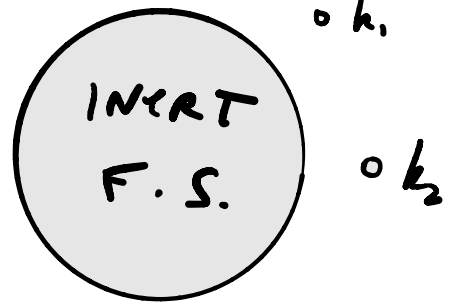


Cooper Problem:

$$|\psi\rangle = \sum_{k_1 k_2} a_{k_1 k_2} \psi_{k_1}^\dagger \psi_{k_2}^\dagger |FS\rangle$$



$$H_c |\psi\rangle = E |\psi\rangle \implies$$

$$E a_{k_1 k_2} = (\epsilon_{k_1} + \epsilon_{k_2}) a_{k_1 k_2}$$

$$+ \sum_{k'_1 k'_2} \underbrace{\langle k_1 k_2 | \hat{V} | k'_1 k'_2 \rangle}_{\text{interaction}} a_{k'_1 k'_2}$$

$v_0 > 0$   
(attractive)

$$= \begin{cases} -v_0/V & \text{if } k_F < k_1, k_2, k'_1, k'_2 < k_a \\ 0 & \text{else} \end{cases}$$

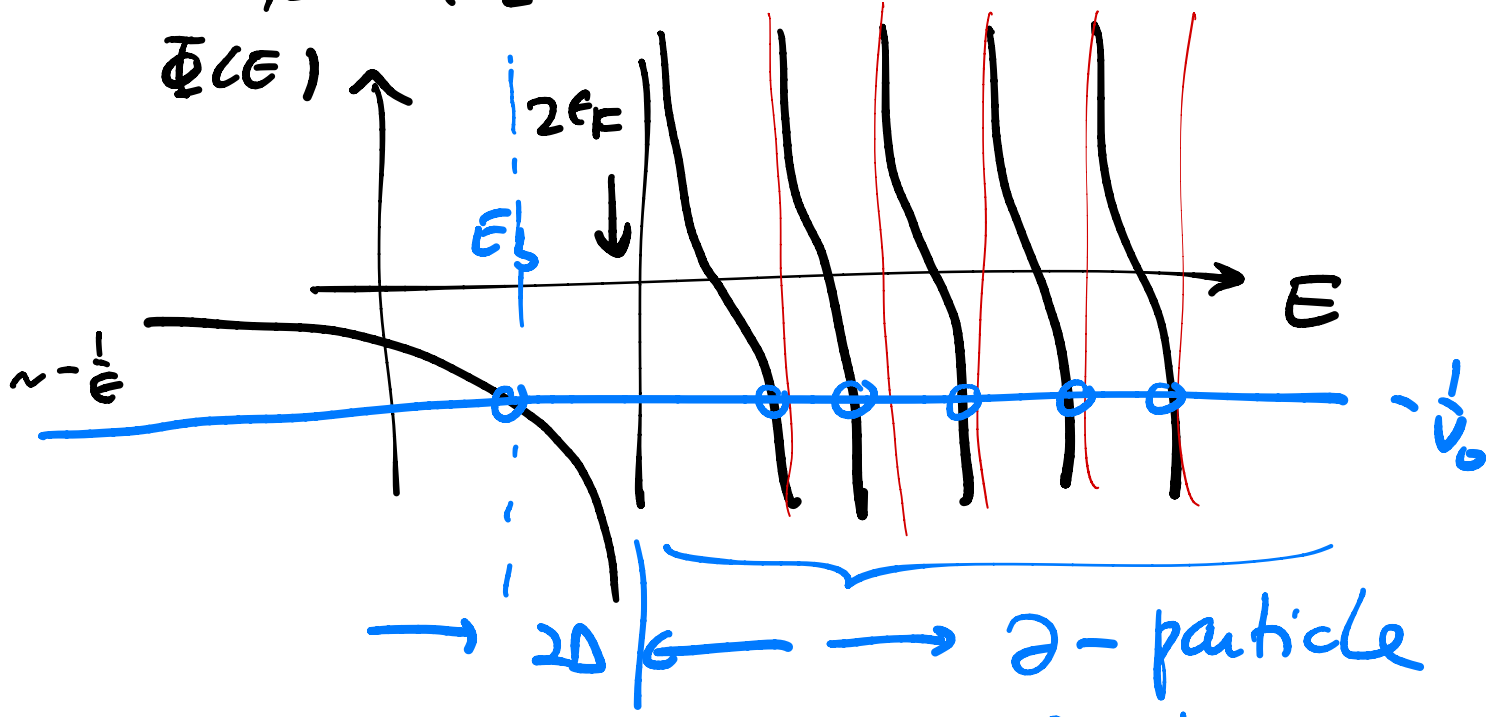
$$\iff -\frac{1}{v_0} = \frac{1}{V} \sum_k' \frac{1}{E - \epsilon_{k_1} - \epsilon_{k_2}} \equiv \underline{\underline{\Phi(E)}}$$

