

Lect 12: Construction of roots

* Cartan matrix

We have seen $\frac{2(\alpha, \beta)}{(\alpha, \alpha)}$ plays an important role in the structure of Lie algebra. We define the Cartan matrix for the simple roots α^i as

$$A_{ij} = 2 \frac{(\alpha^i, \alpha^j)}{(\alpha^j, \alpha^j)}$$

The off diagonal elements of $A_{ij} < 0$.

$-A_{ij}$ represents the length of the sequence of applying α^j to the simple root α^i : $\alpha^i, \alpha^i + \alpha^j, \dots, \alpha^i + p\alpha^j$ where $p = -A_{ij}, q = 0$.

The diagonal matrix element $A_{ii} = 2$, which can be interpreted as

$$\alpha^i \pm 2\alpha^i, 0, \alpha^i \text{ with } p = 0, q = A_{ii} = 2.$$

Now consider any positive root $\phi = \sum_i k_i \alpha^i$ (i is the index of simple roots, k_j non-negative number). Apply α^j to ϕ to arrive at a series of $Su(2)$ multiplets under $E_{\pm} = \frac{E_{\pm \alpha^j}}{(\alpha^j, \alpha^j)}$ and $E_3 = \frac{\vec{\alpha}^j \cdot \vec{H}}{(\alpha^j, \alpha^j)}$

$$\phi - q^j \alpha^j, \dots, \phi - \alpha^j, \phi, \phi + \alpha^j, \dots, \phi + p^j \alpha^j$$

$$2E_3 |\phi\rangle = 2 \frac{\alpha^j \cdot H}{(\alpha^j, \alpha^j)} |\phi\rangle = 2 \frac{(\alpha^j, \phi)}{(\alpha^j, \alpha^j)} |\phi\rangle = (q^j - p^j) |\phi\rangle$$

$$\Rightarrow \frac{q^j - p^j}{p^j} = \frac{2(\alpha^j, \phi)}{(\alpha^j, \alpha^j)} = \sum_{i=1}^l k_i A_{ij}, \text{ where } l \text{ is the rank}$$

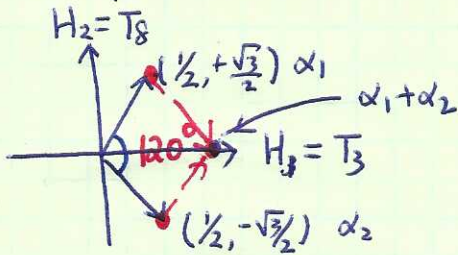
of Cartan subalgebra.

The Cartan matrix element A_{ij} tells how the simple roots fit into the $SU(2)$ representations generated by other simple roots.

$$A_{ij} = \frac{2(\alpha_i, \alpha_j)}{(\alpha_j, \alpha_j)} \quad \longleftrightarrow \quad -A_{ij} = p \quad \alpha^i, \quad \alpha^i + \alpha^j, \quad \dots, \quad \alpha^i + p\alpha^j$$

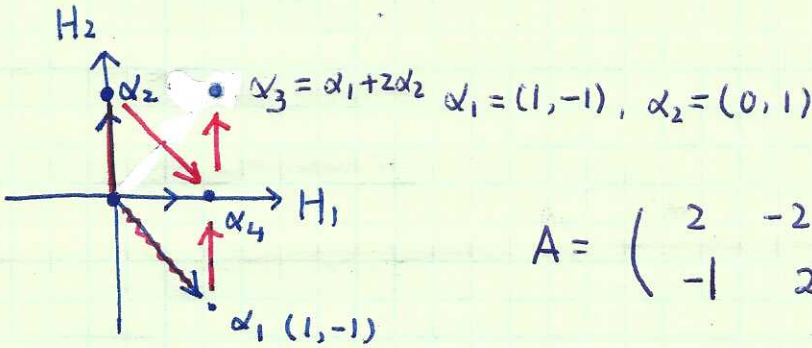
For example: $SU(3)$

$$\alpha_1 = (\frac{1}{2}, \frac{\sqrt{3}}{2}), \quad \alpha_2 = (\frac{1}{2}, -\frac{\sqrt{3}}{2})$$



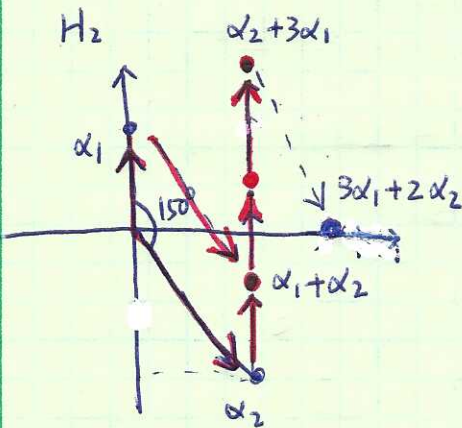
$$A = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}$$

