

### 3.6 Classification of Simple Lie algebras

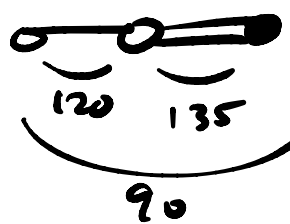
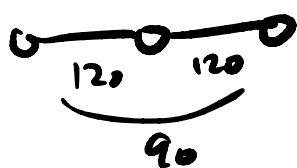
The simple roots of a simple Lie alg  $\mathfrak{g}$  satisfy:

A) there are  $\text{rank}(\mathfrak{g}) = r$  of them, lin. indep.

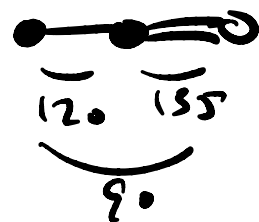
B)  $A = \frac{2\alpha \cdot \beta}{\alpha^2} \in \{0, -1, -2, -3\}$

C) Dynkin diagram is Connected.

Lemma 1: A 3-node <sup>connected</sup> subdiagram must be:



or



$\Rightarrow$  the only triple line occurs in  $G_2$



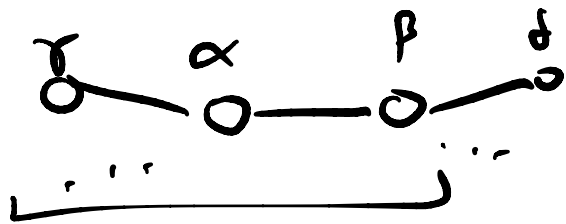
Lemma 2: If  $\dots \overset{\alpha}{\circ} - \overset{\beta}{\circ} \dots$  is ok

then so is  $\dots \overset{\alpha+\beta}{\circ} \dots$  is too.

pf: Recall if  $\overset{\alpha}{\circ} - \overset{\beta}{\circ}$  is ok  $\alpha = \beta^2$  and  $\frac{\alpha \cdot \beta}{\alpha^2} = -\frac{1}{2}$

then  $\alpha + \beta$  is also a root.

$\Rightarrow$  this is a subalgebra

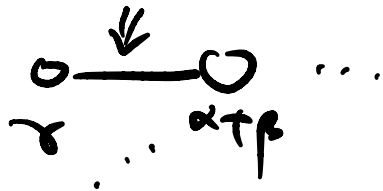


$$\left. \begin{aligned} \gamma \cdot \beta &= 0 \\ \delta \cdot \alpha &= 0 \end{aligned} \right\} \leftarrow \text{lemma 1.}$$

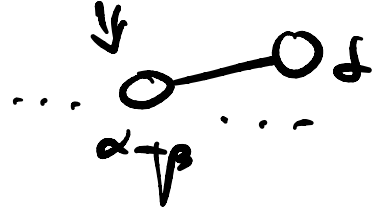
$$\alpha^2 = \beta^2 = 0.$$

$$\Rightarrow \overline{(\alpha + \beta)^2} = \alpha^2 + \beta^2 + 2\alpha \cdot \beta = \alpha^2.$$

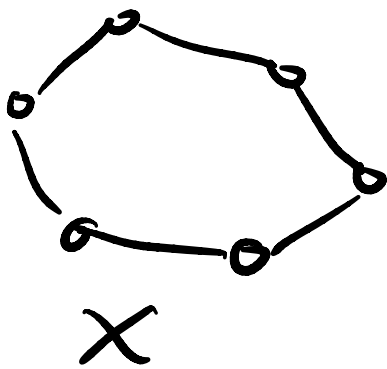
$$\Rightarrow \gamma \cdot (\alpha + \beta) = \gamma \cdot \alpha$$



