

# Phase-sensitive measurement — d-wave symmetry ①

The thermodynamic anomalies of the d-wave superconductors only detect the linear density of states of nodal quasi-particles. They are not phase sensitive — we need smoking gun evidence for sign-change of gap functions. Below we will see this from Josephson tunneling junction.

According to linear-response theory, the tunneling currents between SCs (see Dan's notes)

$$A = \sum_{kq\sigma} T_{kq} C_{L,k\sigma}^\dagger C_{Rq\sigma}, \rightarrow A(t) = \sum_{kq\sigma} T_{kq} C_{L,k\sigma}^\dagger(t) C_{Rq\sigma}(t).$$

$$K_0 = H_0 - \mu_L N_L - \mu_R N_R, \text{ and } eU = \mu_L - \mu_R$$

$$\rightarrow C_{\alpha,k\sigma}(t) = e^{-ik_0 t} C_{\alpha,k\sigma} e^{ik_0 t}, \quad \alpha = R, L.$$

The tunneling currents  $I(t) = I_Q(t) + I_J(t)$

$$I_Q(t) = -\frac{2e}{\hbar^2} \text{Im} \int_{-\infty}^{+\infty} dt' e^{ieU(t-t')} X_{\text{ret}}(t-t') \leftarrow \text{normal current}$$

$$I_J(t) = \frac{2e}{\hbar^2} \text{Im} \int_{-\infty}^{+\infty} dt' e^{-ieU(t+t')} Y_{\text{ret}}(t-t') \leftarrow \text{Josephson tunneling}$$

retarded Green's function

$$X_{\text{ret}}(t-t') = -i\theta(t-t') \langle [A(t), A^\dagger(t')] \rangle_0$$

$$Y_{\text{ret}}(t-t') = -i\theta(t-t') \langle [A^\dagger(t), A^\dagger(t')] \rangle_0 \leftarrow \text{tunneling } L \rightarrow R.$$

⇒ The Josephson channel

$$I_J(t) = \frac{2e}{\hbar^2} \text{Im} [ e^{-2ieUt} Y_{\text{ret}}(\Omega = eU) ]$$

where  $Y_{ret}(\omega) = \int_{-\infty}^{+\infty} dt e^{i\omega t} y_{ret}(t)$

$$Y_{ret}(\omega) = e^{i(\phi_R + \phi_L)} \sum_{kq} T_{kq} T_{-k-q} \frac{\Delta_L(k)}{E_L(k)} \cdot \frac{\Delta_R(q)}{E_R(q)}$$

$$\left[ \frac{1}{\hbar\omega + E_L(k) + E_R(q) + i\eta} - \frac{1}{\hbar\omega - E_L(k) - E_R(q) + i\eta} \right]$$

\* Check dimension  $[I] = \frac{e}{\hbar^2} \cdot [time] \cdot [energy]^2 = \frac{e}{\hbar} e [Volt] = \frac{e^2}{\hbar} [Voltage]$

correct:  $\frac{e^2}{\hbar}$  is the unit of conductance.

Assuming  $T_{kq}$ 's are momentum independent  $\Rightarrow$

$$Y_{ret}(\omega) = \frac{\hbar^2 G_{IV}}{2\pi e^2} e^{i(\phi_R - \phi_L)} \int_0^{+\infty} dS_L \int_0^{+\infty} dS_R \int \frac{d\phi_L}{2\pi} \int \frac{d\phi_R}{2\pi}$$

$$\left\{ \frac{\Delta_L(S_L, \phi_L)}{E_L} \frac{\Delta_R(S_R, \phi_R)}{E_R} \right\} \left[ \frac{1}{\hbar\omega + E_L + E_R + i\eta} - \frac{1}{\hbar\omega - E_L - E_R + i\eta} \right]$$

The new property of unconventional SC is the appearance of angular dependence of

$$\int \frac{d\phi_L}{2\pi} \int \frac{d\phi_R}{2\pi} \Delta_L(S_L, \phi_L) \Delta_R(S_R, \phi_R)$$

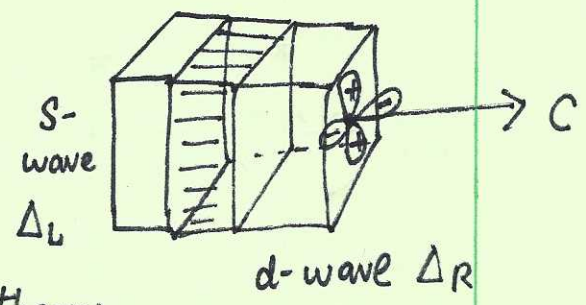
(if in 3d system  $\int \frac{d\phi_{L,R}}{2\pi} \rightarrow \int \frac{d\Omega_{L,R}}{4\pi}$ )

we can neglect angular dependence in  $E_L$  and  $E_R$ , because their dependence

is  $|\Delta_L|^2$  and  $|\Delta_R|^2$ .