$SU(3)$



$$A = \begin{pmatrix} 2 & -2 \\ -1 & 2 \end{pmatrix}$$

$SO(5)$
or $Sp(4)$



$$\alpha_1 = (0, 1) \quad \alpha_2 = (\frac{\sqrt{3}}{2}, -\frac{3}{2})$$

\downarrow short \downarrow long

$$A = \begin{pmatrix} 2 & -1 \\ -3 & 2 \end{pmatrix} \quad \begin{matrix} \rightarrow \text{start from } \alpha_1 \\ \text{apply } \alpha_2 \end{matrix}$$

$\leftarrow A_{21}$
starting from α_2 , apply α_1

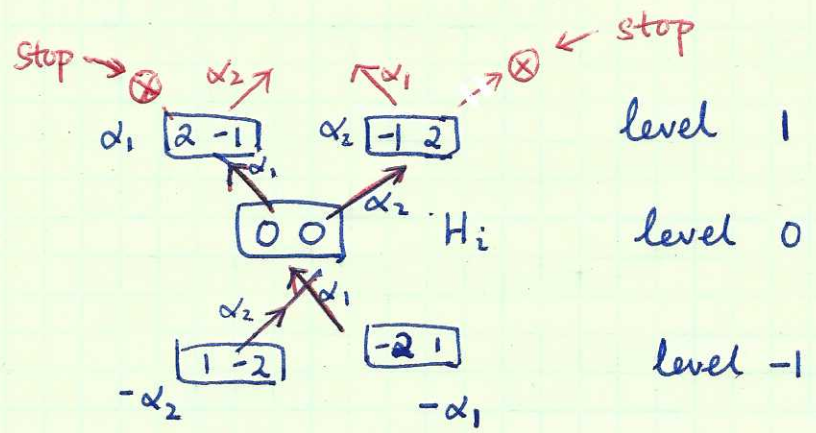
Please note: Some text books define $A_{ij} = \frac{2(\alpha_i, \alpha_j)}{(\alpha_i, \alpha_i)}$

* Cartan matrix provides a convenient way to calculate $2E_3$ of each root in the chain of the $SU(2)$ multiplet generated by another simple root. Let's take $SU(3)$ roots as an example

① level one ($k=1$) roots,

put the first row of A_{ij} into a box: $\alpha_1 \begin{bmatrix} 2 & -1 \end{bmatrix}$,

"2" is the value of $2E_3$ in the root chain generated by applying α_1 . hence α_1 is the high end of this chain. We can add the level zero ($k=0$), and level -1 (negative roots) to show the entire chain. "-1" is the value of $2E_3$ in the root chain generated by applying α_2 , and α_1 is at its low end. Similarly analysis can be done for the box $\alpha_2 \begin{bmatrix} -1 & 2 \end{bmatrix}$.



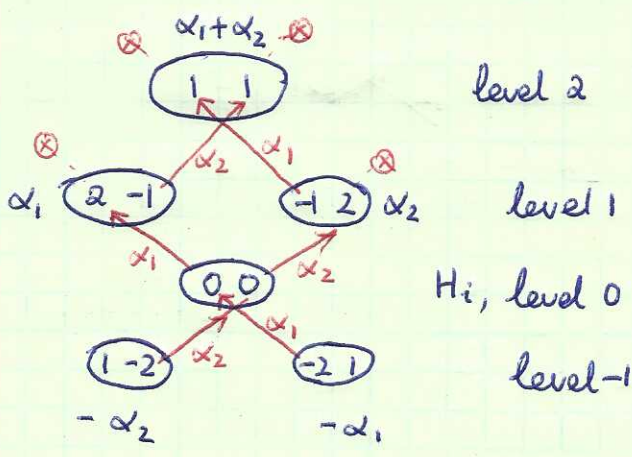
② level two ($k=2$) roots

From $\alpha_1 \xrightarrow{\alpha_2} \alpha_1 + \alpha_2$ and from $\alpha_2 \xrightarrow{\alpha_1} \alpha_1 + \alpha_2$, they end up with $\alpha_1 + \alpha_2$ what should be written in the box?

Suppose a root ϕ has $q^j - p^j$ by applying α_j . now we consider $\phi + \alpha_i$, then $q^j - p^j = q^j - p^j + 2 \frac{(\alpha_i \cdot \alpha_j)}{(\alpha_j \cdot \alpha_j)} = q^j - p^j + A_{ij}$

i.e. we take the box of ϕ , and add the i -th row of the cartan matrix

⊗ means stop.

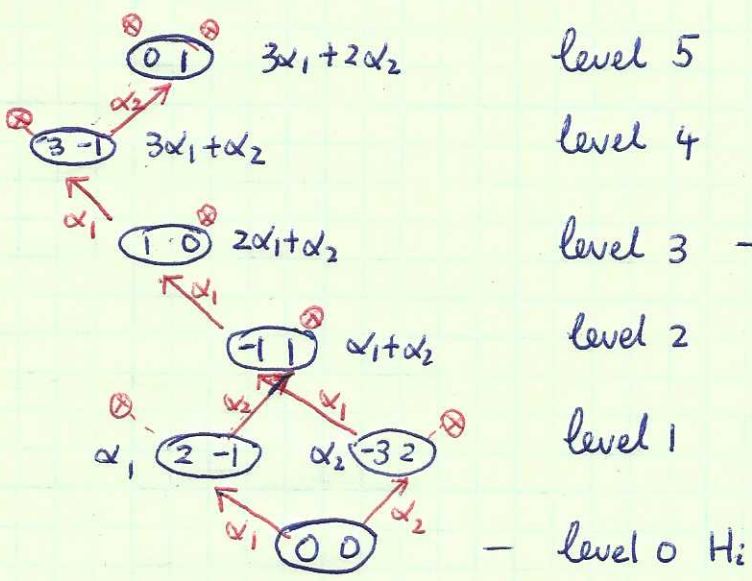


($q=0$) — simple roots: lines represent adding different simple roots.
 From simple roots, we can only go upward.