$$\sum_k' \equiv \sum_{k_F < |\frac{K}{2} \pm k| < k_a}$$

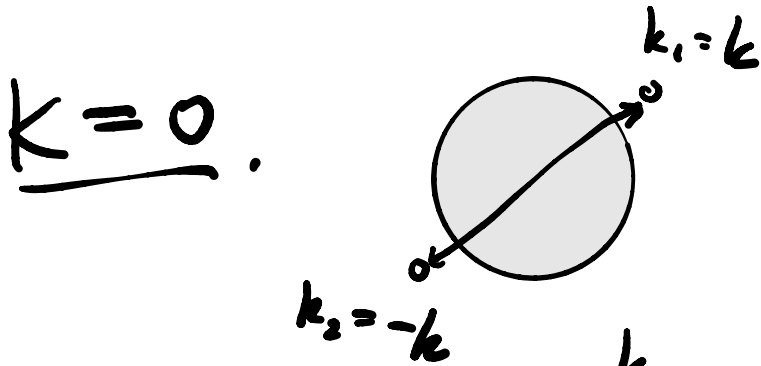
$$K = k_1 + k_2 \text{ COM}$$

$$k = \frac{k_1 - k_2}{2} \text{ relative}$$

$$\vec{k}_{1,2} \in \left\{ \frac{2\pi}{L} \vec{n} \right\} \cap \left\{ k_F < |\vec{k}| < k_a \right\}$$



$$E_b = 2E_F - 2\Delta$$



$$\rightarrow \sum_k^1 = \sum_{k_F < |\vec{k}| < k_a}$$

$$\Phi_{K=0}(E) = \int_{k_F}^{k_a} \frac{d^d k}{E - 2\epsilon_k} \quad \uparrow \quad \int_{E_F}^{E_a} \frac{d\epsilon g(\epsilon)}{E - 2\epsilon}$$

$$g(\epsilon) \equiv \int d^d k f(\epsilon_k - \epsilon)$$

$$I_{K=0}(E) \approx g(E_F) \int_{E_F}^{E_a} \frac{dE}{E - 2E}$$

$$E < 2E_F \quad = -g(E_F) \log \left| \frac{2E_a - E}{2E_F - E} \right| \stackrel{!}{=} -\frac{1}{V_0}$$

$$E_b \equiv 2E_F - 2\Delta$$

$$\Delta = \frac{E_a - E_F}{e^{\frac{2}{V_0 g(E_F)} - 1}} \approx E_D e^{-\frac{2}{V_0 g(E_F)}} \quad V_0 g < 1$$

$$a_k(k) \propto \frac{1}{E - \epsilon_{k_1} - \epsilon_{k_2}}$$

$$\psi(r_1, r_2) \equiv \sum_{k_1 k_2} e^{i k_1 r_1 + i k_2 r_2} a_{k_1 k_2}$$

$$\sim e^{i K \cdot \frac{r_1 + r_2}{2}} \frac{1}{V} \sum_k e^{-i k \cdot (r_1 - r_2)} \frac{1}{E - \epsilon_{k_1} - \epsilon_{k_2}}$$

$V \rightarrow \infty$   
 $\longrightarrow$

$$\int d^d k \frac{e^{i k \cdot r_{12}}}{E - \epsilon_{k_1} - \epsilon_{k_2}} \stackrel{K \rightarrow 0}{\approx} \frac{\sin k_F |r_{12}|}{|r_{12}|} \sin \frac{|r_{12}|}{\xi}$$

s-wave

① Non-perturbative  
in  $V_0$ .

②  $\Delta > 0$  for any  
 $V_0$ .

$$= \psi(|r_{12}|)$$

$$\xi = \frac{2k_F}{\pi \Delta} \text{ 'size' of the Cooper pair.}$$

Warning: FS is not really inert.

→ not a bound state ( $E < 2\epsilon_F$ )  
but an instability  $E = 2\epsilon_F + i\delta$   
 $\delta > 0.$

poetry: every electron experiences this.

Q: what is the role of FS?

redo w/  $k_F = 0$ . attraction

requires a threshold  $V_0 > V_0^c$ .

to have  $\Delta > 0$ .

