

① **\* transfer matrix for 2D Ising model — kink operator**

① we have already mapped the 1D Ising model

$$Z = \sum_{\{\sigma\}} e^{\beta J \sum_i (\sigma_i \sigma_{i+1} - 1)} = \sum_{\sigma_1 \dots \sigma_N} \underbrace{T_{\sigma_1 \sigma_2} \dots T_{\sigma_N \sigma_1}}_{\text{time-evolution}} = \text{tr} T^N$$

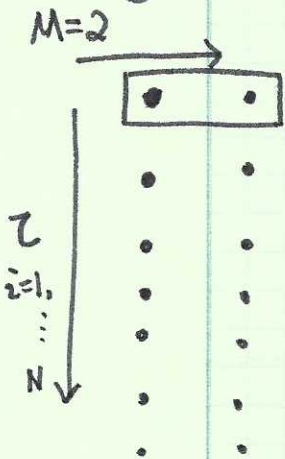
with  $T$  is  $2 \times 2$  matrix defined as  $e^{h \Delta z \sigma_i}$ . const

$$= \begin{pmatrix} 1 & e^{-2\beta J} \\ e^{-2\beta J} & 1 \end{pmatrix} \Rightarrow \boxed{Z = \text{tr} [ e^{N \Delta z h \sigma_i} ] = \text{tr} [ e^{-\beta_z h \sigma_i} ]}$$

with  $\beta_z = N \Delta z \xrightarrow{N \rightarrow \infty} \infty$

Relation  $\boxed{\sinh 2\beta J \sinh h \Delta z = 1}$

② let us consider the case of two-chain



$$Z = \sum_{\{s\}} e^{\beta J \sum_{i=1}^N \sum_{j=1}^2 S(i,j) S(i,j+1) + S(i,j) S(i+1,j)}$$

I change classic variable notation to  $S(i,j)$

The transfer matrix is 4-dimensional

define  $S_i = (S(i,1), S(i,2))$ , which takes 4-possible values  $S_i = (1,1), (-1,1), (1,-1), \text{ and } (-1,-1)$ , Then

$$Z = \sum_{S_1, \dots, S_N} T_{S_1 S_2} T_{S_2 S_3} \dots T_{S_N S_1} = \text{tr} T^N$$

$$T_{S_1 S_2} = e^{\beta J \sum_{j=1}^2 S(1j) S(2j)} \cdot e^{\beta J S(1,1) S(1,2)}$$

$$= [T']_{S_1 S_2} [T'']_{S_2 S_2}$$

$T'$  describes the two vertical bonds: independent evolution of two spins

$S_1$

$$T' = \begin{matrix} (1 \ 1) \\ (-1 \ 1) \\ (1 \ -1) \\ (-1 \ -1) \end{matrix} \left[ \begin{matrix} S_2 & (1 \ 1) & (-1 \ 1) & (1 \ -1) & (-1 \ -1) \\ \dots & \dots & \dots & \dots & \dots \end{matrix} \right]$$

	$j=1$	$2$
$i=1$	x	x
$2$	x	x

 $= \left[ e^{h\Delta\tau \sigma_i(j=1)} \otimes e^{h\Delta\tau \sigma_i(j=2)} \right]_{S_1 S_2}$

$$= e^{h\Delta\tau \sum_{j=1}^2 \sigma_i(j)}$$

$T''$  is diagonal: vertical bond

$$\Rightarrow T'' = \left[ e^{\beta J \sigma_3(1) \sigma_3(2)} \right]_{S_2 S_2}$$

$$T_{S_1 S_2} = \left[ e^{\sum_{j=1}^2 h \Delta \tau \sigma_1(j) + \beta J \sigma_3(1) \sigma_3(2)} \right]_{S_1 S_2} \quad (2)$$

