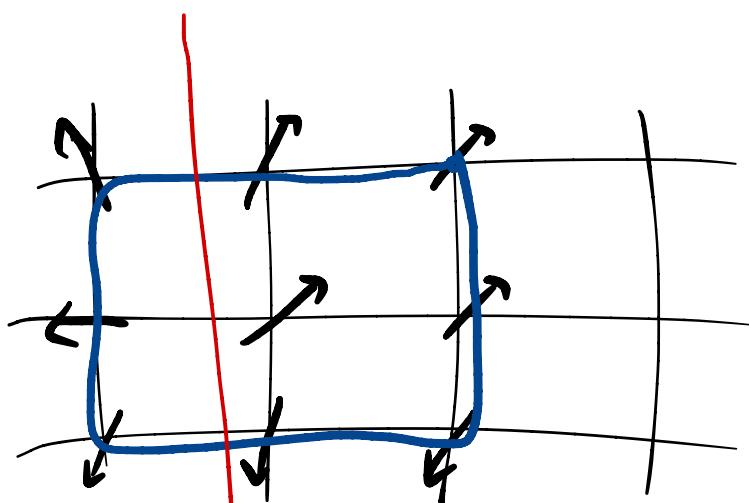
density of vorties at  $x$ .

$$= \int_R d^2\phi$$

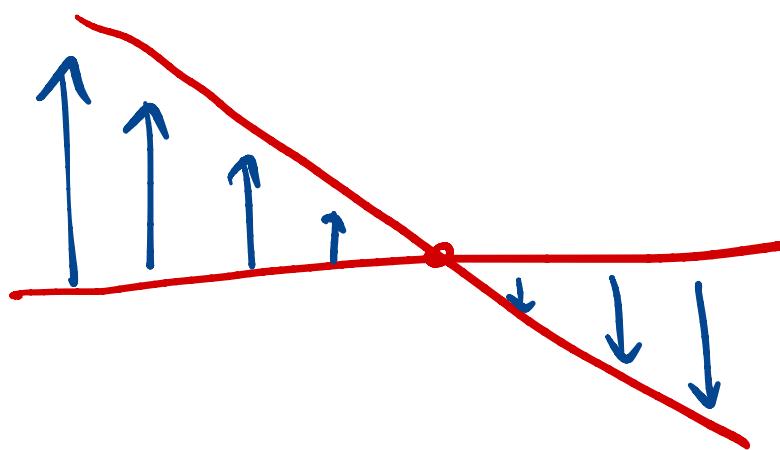


$$\text{vs } d^2 = 0.$$

$\phi_i \in S^1$  at each lattice site  $i$ .



$$\mathcal{H}_i = \text{span} \left\{ | \psi \rangle \mid \psi \in [0, 2\pi] \right\}$$



$$S[\Phi] = \int d^2x \partial_\mu \Phi^\dagger \partial^\mu \Phi \quad \underline{z=1}$$

$\rightarrow H = \sqrt{\Pi^2 + (\nabla \Phi)^2}$

$$\left[ \int d^2x \Phi^\dagger (\partial_\mu \Phi - (\nabla \Phi)^2) \right] \quad \underline{z=2}$$

$$C(T) \sim e^{-1/TL} \quad L = \frac{\text{radius}}{\gamma \text{curvature}}$$

$$S[\phi] = \int d^d x \sqrt{g} \partial_\mu \phi \partial_\nu \phi + g^{\mu\nu}$$

$$ds^2 = \underbrace{e^{f^2 y}}_{\sqrt{det g}} dx^2 + dy^2$$

$$\sqrt{g} = \sqrt{\det g} = e^{(d-1)y}$$



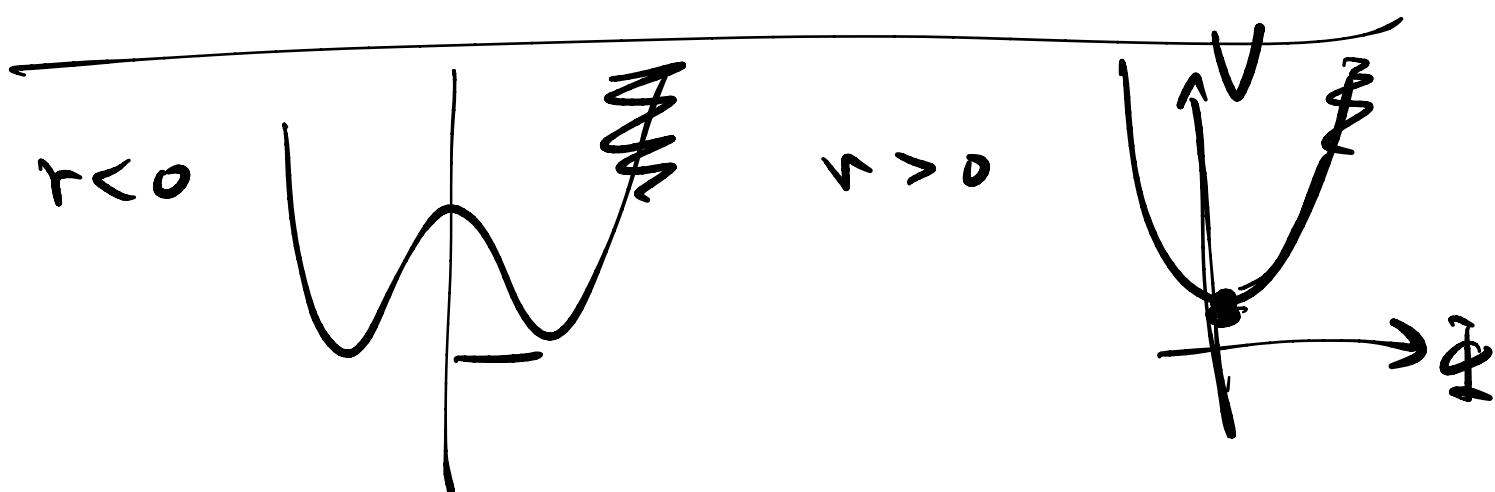
$$S = \int d^{d+1}x dy e^{(k-1)y} \left[ e^{-2y} (-\vec{p}\phi^2) + (\partial_y \phi)^2 \right]$$

