

Lect 2: edge modes of spin chains, AKLT, String order

① Schwinger boson representation of $SU(2)$ algebra

Consider a 2D harmonic oscillator $H = \hbar\omega(a^\dagger a + b^\dagger b)$, where

$$a = \frac{1}{\sqrt{2}} \left[\frac{x}{l} + i \frac{l p_x}{\hbar} \right], \quad b = \frac{1}{\sqrt{2}} \left[\frac{y}{l} + i \frac{l p_y}{\hbar} \right] \text{ are annihilation operators.}$$

Apparently, H has an $SU(2)$ symmetry, since $H = \hbar\omega (a^\dagger \ b^\dagger) \begin{pmatrix} a \\ b \end{pmatrix}$. It

is invariant under the transformation $\begin{pmatrix} a \\ b \end{pmatrix} \rightarrow u \begin{pmatrix} a \\ b \end{pmatrix}$ where $u^\dagger u = 1$.

The energy level degeneracy

$$\boxed{n_a + n_b = 2S}$$

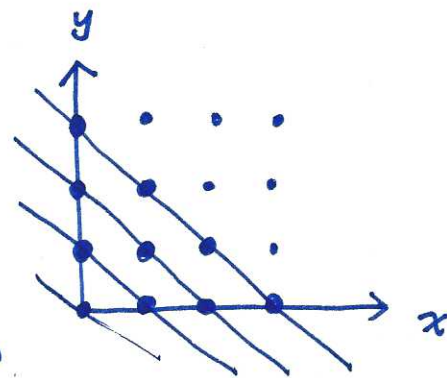
where $2S$ is an integer. The $2S+1$

fold degenerate states form a representation

of the $SU(2)$ group — then we can use this to represent spin

in terms of simple operators. Certainly, the price is that " a " and " b "

are anstrained!



$$\rightarrow S_x = \frac{1}{2} (a^\dagger b + b^\dagger a), \quad S_y = \frac{1}{2i} (a^\dagger b - b^\dagger a), \quad S_z = \frac{1}{2} (a^\dagger a - b^\dagger b)$$

$$\text{or } \vec{S} = \frac{1}{2} (a^\dagger \ b^\dagger) \vec{\sigma} \begin{pmatrix} a \\ b \end{pmatrix} \text{ under the constraint } \frac{1}{2} (n_a + n_b) = 2S$$

②

HW: Verify that the above define \vec{S} satisfies the $Su(2)$ algebra

$$[S_i, S_j] = i \epsilon_{ijk} S_k.$$

② Jordan - Wigner transformation for spin-1/2.

We can map spin \uparrow as particle and spin \downarrow as vacuum state. Then each site can at most be occupied by one particle, and particles at different sites commute. — spin-1/2 is equivalent to hard core boson.

Hard core boson is different from fermion. It only exhibits exclusion in real space, but not in momentum space. In fact, hard core bosons can develop BEC at 2 and 3-dimensions. Nevertheless, in 1D, there exists a non-local transformation changing hard core boson to fermion. The deep reason is the 1D geometry. We define

$$\left\{ \begin{array}{l} c_i^\dagger = S_+(i) e^{i\pi \sum_{j<i} (S_z(j) + 1/2)}, \quad c_i = S_-(i) e^{-i\pi \sum_{j<i} (S_z(j) + 1/2)} \\ c_i^\dagger c_i - \frac{1}{2} = S_z(i), \end{array} \right.$$

* The operator in the exponential is often called string operator.

$$e^{i\pi \sum_{j<i} (S_z(j) + 1/2)} = \prod_{j<i} (-)^{(S_z(j) + 1/2)}$$

It counts the even/oddness of the occupied sites (spin \uparrow) to the left of site i .

HW: Verify the above defined c_i, c_i^\dagger satisfying the fermion algebra, i.e. $\{c_i, c_j\} = \{c_i^\dagger, c_j^\dagger\} = 0$
 $\{c_i, c_j^\dagger\} = \delta_{ij}$.