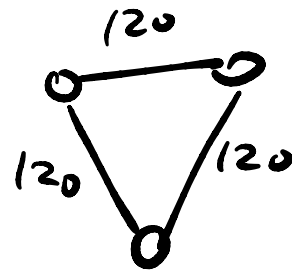
$$\delta \cdot (\alpha + \beta) = \delta \cdot \beta$$



lemma 2  
⇒



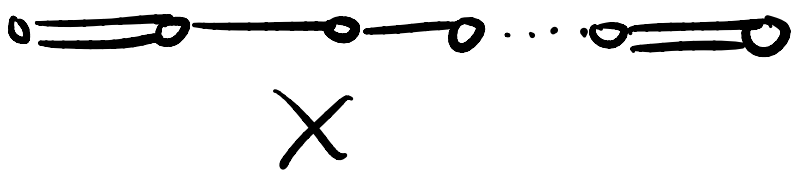
smash



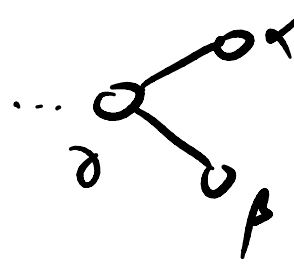
lemma 1  
X

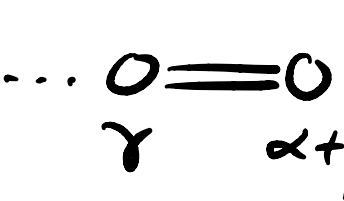
no loops!

smash



X lemma 1

Lemma 3: If  is OK

then  is too.

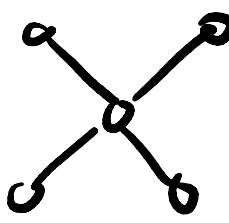

Pf:  $\alpha \cdot \beta = 0$ .  $(\alpha + \beta)^2 = \alpha^2 + \beta^2 = 2\alpha^2$ .  $\alpha^2 = \gamma^2 = \beta^2$

$$\frac{2\alpha \cdot \gamma}{\alpha^2} = \frac{2\alpha \cdot \gamma}{\gamma^2} = \frac{2\beta \cdot \gamma}{\beta^2} = \frac{2\beta \cdot \gamma}{\gamma^2} = -1.$$

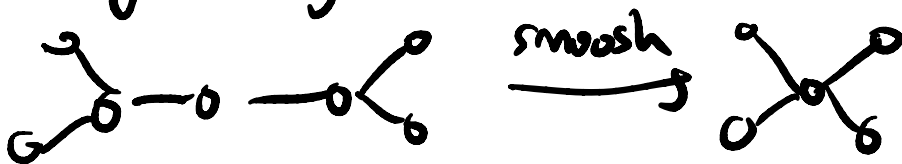
$$\Rightarrow \frac{2(\alpha + \beta) \cdot \gamma}{\gamma^2} = -2 \quad \frac{2(\alpha + \beta) \cdot \gamma}{(\alpha + \beta)^2} = -1.$$