$$0 = \frac{\delta S}{\delta \phi_{p,y}} = -\partial_y (e^{(d-1)y}) \partial_y \phi - \underbrace{-\vec{p}^2 \phi_p}_{\sim} e^{(d-3)y}$$

$$\partial_y^2 \phi + \# \partial_y \phi + \vec{p}^2 \phi \approx 0$$

$$\phi(x) = e^{ikx}$$

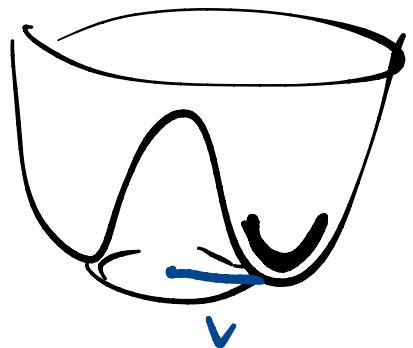
$$\partial \phi \sim k$$



eg:  $|\Psi^{(i)}\rangle_i = \underbrace{\Phi_x^{(i)}|\sigma^x=+\rangle_i}_{1} + \underbrace{\Phi_y^{(i)}|\sigma^y=+\rangle_i}_{2}$

$$\sigma^x |\sigma^x=+\rangle = |\sigma^x=+\rangle$$

$$|\Psi\rangle = \bigotimes_{i \in \text{space}} |\Psi^{(i)}\rangle_i$$

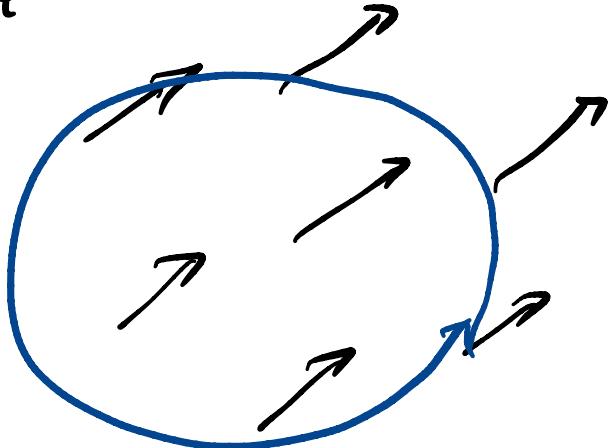


$$V(r) = 0$$

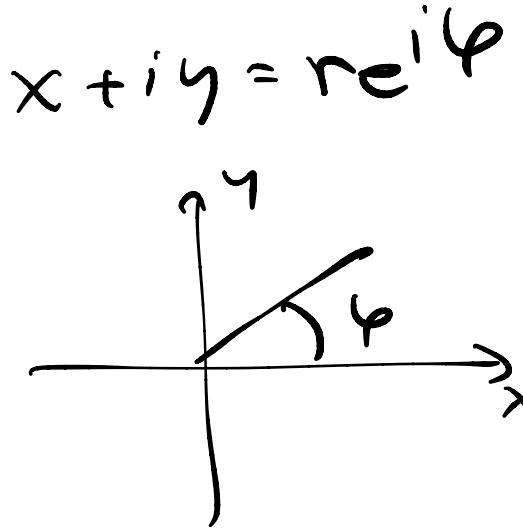
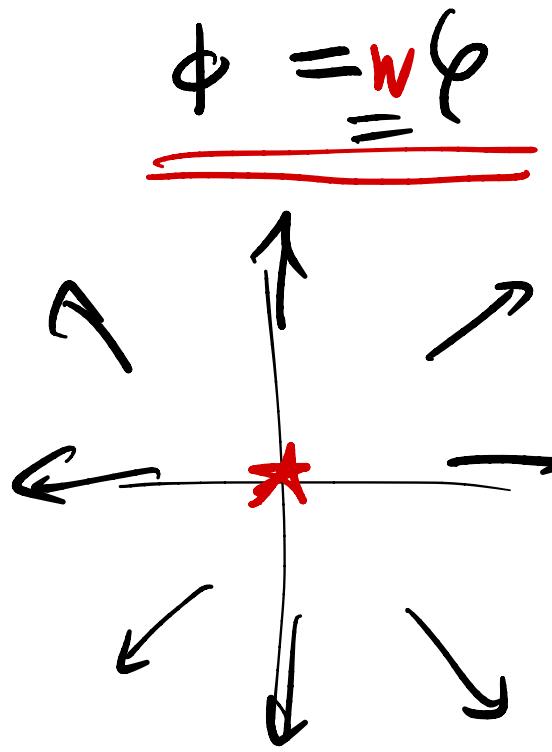
ex:

$$f_{LG} \{ \bar{\Phi}(x) = p(x) e^{i\phi(x)} \}$$

$$\phi = \phi_0$$



$$d\phi = 0 \rightarrow \oint d\phi = 0$$



single-valued  
under  $\varphi \rightarrow \varphi + 2\pi$

$$\Rightarrow w \in \underline{\mathbb{C}}$$

$$\int_{\text{unit circle}} d\varphi = \int_0^{2\pi} d\varphi = 2\pi$$