① Tunneling between s-wave and d-wave SC along the c-axis

$$\int \frac{d\phi_R}{2\pi} \Delta_R^* (\phi_R, \phi_R) = 0!$$



No Josephson tunneling. This result is exact up to second order perturbation theory.

Q: Effective Ginzburg-Landau equation: Can we write down a coupling at the quadratic order? YBCO is a different story: it's s+d

$\Delta F = -J(\Delta_s^* \Delta_d + c.c.)$  No! this term is not invariant under rotation  $90^\circ$  around c-axis.

but s and d-wave part do can couple at quartic order as

$$\Delta F = J(\Delta_d^* \Delta_s)^2 + c.c.$$

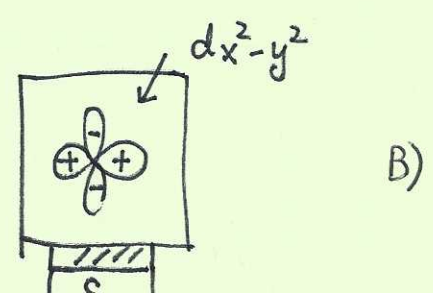
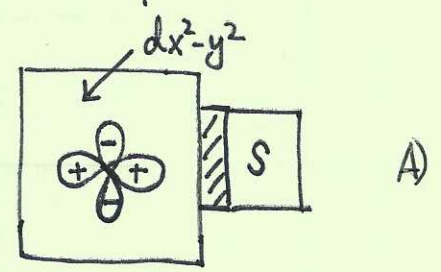
$$\rightarrow I \propto \sin[2(\phi_R - \phi_L + eU t)]$$

high order Josephson effect, two-pair tunneling.

② how over, if the junction is set in the ab-plane

due to geometry, we cannot neglect the momentum dependence of  $T_{k,q}$ .

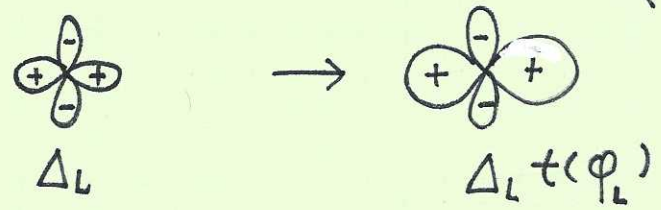
For example, the tunneling matrix elements for  $k \parallel$  surface and  $k \perp$  surface are different.



For example, in A) we expect that the tunneling for  $\vec{k} \parallel \hat{x}$  is the much stronger than  $\vec{k} \parallel \hat{y}$ . Thus the d-wave gap function is not averaged evenly, i.e.

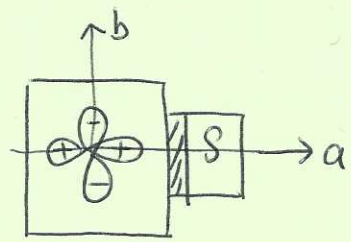
$$\int \frac{d\varphi_L}{2\pi} \Delta_L(\varphi_L) t(\varphi_L) \neq 0.$$

effect from tunneling matrix element.



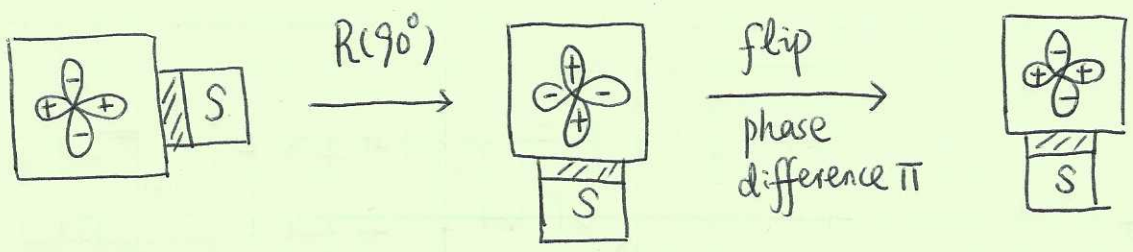
We do have coupling at quadratic level as

$$\Delta F_x = -J (\Delta_{s_1}^* \Delta_d + c.c.)$$



(There's no symmetry about this set-up which can change the sign of the d-wave part!)