$\Rightarrow$    $\square$

Lemma 3

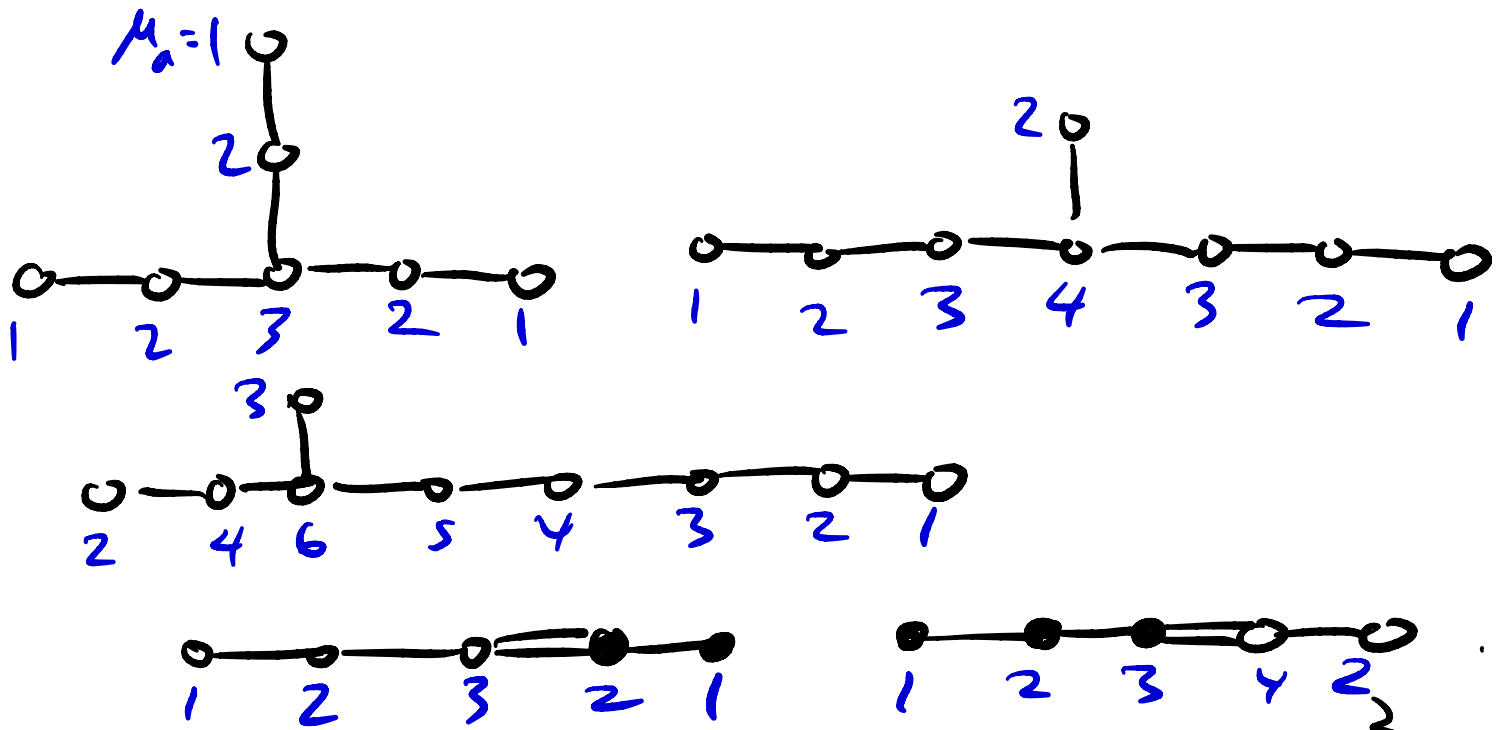
$\Rightarrow$  if  were OK, then  would be  $\times$  by lemma 1.

$\Rightarrow$  only one junction is allowed



Lemma 143:  $\leq 3$  lines can come out of any node.

Lemma 4: The following circuit satisfy (A):



Pf:  $\sum_{a=1}^r \mu_a \alpha_a = 0 \iff \underline{\underline{(\sum \mu_a \alpha_a) = 0}}$



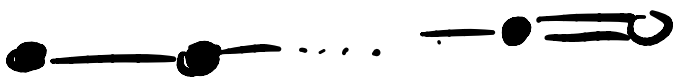
This leaves:



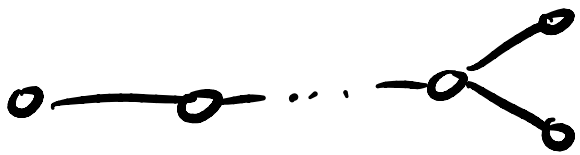
$$A_n = \text{su}(n+1)$$



$$B_n = \text{so}(2n+1)$$



$$C_n = \text{sp}(2n)$$



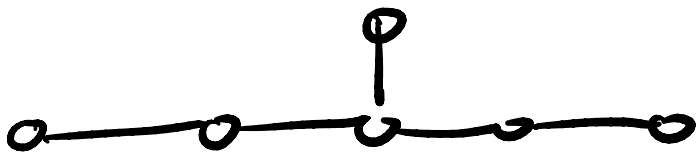
$$D_n = \text{so}(2n)$$



$$G_2$$



$$F_4$$



$$E_6$$



$$E_7$$



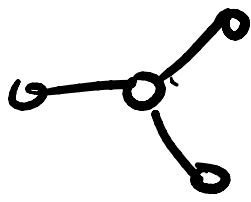
$$E_8$$

Coincidences at low rank

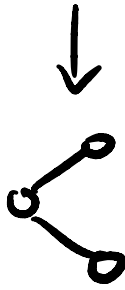
$$A_1 = B_1 = C_1 = \text{"0"}$$

$$B_2 = C_2 \quad \text{---} \bullet$$

$$\text{so}(5) = \text{sp}(4)$$



$D_4$  has  $S_3$  symmetry.  
"triality".