This picture can be generalized to  $M$ -chains, and  $T$  matrix

represent [redacted], time-evolution of  $M$  spins, and

thus  $T$  becomes  $2^M \times 2^M$  dimensional. If we use periodic boundary condition along the  $j$ -direction. we have

$$T = e^{h \Delta \tau \sum_{j=1}^M \sigma_1(j) + \beta J \sum_{j=1}^M \sigma_3(j) \sigma_3(j+1)}$$

$$= e^{-\Delta \tau H}$$

and

$$H = -h \sum_{j=1}^M \sigma_1(j) - k \sum_{j=1}^M \sigma_3(j) \sigma_3(j+1)$$

$$k/h = \beta J / h \Delta \tau$$

1D transverse field Ising model.

$$\sinh 2\beta J \sin h \Delta \tau = 1$$

$$\Rightarrow Z = \text{tr} \left[ e^{-\tau H} \right]$$

where  $\tau = N \Delta \tau$

$\rightarrow \infty$ .

we have mapped a 2D classic problem to 1D QM problem!

2D classical phase transition  $\rightarrow$  1D Quantum phase transition.

Now let's treat  $H = -K \sum_i (g \sigma_1(i) + \sigma_2(i) \sigma_2(i+1))$

as a quantum model, and consider it's ground state properties.

① strong coupling limit  $g \gg 1$

If  $g \rightarrow \infty$ , the ground state is a paramagnetic state with each site spin parallel to  $\hat{x}$ -direction.

$|\Omega\rangle = \prod_i |\rightarrow\rangle_i$ , and  $\langle \Omega | \sigma_i^z \sigma_j^z | \Omega \rangle = \delta_{ij}$ .

If  $g$  is large but finite, we expect  $\langle \Omega | \sigma_i^z \sigma_j^z | \Omega \rangle \sim e^{-|x_i - x_j|/\xi}$ , i.e. short-range correlated. The excitation is to flip one site spin to  $\leftarrow$ , i.e.

$\rightarrow \rightarrow \dots \leftarrow_i \rightarrow \rightarrow \rightarrow$   $|i\rangle = |\leftarrow\rangle_i \prod_{j \neq i} |\rightarrow\rangle_j$

All the states  $|i\rangle$  are degenerate at the limit  $g \rightarrow +\infty$ . At  $1/g$  level, the  $\sigma_z \cdot \sigma_z$  term couples different states together as

$\langle i | -K \sum_n \sigma_z(n) \sigma_z(n+1) | i \pm 1 \rangle = -K$

we can form  $|k\rangle = \frac{1}{\sqrt{N}} \sum_j e^{ikj} |j\rangle$ . its eigen energy is

$E_k = Kg [2 - \frac{2}{g} \cos(k) + O(1/g^2) + \dots]$

② weak coupling  $g \ll 1$

two fold degeneracy  $|\uparrow\rangle \otimes |\uparrow\rangle, \dots$  and  $|\downarrow\rangle \otimes |\downarrow\rangle \dots \otimes |\downarrow\rangle$ .

$\sigma^z$  has long-range order. The low energy excited states are topological nature - kink.

$$|\uparrow\rangle \otimes |\uparrow\rangle \dots |\uparrow\rangle \otimes |\downarrow\rangle \otimes |\downarrow\rangle \dots$$

$\qquad\qquad\qquad i \qquad\qquad i+1$

if we neglect the coupling between sectors with different number of kinks, we can easily work out its energy dispersion

$$E_k = K(2 - 2g \cos ka + O(g^2))$$

[ the  $Kg\sigma_i$  term builds up hopping of kinks ].