HW: Time-reversal transformation on Jordan-Wigner fermions

Solution: $T \vec{S} T^{-1} = -\vec{S}$ and $T i T^{-1} = -i$

$$c_i^\dagger = S_+(i) \prod_{j<i} (-)^{S_z(j) + 1/2} \Rightarrow T c_i^\dagger T^{-1} = (-S_x(i) + i S_y(i)) \prod_{j<i} (-)^{-S_z(j) + 1/2}$$

$$\Rightarrow T c_i^\dagger T^{-1} = -S_-(i) \prod_{j<i} [(-)^{-1}]^{S_z(j) + 1/2} (-) = (-)^i S_-(i) \prod_{j<i} (-)^{S_z(j) + 1/2} = (-)^i c_i$$

$$T c_i T^{-1} = -S_+(i) \prod_{j<i} (-)^{S_z(j) + 1/2} = (-)^i c_i^\dagger$$

$$\begin{aligned} T c_i^\dagger T^{-1} &= (-)^i c_i \\ T c_i T^{-1} &= (-)^i c_i^\dagger \end{aligned}$$

\Rightarrow After J-W transf. $H = \sum_i J S_i \cdot S_{i+1} \rightarrow H = \sum_i J (c_i^\dagger c_{i+1} + h.c.) + J_2 (c_i^\dagger c_{i+1} - 1/2)(c_{i+1}^\dagger c_{i+2} + h.c.)$

→ The fermion version

$$T H T^{-1} = \sum_i J (-)^i (-)^{i+1} c_i c_{i+1}^\dagger + h.c. + J_2 \prod_i (c_i^\dagger c_i - 1/2) (c_{i+1}^\dagger c_{i+1} - 1/2)$$

$$= J \sum_i (c_i^\dagger c_{i+1} + h.c.) + \dots = H$$

The stagger sign is necessary!

③ By drawing an analogy with the SSH model, we can define an spin-1/2 version.

$$H = \sum_i J_1 \vec{S}_i \cdot \vec{S}_{i+1} + J_2 \vec{S}_{i+1} \cdot \vec{S}_{i+2}$$



spin-1/2 moment at the boundary

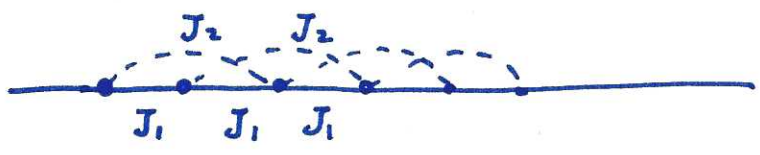


a spin 1/2 moment at the kink

HW: please prove these pictures of edge and domain spin-1/2 moments

The above picture is over-simplified. Unless $J_1 = 0$, the spin-1/2 moment is not completely localized on a single site, but has a finite distribution. It would be interesting to figure out the wavefunction in the S_z -basis.

Majumdar - Ghosh model — spontaneous dimerization



$$H = J_1 \sum_{j=1}^N \vec{S}_j \cdot \vec{S}_{j+1} + J_2 \sum_j \vec{S}_j \cdot \vec{S}_{j+2} \quad \text{for spin } -1/2$$

Exercise: If $J_2 = J_1/2 \Rightarrow H = J_2 \sum_j (\vec{S}_j + \vec{S}_{j+1} + \vec{S}_{j+2})^2 - \text{const}$

For 3 sites, the total spin can be either $1/2$ or $3/2$.

$$\Rightarrow H = J_2 \sum_j \left[\frac{15}{4} P_{3/2}(j, j+1, j+2) + \frac{3}{4} P_{1/2}(j, j+1, j+2) \right]$$

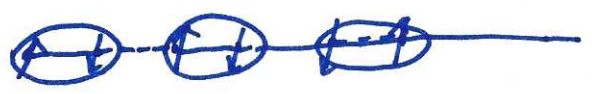
P is the projection operator, since $P_{3/2} + P_{1/2} = 1 \Rightarrow$

$$H = 3J_2 \sum_j P_{3/2}(j, j+1, j+2) + \text{const}$$

If we can minimize $P_{3/2}(j, j+1, j+2)$ for all j 's, then the ground state is achieved.

HW: \textcircled{D} prove that the dimerize state is a ground state.

spontaneously breaking of translation symmetry.