$$= D_3 = A_3 = \text{---} \circ \text{---} \circ \text{---} \circ \text{---}$$



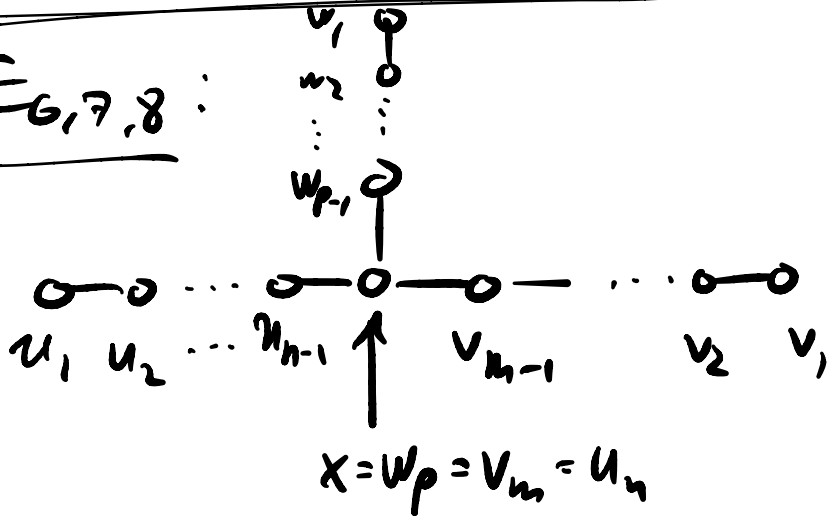
$$= D_2 = A_1 \times A_1$$

$$SO(4) = SU(2) \times SU(2)$$

( $D_1$  does not exist)

How to discover  $E_{6,7,8}$ :

$$\alpha^2 = 1$$



Let:

$$\begin{cases} u = \sum_{k=1}^{n-1} k u_k \\ v = \sum_{k=1}^{m-1} k v_k \\ w = \sum_{k=1}^{p-1} k w_p \end{cases}$$

$$u \cdot v = 0, v \cdot w = 0, u \cdot w = 0$$

$$u^2 = \frac{1}{2}n(n-1), v^2 = \frac{1}{2}m(m-1), w^2 = \frac{1}{2}p(p-1)$$

$$X \cdot u = -\frac{1}{2}(n-1), X \cdot v = -\frac{1}{2}(m-1), X \cdot w = -\frac{1}{2}(p-1)$$

$$\text{let } s \equiv x - (x \cdot \hat{u})\hat{u} - (x \cdot \hat{v})\hat{v} - (x \cdot \hat{w})\hat{w}.$$

$$(\hat{u} = \frac{x}{\sqrt{x^2}} \dots)$$

$$0 < s^2$$

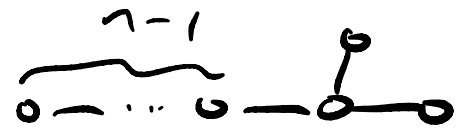
$$= \frac{3}{2} - \frac{1}{2} \left( (x \cdot \hat{u})^2 + (x \cdot \hat{v})^2 + (x \cdot \hat{w})^2 \right)$$



$$1 < \frac{1}{n} + \frac{1}{m} + \frac{1}{p}$$

$\Rightarrow$  at least one of  $(n, m, p)$  is  $< 3$ .

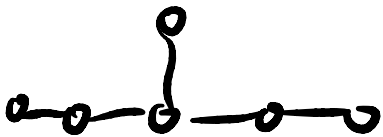
Besides  $(n, m, p) = (n, 2, 2)$



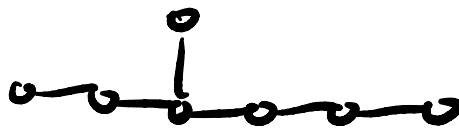
$= so(2n+4) \checkmark$

the only sol's are

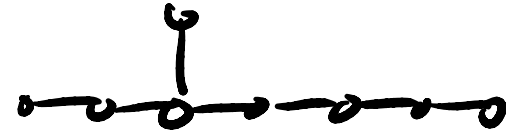
$(3, 3, 2) \quad (3, 4, 2) \quad (3, 5, 2)$



$E_6$



$E_7$



$E_8$

Dynkin diagram w/ no double or triple lines = Simply Laced

= ADE.

eg: Discrete subgroups of  $SU(2)$  OR  $SO(3)$ :  
 $A_n = \mathbb{Z}_n$   
 $D_n = D_n$   
 $E = \{T, O, I\}$

singularities of complex surfaces.