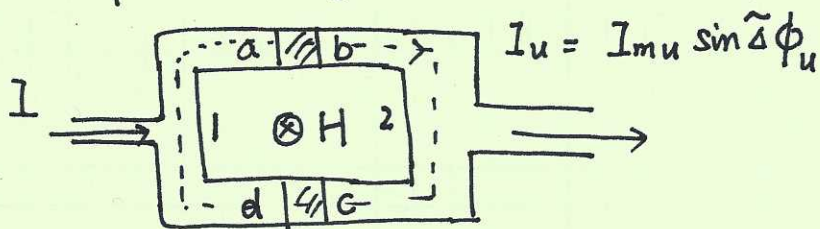
Nevertheless, we can build up the relation between the coupling configurations of A) and B).



$$\Delta F_y = -J (\Delta_{s_2}^* \Delta_d + c.c.)$$

# Digression ①: Coupled two-junction SQUID

①



$$I_d = I_{md} \sin \tilde{\Delta} \phi_d$$

$$I = I_u + I_d = I_{mu} \sin \tilde{\Delta} \phi_u + I_{me} \sin \tilde{\Delta} \phi_d$$

should be gauge invariant phase difference

If  $I_{mu} = I_{me} = I_m$ , we say that these two junctions are matched.

$$\Rightarrow I = 2I_m \sin \frac{\tilde{\Delta} \phi_u + \tilde{\Delta} \phi_d}{2} \cos \frac{\tilde{\Delta} \phi_u - \tilde{\Delta} \phi_d}{2}$$

$$\oint \nabla \phi \cdot d\ell = (\phi_b - \phi_a) + (\phi_c - \phi_b) + (\phi_d - \phi_c) + (\phi_a - \phi_d) = 2n\pi$$

The phase difference across the up and down junction

gauge invariant phase:

$$\tilde{\Delta} \phi_u = \phi_b - \phi_a - \left( \int_a^b \vec{A} \cdot d\vec{\ell} \right) \frac{2\pi}{\Phi_0}$$

that enters the formula of current.

$$\Rightarrow \phi_b - \phi_a = \tilde{\Delta} \phi_u + \left( \int_a^b \vec{A} \cdot d\vec{\ell} \right) \frac{2\pi}{\Phi_0}$$

$$\phi_d - \phi_c = \tilde{\Delta} \phi_d + \left( \int_c^d \vec{A} \cdot d\vec{\ell} \right) \frac{2\pi}{\Phi_0}$$

(phase across

the junction).

$$\Phi_0 = \frac{hc}{2e} = 2.07 \times 10^{-7} \text{ Gauss} \cdot \text{cm}^2$$

$$\phi_c - \phi_b = \int_b^c \nabla \phi \cdot d\ell = \frac{2\pi}{\Phi_0} \int_b^c \left( \vec{A} + \frac{4\pi}{c} \lambda_L^2 \vec{j} \right) \cdot d\vec{\ell}$$

inside superconductor

$$\phi_a - \phi_d = \int_d^a \nabla \phi \cdot d\ell = \frac{2\pi}{\Phi_0} \int_d^a \left( \vec{A} + \frac{4\pi}{c} \lambda_L^2 \vec{j} \right) \cdot d\vec{\ell}$$

①

$$\text{Add together} \Rightarrow \Delta\phi_u - \Delta\phi_d + \oint \vec{A} \cdot d\vec{l} \left( \frac{2\pi}{\Phi_0} \right) + \frac{2\pi}{\Phi_0} \frac{4\pi\lambda_c^2}{c} \int_{c'} \vec{j} \cdot d\vec{l}$$

$$= 2n\pi$$

$$\Rightarrow \Delta\phi_u - \Delta\phi_d = -\frac{2\pi}{\Phi_0} \oint \vec{A} \cdot d\vec{l} - \frac{2\pi}{\Phi_0} \frac{4\pi\lambda_c^2}{c} \int_{c'} \vec{j} \cdot d\vec{l}$$