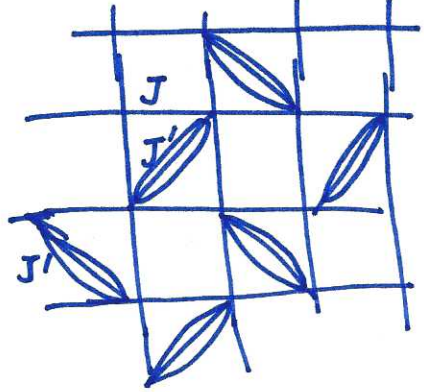
where ~~circle with vertical line~~ means 2-sites form a singlet!

$\textcircled{2}$ ~~Fractional~~ Fractionalized excitations — spin $1/2$



Another dimerized state is the 2D Shastly ^{- sutherland} model

This lattice is based on the square lattice, with some diagonal links shown in the figure.



$$H = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j + J' \sum_{\langle\langle ij \rangle\rangle} \vec{S}_i \cdot \vec{S}_j$$

↑ links on the square ↑ diag links

HW: ① Prove that the dimer states depicted above is actually an eigenstate of H.

② Can you figure out when this dimerized state is actually a ground state?

③ Search in the literature, find the materials with dimerized ground states which can be described by this model.

④ Spin-1 models (1D) - AKLT

$$H_{AKLT} = J \sum_i \vec{S}_i \cdot \vec{S}_{i+1} + \frac{1}{3} (\vec{S}_i \cdot \vec{S}_{i+1})^2 + \frac{2}{3}$$

$$\vec{S}_i \cdot \vec{S}_{i+1} = \frac{1}{2} (\vec{S}_i + \vec{S}_{i+1})^2 - 2 = \begin{cases} 1 & \text{for } S_{tot} = 2 \\ -1 & = 1 \\ -2 & = 0 \end{cases}$$

$$\rightarrow \vec{S}_i \cdot \vec{S}_{i+1} = P_2(i, i+1) - P_1(i, i+1) - 2P_0(i, i+1)$$

$$(\vec{S}_i \cdot \vec{S}_{i+1})^2 = P_2(i, i+1) + P_1(i, i+1) + 4P_0(i, i+1)$$

with $P_2(i, i+1) + P_1(i, i+1) + P_0(i, i+1) = 1$

$$\Rightarrow H_{AKLT} = J \sum_i P_2(i, i+1)$$

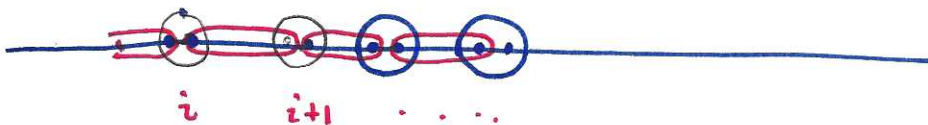
For spin-1, it can be written as 2-Schwinger bosons

$$S_z = 1 \quad \frac{1}{\sqrt{2}} (a^\dagger)^2 |0\rangle$$

$$0 \quad a^\dagger b^\dagger |0\rangle$$

$$-1 \quad \frac{1}{\sqrt{2}} (b^\dagger)^2 |0\rangle$$

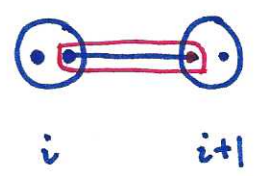
$$a^\dagger a + b^\dagger b = 2$$



Consider state $|\psi\rangle = \prod_i (a_i^\dagger b_{i+1}^\dagger - b_i^\dagger a_{i+1}^\dagger) |0\rangle$

Then $|\psi\rangle$ is a ground state.