$X = ADE$   
Dynkin diagram

$M_X = \mathbb{C}^2 / \Gamma_X$  is a space  
with holonomy  $\Gamma_X$ .

$\Gamma_X \subset SU(2)$ .

string theory on  $M_X \rightarrow X$  gauge theory.

$H_i \rightsquigarrow$  RR vectors perturbative strings.

$E_\alpha \rightsquigarrow$  D2 branes

### 3.7 Classical groups

$$H = H^T \Rightarrow$$

defn:  $A_{n-1} = SU(n)$

$$U = e^{iH} \text{ is unitary}$$

$\text{tr } H = 0 \Rightarrow$

is special unitary  
 $\det U = 1$

$SU(n) = \{ n \times n, \text{ traceless, hermitian matrices} \}$   $\left( \begin{array}{c} n^2 - 1 \\ = \dim SU(n) \end{array} \right)$

rank is  $n-1$ .

Cartan subalgebra =  $\{ a_i h_i \mid \sum_i a_i = 0 \}$ .

$$h_i \equiv i \begin{pmatrix} 0 & & \\ & 1 & \\ & & 0 \end{pmatrix} \quad \text{i.e. } (h_i)_{jk} = \delta_{ij} \delta_{ik}.$$

$$E_{(ij)} \equiv \begin{pmatrix} & & i \\ & & \\ & j & \\ & & \end{pmatrix} \quad \text{i.e. } (E_{(ij)})_{kl} = \delta_{ik} \delta_{jl}.$$

are eigenvectors of  $\text{ad}_h$  :

$$[a \cdot h, E_{(ij)}] = (a_i - a_j) E_{(ij)}$$

$$(a \cdot h) = \begin{pmatrix} a_1 & & \\ & a_2 & \\ & & \dots \\ & & & a_n \end{pmatrix} \quad \text{coeff. of } a_e$$

$$[h_e, E_{(ij)}] = (\delta_{ei} - \delta_{ej}) E_{(ij)}$$

$$= (\underline{e_i - e_j})_e E_{(ij)}$$

$$(e_i = \begin{pmatrix} 0 & & \\ & 1 & \\ & & 0 \end{pmatrix})_i \quad (e_i)_j \equiv \delta_{ij}.)$$

$\Rightarrow$  roots are  $\{ \underline{e_i - e_j} \quad i \neq j. \}$

$$\dim \text{SU}(n) = \underbrace{n-1}_{\text{Cartan}} + \underbrace{\frac{n(n-1)}{2} \times 2}_{\text{nonzero roots}} = n^2 - 1.$$

positive roots:  $e^i - e^j \quad i < j \quad (e^1 > e^2)$

the roots  $e^i - e^j$  are  $n$ -component vectors

but lie in the plane  $\sum_{i,j} \alpha_{ij} = 0$

$\perp (e_1 + e_2 + \dots + e_n)$

Simple roots:  $e^1 - e^2, e^2 - e^3, \dots, e^{n-1} - e^n$

$e^i - e^{i+1}, i=1, \dots, n-1$

$$A_{k,k+1} = \frac{2\alpha_k \cdot \alpha_{k+1}}{\alpha_k^2} = \frac{2}{2} (e_k - e_{k+1}) \cdot (e_{k+1} - e_{k+2})$$

$$(A_{k,j \neq k \pm 1} = 0) = -1$$

$\Rightarrow$  

Fund. wts:  $\mu^b \quad \frac{2\alpha^a \cdot \mu^b}{(\alpha^a)^2} = f^{ab} \quad (\alpha^a \text{ simple roots})$

claim:  $\mu^b = \sum_{a=1}^b e^a$

why?

consider  $\mu' = e'$ . In the  $\underline{n}$ , fundamental rep,

the basis of  $h_i$  are  $|j\rangle$   $j=1 \dots n$   
 $\Rightarrow$  eval  $\delta_{ij} = (e_i)_j$

$|1\rangle$  is the H.W. state.