← exclude the insulator junction

We can choose the loop deep inside the superconductor, such that  $j=0 \Rightarrow$

$$\Delta\phi_u - \Delta\phi_d = -\frac{2\pi}{\Phi_0} \oint \vec{A} \cdot d\vec{l} = -2\pi \Phi / \Phi_0$$

$$\Rightarrow I = 2I_m \sin(\Delta\phi_u + \pi \Phi / \Phi_0) \cos\left(\frac{\pi \Phi}{\Phi_0}\right) \quad \textcircled{1}$$

If the inductance of the loop is considered, the flux  $\Phi$  consists two part:

$$\Phi = \Phi_{ex} + L I_{cir} \quad \textcircled{2}$$

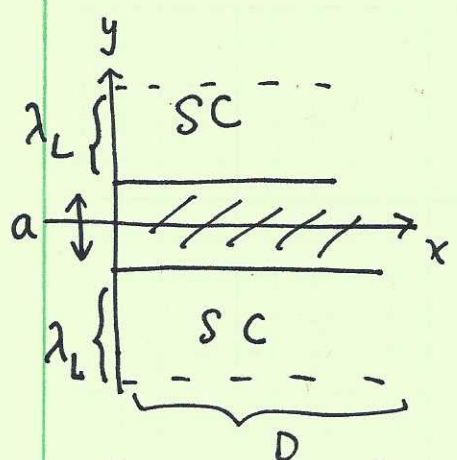
and the circulate current  $I_{cir} = I_m (\sin \Delta\phi_u - \sin \Delta\phi_d) \quad \textcircled{3}$

In principle ①, ②, ③ should be solved consistently. If the self-inductance can be neglected, we have

$$I = 2I_m \sin\left(\Delta\phi_u + \pi \frac{\Phi_{ex}}{\Phi_0}\right) \cos\left(\frac{\pi \Phi_{ex}}{\Phi_0}\right),$$

$$\Rightarrow I_{max} = 2I_m \left| \cos \frac{\pi \Phi_{ex}}{\Phi_0} \right|, \quad \text{the maximum supercurrent density oscillate with } \Phi_{ex} / \Phi_0.$$

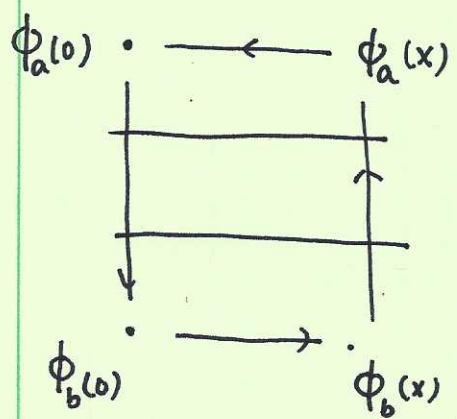
Digression ②: Fraunhofer pattern: a single planar Josephson junction.



$$A_x = 0,$$

$$A_y = \begin{cases} -Bx e^{-(y-a/2)/\lambda_L} & y > a/2 \\ -Bx & a/2 > y > -a/2 \\ -Bx e^{(y+a/2)/\lambda_L} & y < -a/2 \end{cases}$$

the gauge-independent phase difference



$$\Rightarrow \tilde{\Delta}\phi(x) = \tilde{\Delta}\phi(0) - \frac{2e}{\hbar c} \int_{-\infty}^{+\infty} A_y(x) dy$$

$$= \tilde{\Delta}\phi(0) - \frac{2e}{\hbar c} B(a + 2\lambda_L)x$$

$$\left. \begin{matrix} \phi_a(0) \rightarrow \phi_a(x) \\ \phi_b(0) \rightarrow \phi_b(x) \end{matrix} \right\} \int \nabla\phi \cdot d\vec{l} = (\phi_a(x) - \phi_b(x)) + (\phi_a(0) - \phi_b(0)) + (\phi_b(0) - \phi_a(0)) + (\phi_b(x) - \phi_a(x)) = 2n\pi$$