Consider a bond $i, i+1$. If we trace out the states of all other sites, we are left the configuration



$$(a_i^\dagger b_{i+1}^\dagger - b_i^\dagger a_{i+1}^\dagger) \otimes [\text{one boson on } i \text{ and one boson on } i+1]$$

$$a_i^\dagger b_{i+1}^\dagger - b_i^\dagger a_{i+1}^\dagger = (a_i^\dagger \ b_i^\dagger) \underbrace{\begin{pmatrix} 1 & \\ -1 & \end{pmatrix}}_{\text{charge conjugation matrix } R} \begin{pmatrix} a_{i+1}^\dagger \\ b_{i+1}^\dagger \end{pmatrix}$$

charge conjugation matrix R

$$R \begin{pmatrix} a^\dagger \\ b^\dagger \end{pmatrix} \text{ under rotation, transforms the same as } \begin{pmatrix} a \\ b \end{pmatrix}$$

Exercise prove that actually $(a_i^\dagger b_{i+1}^\dagger - b_i^\dagger a_{i+1}^\dagger)$ is invariant under

rotation, i.e. form singlet. \Rightarrow the total spin of sites $i, i+1$

only comes from one boson on i and one boson on $i+1$. The

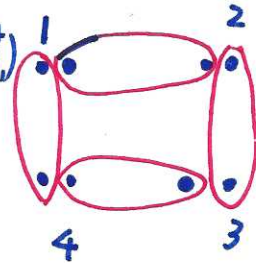
total spin can only be 0 or 1. \Rightarrow $P_2(i, i+1) |\psi\rangle = 0$.

\Rightarrow Since P_2 is a non-negative operator, $\Rightarrow |\psi\rangle$ is a ground state of H .

(I do not know how to prove the uniqueness of the ground state, I guess it is also true).

Let me use a 4-site ring to illustrate the WF

$$|\psi\rangle = (a_1^\dagger b_2^\dagger - b_1^\dagger a_2^\dagger)(a_2^\dagger b_3^\dagger - b_2^\dagger a_3^\dagger)(a_3^\dagger b_4^\dagger - b_3^\dagger a_4^\dagger)(a_4^\dagger b_1^\dagger - b_4^\dagger a_1^\dagger)$$



$$= a_1^\dagger b_2^\dagger a_2^\dagger b_3^\dagger a_3^\dagger b_4^\dagger a_4^\dagger b_1^\dagger - a_1^\dagger b_2^\dagger a_2^\dagger b_3^\dagger a_3^\dagger b_4^\dagger b_4^\dagger a_1^\dagger$$

L L L L L L L R

$$- a_1^\dagger b_2^\dagger a_2^\dagger b_3^\dagger b_3^\dagger a_4^\dagger a_4^\dagger b_1^\dagger + a_1^\dagger b_2^\dagger a_2^\dagger b_3^\dagger b_3^\dagger a_4^\dagger b_4^\dagger a_1^\dagger$$

L L R L L L R R

$$- a_1^\dagger b_2^\dagger b_2^\dagger a_3^\dagger a_3^\dagger a_4^\dagger b_1^\dagger + a_1^\dagger b_2^\dagger b_2^\dagger a_3^\dagger a_3^\dagger a_4^\dagger b_4^\dagger a_1^\dagger$$

L R L L L R L R

$$+ a_1^\dagger b_2^\dagger b_2^\dagger a_3^\dagger b_3^\dagger a_4^\dagger a_4^\dagger b_1^\dagger - a_1^\dagger b_2^\dagger b_2^\dagger a_3^\dagger b_3^\dagger a_4^\dagger b_4^\dagger a_1^\dagger$$

L R R L L R R R

$$- b_1^\dagger a_2^\dagger a_2^\dagger b_3^\dagger a_3^\dagger b_4^\dagger a_4^\dagger b_1^\dagger + b_1^\dagger a_2^\dagger a_2^\dagger b_3^\dagger a_3^\dagger b_4^\dagger b_4^\dagger a_1^\dagger$$

R L L L R L L R

$$+ b_1^\dagger a_2^\dagger a_2^\dagger b_3^\dagger b_3^\dagger a_4^\dagger a_4^\dagger b_1^\dagger - b_1^\dagger a_2^\dagger a_2^\dagger b_3^\dagger a_4^\dagger b_4^\dagger a_1^\dagger$$

R L R L R L R R

$$+ b_1^\dagger a_2^\dagger b_2^\dagger a_3^\dagger a_3^\dagger b_4^\dagger a_4^\dagger b_1^\dagger - b_1^\dagger a_2^\dagger b_2^\dagger a_3^\dagger a_3^\dagger b_4^\dagger a_4^\dagger b_4^\dagger a_1^\dagger$$