$\Rightarrow$  H.W. is  $e_1$ .

$$\Lambda^m \underline{n} \subset \underbrace{\underline{n} \otimes \underline{n} \dots \otimes \underline{n}}_{m \text{ times}}$$

H.W. state in  $\Lambda^m \underline{n}$  is  $|1\rangle \otimes |2\rangle \otimes |3\rangle \dots \otimes |m\rangle$   
 $- |2\rangle \otimes |1\rangle \otimes |3\rangle \dots$   
 $+ |2\rangle \otimes |3\rangle \otimes |1\rangle$   
 $+ \dots$

has weight

$$\sum_{a=1}^m e^a = \mu^m \checkmark$$

A basis for  $\Lambda^m \underline{n}$  is  $|i_1 \dots i_m\rangle = |i_1\rangle \otimes |i_2\rangle \otimes \dots$   
 $- |i_2\rangle \otimes |i_1\rangle \dots$

a general state

$$|A\rangle = \sum_{i_1 \dots i_m=1}^n A^{i_1 \dots i_m} |i_1 \dots i_m\rangle \quad = \sum_{\pi \in S_m} (-1)^\pi |i_{\pi_1}\rangle \otimes |i_{\pi_2}\rangle \otimes \dots$$

has dim  $\binom{n}{m}$

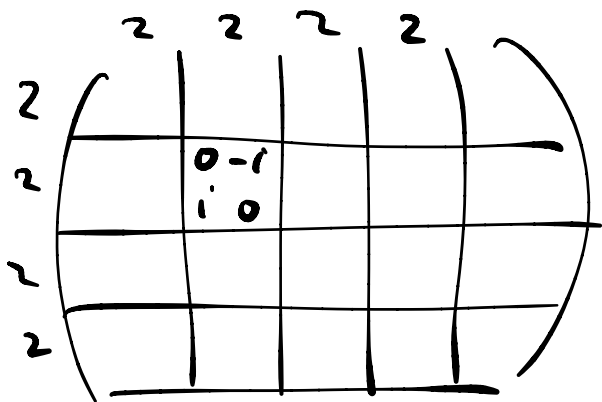




$so(N)$   $B_n, D_n$

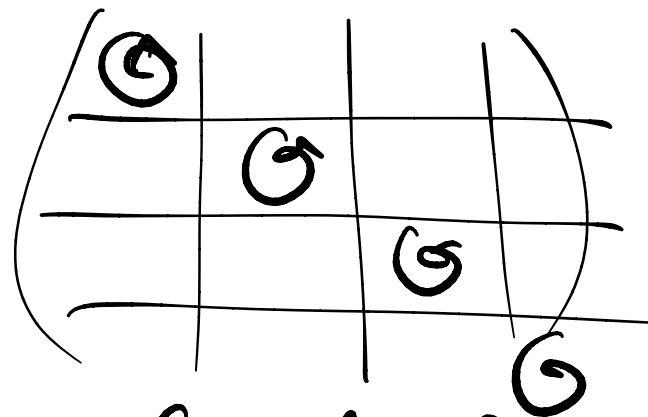
generators are A.S.  
(imaginary)  $N \times N$  matrices.

$N=2n$



$n$  2d subspaces.

Cartan generators  
generate  $so(2)$   $\subset so(2n)$



$$(H_m)_{jk} = -i (f_{j,2m-1} f_{k,2m} - f_{j,2m} f_{k,2m-1})$$

$$= \sigma^2 \otimes (\text{project onto } m\text{th 2d subspace})$$

has evals  $\pm 1$  or  $0$ .

$\rightarrow$  wts of  $2n$  are  $\pm e^i$ ,  $i=1 \dots n$ .

Roots:  $\{ \pm e^i \pm e^j, i \neq j \}$ .  $\pm$  unconnected.

Important note:  $\pm 2e^i$  is not a root.

$$E_{2e^i} | -e^i \rangle \propto | +e^i \rangle$$

$i=1$

$$\begin{pmatrix} 1 \\ -i \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

$$\begin{pmatrix} 1 \\ +i \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

no rotation takes this to this

(and preserves all other states)

$$\text{diag}(1, -1, \underline{\underline{1, 1, 1, \dots}})$$

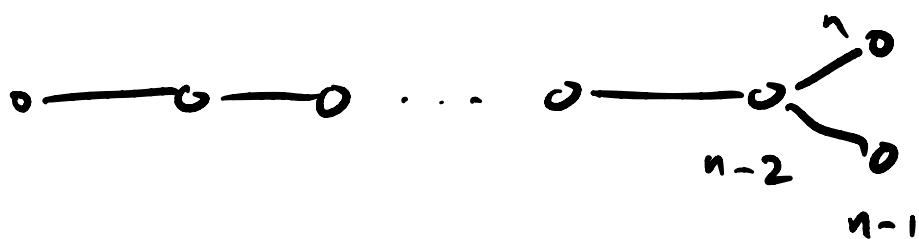
has det = -1.  $\Rightarrow$  not a rotation.