The line $\alpha_1 = -1$
 $\alpha_2 = 1$
 $\alpha_1 + \alpha_2 = 1$

just view S_{α_2} sequence from $-1/2$ to $1/2$.
 as an
 it stops at $1/2$.

⊗ a more complicated example G_2 .



from $2\alpha_1 + \alpha_2$, we cannot add/subtract α_2 , otherwise we end up with $2\alpha_1$. But we have all level 2 roots, and $2\alpha_1$ is not in.

in total, there are 12 roots, and 6 are positive roots.

HW: work out all the root structure of the $Sp(4)$, or isomorphically $SO(5)$ root diagrams. We set $\alpha_1 = (1, -1)$, $\alpha_2 = (0, 1)$, and $A = \begin{pmatrix} 2 & -2 \\ -1 & 2 \end{pmatrix}$

(*) Constructing the G_2 -algebra

We have two generators $E_{1+} = E_{\alpha_1}$, $E_0 = \vec{\alpha}_1 \cdot \vec{H}$, $E_{1-} = E_{-\alpha_1}$

$$E_{2+} = \frac{E_{\alpha_2}}{\sqrt{3}}, \quad E_{20} = \vec{\alpha}_2 \cdot \vec{H}, \quad E_{2-} = \frac{E_{-\alpha_2}}{\sqrt{3}}$$

Let's start with $|E_{\alpha_2}\rangle$, which is $|3/2, -3/2\rangle$ (level 1)

$$|[E_{\alpha_1}, E_{\alpha_2}]\rangle = E_{1+} |E_{\alpha_2}\rangle = \frac{1}{\sqrt{2}} \sqrt{(3/2 + 3/2)(3/2 - 3/2 + 1)} |3/2, -1/2\rangle = \sqrt{3/2} |E_{\alpha_1 + \alpha_2}\rangle$$

hence $\underline{E_{\alpha_1 + \alpha_2} = \sqrt{\frac{2}{3}} [E_{\alpha_1}, E_{\alpha_2}]}$

$$\begin{aligned} |[E_{\alpha_1}, [E_{\alpha_1}, E_{\alpha_2}]]\rangle &= \sqrt{3/2} E_{1+} |3/2, -1/2\rangle = \sqrt{3/2} \sqrt{1/2} \sqrt{(3/2 + 1/2)(3/2 - 1/2 + 1)} |3/2, 1/2\rangle \\ &= \sqrt{3} |3/2, 1/2\rangle = \sqrt{3} |E_{2\alpha_1 + \alpha_2}\rangle \end{aligned}$$

or $\underline{E_{2\alpha_1 + \alpha_2} = \frac{1}{\sqrt{3}} [E_{\alpha_1}, [E_{\alpha_1}, E_{\alpha_2}]]}$

$$\begin{aligned} |[E_{\alpha_1}, [E_{\alpha_1}, [E_{\alpha_1}, E_{\alpha_2}]]]\rangle &= \sqrt{3} \cdot \sqrt{1/2} \sqrt{(3/2 - 1/2)(3/2 + 1/2 + 1)} |3/2, 3/2\rangle \\ &= \sqrt{9/2} |E_{3\alpha_1 + \alpha_2}\rangle \end{aligned}$$

$\underline{E_{3\alpha_1 + \alpha_2} = \sqrt{\frac{2}{9}} [E_{\alpha_1}, [E_{\alpha_1}, [E_{\alpha_1}, E_{\alpha_2}]]]}$

treat $|E_{3\alpha_1 + \alpha_2}\rangle = |1/2, -1/2\rangle$

$$\begin{aligned} |[E_{\alpha_2}, [E_{\alpha_1}, [E_{\alpha_1}, [E_{\alpha_1}, E_{\alpha_2}]]]\rangle &= \sqrt{3} E_{2+} |1/2, -1/2\rangle = \frac{\sqrt{3}}{\sqrt{2}} \sqrt{1/2} |1/2, 1/2\rangle \\ &= \frac{3}{2} \sqrt{3} |1/2, 1/2\rangle = \frac{3\sqrt{3}}{2} |E_{3\alpha_1 + 2\alpha_2}\rangle \end{aligned}$$

$\Rightarrow \underline{E_{3\alpha_1 + 2\alpha_2} = \frac{2}{3\sqrt{3}} [E_{\alpha_2}, [E_{\alpha_1}, [E_{\alpha_1}, [E_{\alpha_1}, E_{\alpha_2}]]]}$