# 4.5 Instabilities of a FS to attractive interactions

$$H = -t \sum_{\langle xy \rangle} c_{x\sigma}^\dagger c_{y\sigma} + h.c. + U \sum_x (n_x - 1)^2$$

$$\equiv H_t + H_U$$

$$n_x = \sum_{\sigma} c_{x\sigma}^\dagger c_{x\sigma}$$

$$H_t = \sum_k \epsilon_k c_{k\sigma}^\dagger c_{k\sigma}$$

$$\epsilon_k = -2t (\cos k_x a + \cos k_y a) - \mu$$

local  $U > 0$  : AFM.

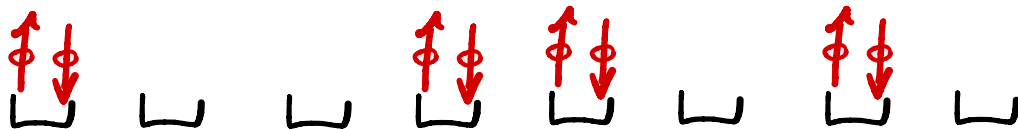
( $\mu=0$  is half-filling)

$U < 0$  First,  $U \rightarrow -\infty$ .

$$U (n_x - 1)^2 = -|U| (n_x - 1)^2$$

minimized when  $n_x = 0$  or  $2$   $\forall x$ .

$2^V$   
states



BEC of  $\psi_x^{\dagger} = c_{\uparrow x}^{\dagger} c_{\downarrow x}^{\dagger}$ . ( $\forall \mu$ ).

$|U| < \infty$ . MFT

$$U(n_x - 1)^2 = \underbrace{U n_x^2}_{\Delta} - \underbrace{2U n_x}_{-\delta\mu n_x} + \underbrace{U}_{\text{const}}$$

$$U n_x^2 = U (c_{x\uparrow}^{\dagger} c_{x\uparrow} + c_{x\downarrow}^{\dagger} c_{x\downarrow})^2$$

$c^2 = 0$

$$= 2U c_{x\uparrow}^{\dagger} c_{x\uparrow} c_{x\downarrow}^{\dagger} c_{x\downarrow}$$

$$= -2U c_{x\uparrow}^{\dagger} c_{x\downarrow}^{\dagger} c_{x\uparrow} c_{x\downarrow}$$

$$H_{MF} = \sum_k (\epsilon_k - \mu) c_{k\sigma}^{\dagger} c_{k\sigma}$$

$$-2U \sum_x \left( \underbrace{\langle c_{x\uparrow}^{\dagger} c_{x\downarrow}^{\dagger} \rangle}_{\equiv \Delta} c_{x\uparrow} c_{x\downarrow} + c_{x\uparrow}^{\dagger} c_{x\downarrow}^{\dagger} \underbrace{\langle c_{x\uparrow} c_{x\downarrow} \rangle}_{-\Delta^*} \right)$$

$$- \underbrace{\langle c_{\uparrow}^{\dagger} c_{\downarrow}^{\dagger} \rangle}_{\Delta} \underbrace{\langle c_{\uparrow} c_{\downarrow} \rangle}_{-\Delta^*}$$

$$H_{MF} = \sum_k c_{k\sigma}^\dagger c_{k\sigma} (\epsilon_k - \mu) - 2U \sum_x \left( \Delta c_{x\uparrow} c_{x\downarrow} + \Delta^* c_{x\downarrow}^\dagger c_{x\uparrow}^\dagger \right) - 2UV |\Delta|^2$$

$$c_{x\sigma} \equiv \frac{1}{\sqrt{V}} \sum_k e^{ikx} c_{k\sigma}$$

$$= \sum_k \left[ c_{k\sigma}^\dagger c_{k\sigma} (\epsilon_k - \mu) + 2U \left( \Delta^* c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger + \Delta c_{k\downarrow} c_{-k\uparrow} \right) \right] - \frac{2UV|\Delta|^2}{V}$$

$$U(1): c \rightarrow e^{i\theta} c$$

symmetry of tl.

Not a symmetry

of  $H_{MF}$ .

Solve  $H_{MF}$ . Bogoliubov.

only  $c \rightarrow -c$

$\mathbb{Z}_2 \subset U(1)$ .