R R L L R R L R

$$- b_1^\dagger a_2^\dagger b_2^\dagger a_3^\dagger b_3^\dagger a_4^\dagger a_4^\dagger b_1^\dagger + b_1^\dagger a_2^\dagger b_2^\dagger a_3^\dagger b_3^\dagger a_4^\dagger b_4^\dagger a_1^\dagger$$

R R R L R R R R

$$\begin{aligned}
&= 2|0000\rangle - \frac{1}{2}|100-1\rangle - \frac{1}{2}|00-11\rangle + \frac{1}{2}|10-10\rangle \\
&\quad - \frac{1}{2}|0-110\rangle + \frac{1}{4}|1-11-1\rangle + \frac{1}{2}|0-101\rangle - \frac{1}{2}|1-100\rangle \\
&\quad - \frac{1}{2}|1-1100\rangle + \frac{1}{2}|010-1\rangle + \frac{1}{4}|-11-11\rangle - \frac{1}{2}|01-10\rangle \\
&\quad + \frac{1}{2}|-1010\rangle - \frac{1}{2}|001-1\rangle - \frac{1}{2}|-1001\rangle
\end{aligned}$$

Pattern observed ① If we remove "0", \Rightarrow then we have

1 -1 or 1-1-1, on a Neel order.

The AKLT ground state can be viewed by adding zeros into the Neel configure.

② states with more "1" and "-1" have less weight

HW ① For the long chain, prove that ^{for} in the ground state, in the S_z -representation, there does not exist two 1's or two -1's adjacent after 0's are removed.

② $O_{string} = \lim_{|i-j| \rightarrow \infty} \langle S_i^\alpha e^{i\pi \sum_{k=i}^{j-1} S_k^\alpha} S_j^\alpha \rangle \quad \alpha = x, y, z$

~~This non-local order develop non-zero expectation value.~~

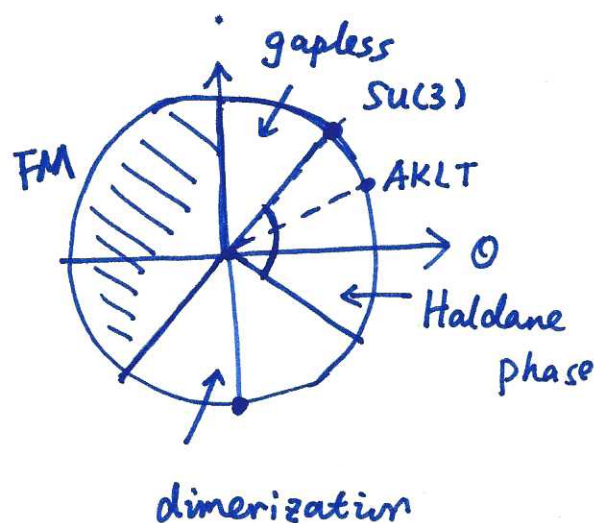
$O_{string} \neq 0 \rightarrow$ non-local order

~~AKLT state~~

Spin-1 mode $H = J \sum_i \cos \theta \vec{S}_i \cdot \vec{S}_{i+1} + \sin \theta (\vec{S}_i \cdot \vec{S}_{i+1})^2$

So $\theta = 0$, the pure Heisenberg model lies in the same phase of the AKLT one

	$S_i \cdot S_j$	$(S_i \cdot S_j)^2$	
Singlet 0	-2	4	$-2\cos \theta + 4\sin \theta$
Triplet 1	-1	1	$-\cos \theta + \sin \theta$
Quintet 2	1	1	$\cos \theta + \sin \theta$



① at $\theta = 45^\circ \Rightarrow E_{\text{singlet}} = E_{\text{quint}}$

SU(3) symmetry $3 \times 3 = 3 + 6$

② at ~~45°~~ $\theta = -90^\circ$, $E_{\text{triplet}} = E_{\text{quint}}$ $\square \times \square^* = 1 + 8$

SU(3), symmetry

{ other AKLT phase