$$\left. \begin{aligned} \tilde{\Delta}\phi_a(x) &= \phi_a(x) - \phi_b(x) - \frac{2\pi}{\Phi_0} \int_{b_x}^{a_x} \vec{A} \cdot d\vec{l} \\ \tilde{\Delta}\phi(0) &= \phi_a(0) - \phi_b(0) - \frac{2\pi}{\Phi_0} \int_{b_0}^{a_0} \vec{A} \cdot d\vec{l} \\ \Rightarrow \phi_a(0) - \phi_a(x) &= -\frac{2\pi}{\Phi_0} \int_{a_x}^{a_0} \vec{A} \cdot d\vec{l} \dots \\ \phi_b(x) - \phi_b(0) &= -\frac{2\pi}{\Phi_0} \int_{b_0}^{b_x} \vec{A} \cdot d\vec{l} \end{aligned} \right\}$$

$$\Rightarrow I = \int_0^D j_y(x) dx = j_m \int_0^D dx \sin(\tilde{\Delta} \phi(x))$$

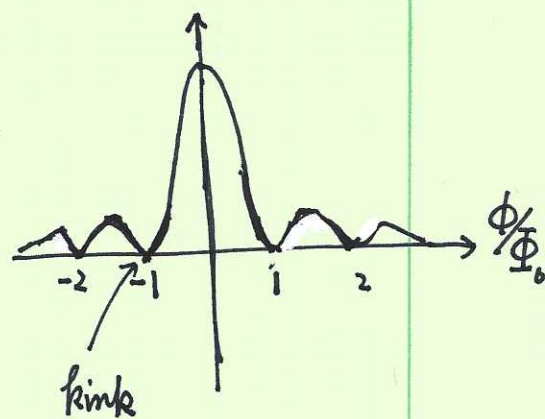
$$= j_m D \int_0^1 dx' \sin(\tilde{\Delta} \phi(0) - \frac{2e}{\hbar c} B D (a + 2\lambda_L) \frac{x'}{D}) \quad \text{define } \phi = B D (a + 2\lambda_L)$$

$$= j_m D \int_0^1 dx' \sin(\tilde{\Delta} \phi(0) - 2\pi \frac{\Phi}{\Phi_0} x')$$

$$= j_m D \frac{1}{2\pi \frac{\Phi}{\Phi_0}} \left( \cos(\tilde{\Delta} \phi(0) - \frac{2\pi}{\Phi_0} \phi) - \cos(\tilde{\Delta} \phi(0)) \right)$$

$$= j_m D \frac{\sin \pi \frac{\Phi}{\Phi_0}}{\pi \frac{\Phi}{\Phi_0}} \cdot \sin\left(\tilde{\Delta} \phi(0) - \frac{\pi \Phi}{\Phi_0}\right)$$

$$\Rightarrow I_{max} = j_m D \left| \frac{\sin \pi \frac{\Phi}{\Phi_0}}{\pi \frac{\Phi}{\Phi_0}} \right|$$

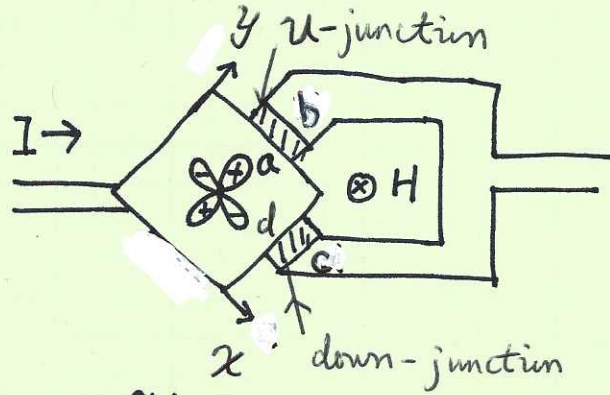




Now let us apply it to the d-wave case

① d-S SQUID:

The two junctions have opposite sign of coupling constants



Constants

$$I = I_u + I_d = I_m (\sin \tilde{\Delta} \phi_u - \sin \tilde{\Delta} \phi_d)$$