$$\left\{ \begin{aligned} d_{k\downarrow} &\equiv c_{-k\downarrow}^\dagger \\ d_{k\uparrow} &\equiv c_{+k\uparrow} \end{aligned} \right. \Rightarrow$$

$$\left\{ \begin{aligned} d_{k\downarrow}^\dagger &= c_{-k\downarrow} \\ d_{k\uparrow}^\dagger &= c_{k\uparrow}^\dagger \end{aligned} \right.$$

canonical

$$\{d_k, d_{k'}^\dagger\} = \delta(k-k'), \quad \{d_k, d_{k'}\} = 0.$$

$$H_{MF} = \sum_k (\epsilon_k - \mu) d_{k\uparrow}^\dagger d_{k\uparrow}$$

$$- \sum_k (\epsilon_k - \mu) d_{k\downarrow}^\dagger d_{k\downarrow}$$

assume

$$\epsilon(k) = \epsilon(-k)$$

$$+ 2U \sum_k (d_{k\uparrow}^\dagger d_{k\downarrow} \Delta^* + \text{h.c.})$$

$$\begin{aligned} c_{k\downarrow}^\dagger c_{k\downarrow} &= \\ &= d_{-k\downarrow}^\dagger d_{-k\downarrow} \\ &= -d_{-k\downarrow}^\dagger d_{-k\downarrow} \end{aligned}$$

$$- 2UV |\Delta|^2$$

$$= \begin{pmatrix} d_{k\uparrow}^\dagger & d_{k\downarrow} \end{pmatrix} \begin{pmatrix} \epsilon_k - \mu & 2\Delta^* U \\ 2\Delta U & -(\epsilon_k - \mu) \end{pmatrix} \begin{pmatrix} d_{k\uparrow} \\ d_{k\downarrow} \end{pmatrix}$$

$$= Z(\epsilon_k - \mu) + X(2U \text{Re} \Delta)$$

$$+ Y(2U \text{Im} \Delta) = \vec{h} \cdot \vec{\sigma} + h_0 \mathbb{1}$$

$$- 2UV |\Delta|^2$$

evals are

$$\pm \sqrt{h^2} = \pm \sqrt{(\epsilon_k - \mu)^2 + 4U^2 |\Delta|^2}$$

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$$\underbrace{a_\varphi^\dagger} = \sum_k \underbrace{\psi(k)} a_k^\dagger$$



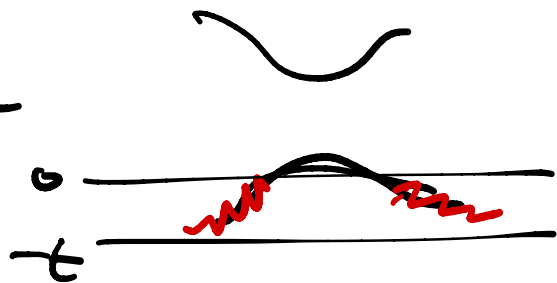
$$| \text{gs of } H_{MF} \rangle = \prod_k (u d_{\uparrow k}^{\dagger} + v d_{\downarrow k}^{\dagger}) | \tilde{0} \rangle \quad d_n | \tilde{0} \rangle = 0$$

$$E_0^{MF} = +|u| |\Delta|^2 V - \sum_k^{k_F} \sqrt{(\epsilon_k - \mu)^2 + 4U^2 |\Delta|^2}$$

Minimize over  $\Delta$ .  $= \langle MF | \hat{H} | MF \rangle.$

$$1 = |u| \int_0^t \frac{d\epsilon g(\mu + \epsilon)}{\sqrt{\epsilon^2 + 4U^2 |\Delta|^2}}$$

$$\approx |u| g(\epsilon_F) \int_{-t}^0 \frac{d\epsilon}{\sqrt{\epsilon^2 + 4U^2 |\Delta|^2}}$$




$$\approx |u| g(\epsilon_F) \log \frac{t}{2|\Delta|u} \quad (\Delta t \gg 2U\Delta)$$

$$\rightarrow |\Delta| \approx \frac{t}{2|u|} e^{-\frac{1}{4g(\epsilon_F)|u|}} \quad (u < 0)$$

$$\Delta = \langle c_{x\uparrow}^{\dagger} c_{x\downarrow}^{\dagger} \rangle$$

(superconductivity.)

condensation  
of fermion  
pairs.

$U$   Coulomb interactions + phonons  
screened, repulsive

5 Linear Response  $\oplus$  shoulders of giants  
 $\odot$  ...

What's an experiment?

- ① poke
- ② see what happens.

①:  $H = H_0 + \underline{\underline{V(t)}}$

$$V(t) = \begin{cases} 0 & \text{before} \\ & \text{expt} \\ \dots & \text{during} \\ & \text{after.} \end{cases}$$

② measure  $\langle \odot \rangle$ .

Specialize: A) system starts in eqbm.  
 $|\Phi_0\rangle \langle \Phi_0|$  or  $\rho_0 = \frac{e^{-H_0/T}}{\mathcal{Z}}$   
 $\tau$  eqs of  $H_0$ .

B) small  $V \rightarrow \langle \odot \rangle$  is linear in  $\epsilon$ .  
 $V \propto \epsilon \Rightarrow$  determined by eqbm  
correlation fns.