$$n \text{ Cartan generators} + \frac{n(n-1)}{2} \times 4$$

$$= n(2n-1) = \dim \text{SO}(2n) \checkmark$$

$$\text{positive roots: } \{ e^i \pm e^j \quad i < j \}$$

$$\text{simple roots: } \{ e^1 - e^2, e^2 - e^3, \dots, e^{n-1} - e^n, e^{n-1} + e^n \}$$
$$= \{ e^i - e^{i+1}, i=1, \dots, n \text{ and } e^{n-1} + e^n \}$$



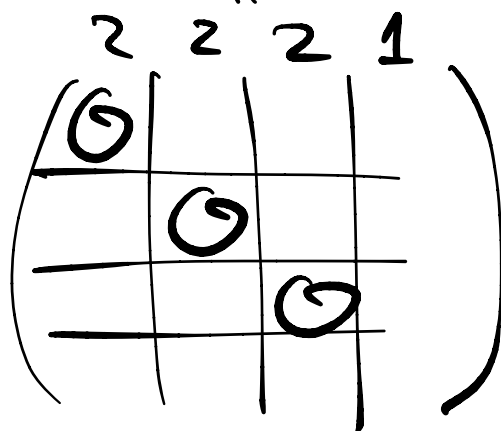
$D_n$ .

Fund. wts:  $\mu^b = \sum_{a=1}^b e^a \quad b < n-1.$  } HWs of  $\Lambda_{2n}^b$ .

$\mu^{n-1} = \frac{1}{2} (e^1 + \dots + e^{n-1} - e^n)$   
 $\mu^n = \frac{1}{2} (e^1 + \dots + e^{n-1} + e^n)$  } HW of Spinor reps.

(do not appear in any  $n$  <sup>ok</sup>.)

$N = 2n+1$  odd.



annihilate  $\begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$   
 $\Rightarrow \text{not } 0.$

Cartan is the same.

new roots which take  $\sqrt{2}$  <sup>a</sup> 2d subspace  $|e^i\rangle$   
to the 1d space  
of wt 0.

$\pm e^i$

$\{ \pm e^i \pm e^j, i \neq j, \pm e^i \}$ .  $\dim \mathfrak{so}(2n+1) = n + 4 \frac{n(n-1)}{2} + 2n = n(2n+1) \checkmark$

positive roots:  $e^i \pm e^i \quad i=1, \dots, n$

simple roots:  $e^i - e^{i+1} \quad i=1, \dots, n-1, e^n$

↑  
short  
root.



$$\mathfrak{B}_n = \mathfrak{so}(2n+1).$$

fund wts:  $\mu^b = \sum_{a=1}^b e_a \quad b < n-1 \leftarrow \Lambda_{2n+1}^b$

and  $\mu^n = \frac{1}{2}(e^1 + \dots + e^n)$

← spinor  
rep.

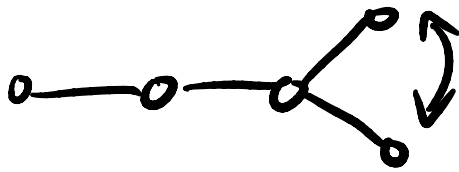
claim: Weyl group = inner automorphisms.

$$= N(T)/T$$

$T \cong e^{\mathfrak{h}} \cong$  Cartan subgroup  
 $= (U(1))^r$

$$N(T) = \{ ghg^{-1} \mid h \in T, g \in G \}$$

Symmetries of the Dynkin diagram  $\stackrel{=}{\longleftarrow}$  outer automorphisms.

eg:   $D_5 = so(10)$

$D_5 / \mathbb{Z}_2 = \text{---} \text{---} \text{---} \text{---} \bullet = \underline{\underline{B_4}} \cdot so(9)$

$D_4 / S_3 = \text{---} \text{---} \bullet = G_2$