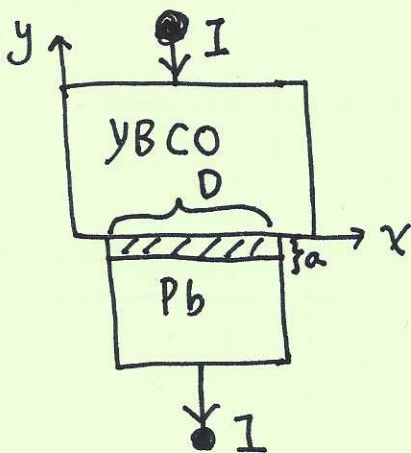
$$= 2I_m \sin \frac{\tilde{\Delta} \phi_u - \tilde{\Delta} \phi_d}{2} \cos \frac{\tilde{\Delta} \phi_u + \tilde{\Delta} \phi_d}{2} = 2I_m \sin \frac{\pi \Phi}{\Phi_0} \cos \left( \Delta \phi_u + \frac{\pi \Phi}{\Phi_0} \right)$$

$$\Rightarrow I_{max} = 2I_m \left| \sin \frac{\pi \Phi}{\Phi_0} \right|$$

The d-S SQUID has maximum current density at  $\Phi = \frac{1}{2} \Phi_0$  due to geometric flux  $\pi$ .

② Corner junction

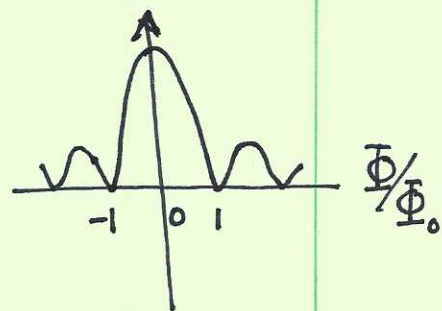
if we make a planar Josephson junction



This planar junction will exhibit the

same Fraunhofer pattern as two s-wave one, i.e

$$I_{max} \propto \left| \frac{\sin \pi \Phi / \Phi_0}{\pi \Phi / \Phi_0} \right|$$



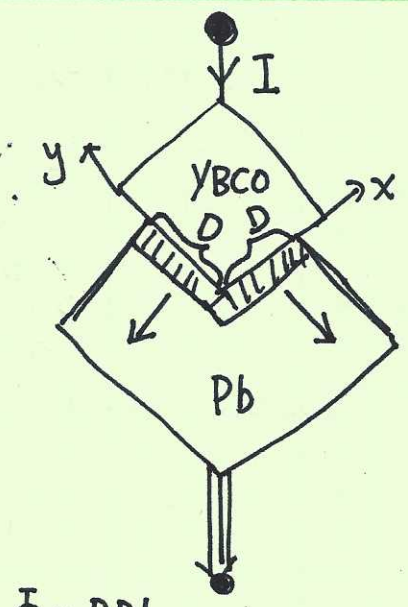
define  $L = a + \lambda_{YBCO} + \lambda_{Pb}$

$a$ : is the width of insulating region

$L$ : is effective width of junction

for  $x$ -direction junction, its current projection to  $45^\circ$ -direction is

$$\frac{1}{\sqrt{2}} j_m \int_0^L dx' \sin(\tilde{\Delta}\phi(x) - \frac{2\pi\Phi}{\Phi_0} x')$$



When calculate the current to  $y$ -direction, we need to change gauge

$$A_y = 0, \quad A_x = B y \quad (\text{c.f. the odd gauge } \begin{matrix} A_x = 0 \\ A_y = -B x \end{matrix})$$

$$\Rightarrow \text{Current along } 45^\circ : -\frac{1}{\sqrt{2}} j_m \int_0^L dy' \sin(\tilde{\Delta}\phi(y) + \frac{2\pi\Phi}{\Phi_0} y')$$

the overall "-" sign comes from the d-wave symmetry

$$\Rightarrow I_{tot} = \frac{j_m D}{\sqrt{2}} \frac{\sin \pi\Phi/\Phi_0}{\pi\Phi/\Phi_0} \left[ \sin(\tilde{\Delta}\phi(0) - \frac{\pi\Phi}{\Phi_0}) - \sin(\tilde{\Delta}\phi(0) + \frac{\pi\Phi}{\Phi_0}) \right]$$

$$= \sqrt{2} j_m D \frac{\sin^2 \pi\Phi/\Phi_0}{\pi\Phi/\Phi_0} \cos(\tilde{\Delta}\phi(0))$$

$$\Rightarrow I_{max} = I_0 \frac{\sin^2 \pi\Phi/\Phi_0}{\pi\Phi/\Phi_0}$$