③ Exact solution of spectrum

Define non-local transformation: Jordan-Wigner transformation

$$\begin{aligned} \sigma_i^z &= 1 - 2C_i^\dagger C_i \\ \sigma_i^+ &= \prod_{j<i} (1 - 2C_j^\dagger C_j) C_i \\ \sigma_i^- &= \prod_{j<i} (1 - 2C_j^\dagger C_j) C_i^\dagger \end{aligned} \quad \xrightarrow{\text{inverse}} \quad \begin{aligned} C_i &= \left( \prod_{j<i} \sigma_j^z \right) \sigma_i^+ \\ C_i^\dagger &= \left( \prod_{j<i} \sigma_j^z \right) \sigma_i^- \end{aligned}$$

Ex: please check that  $\{C_i, C_j^\dagger\} = \delta_{ij}$ , and thus  $C_i, C_i^\dagger$  are spinless fermion operators.

For transverse field Ising model, it's more convenient to do a further transform  $\sigma^z \rightarrow \sigma^x$  and  $\sigma^x \rightarrow -\sigma^z$ .

Such that

$$\sigma_i^x = 1 - 2c_i^\dagger c_i$$

$$\sigma_i^z = -\prod_{j=i}^{\infty} (1 - 2c_j^\dagger c_j) (c_i + c_i^\dagger)$$

$$\Rightarrow H = -K \sum_i \{ g(1 - 2c_i^\dagger c_i) + (c_i + c_i^\dagger)(c_{i+1} + c_{i+1}^\dagger) \}$$

$$= -K \sum_i (c_i^\dagger c_{i+1} + c_{i+1}^\dagger c_i + c_i^\dagger c_{i+1}^\dagger + c_{i+1} c_i - 2g c_i^\dagger c_i - g)$$

$$= K \sum_k (2(g - \omega \sin k) c_k^\dagger c_k - 2i \sin k (c_{-k}^\dagger c_k^\dagger - c_k c_{-k}) - g)$$

$$= K \sum_k (c_k^\dagger \quad c_{-k}) \begin{pmatrix} 2(g - \omega \sin k) & 2i \sin k \\ -2i \sin k & -2(g - \omega \sin k) \end{pmatrix} \begin{pmatrix} c_k \\ c_{-k}^\dagger \end{pmatrix}$$

→ The excitation spectrum

$$E_k = 2K ((g - \omega \sin k)^2 + \sin^2 k)^{1/2} = 2K (1 + g^2 - 2g\omega \sin k)^{1/2}$$

Ex: ① please diagonalize the above matrix by Bogoliubov transformation

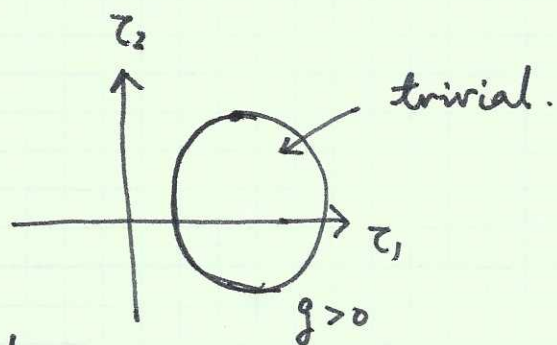
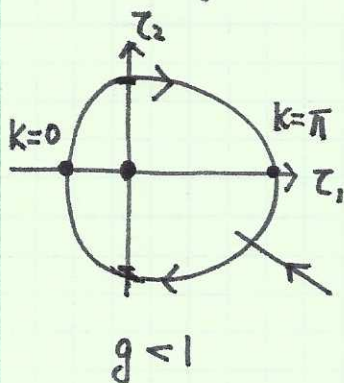
② check that  $E_k$  at  $g \ll 1$  and  $g \gg 1$ , agrees with the approximate expression given above.

At both  $g > 1$ , and  $g < 1$ , because  $1 + g^2 > 2g$ , the spectra of  $E_k$  is gapped. But at  $g = 1$ ,  $E_k = 4K |\sin \frac{k}{2}|$ , the spectra is gapless, which indicate a quantum phase transition. Indeed,  $|g| < 1$  corresponds to topological pairing, and  $|g| > 1$  is topologically trivial pairing!

The pairing matrix  $\Delta_k = 2[(g - \cos k) \tau_1 - \sin k \tau_2]$

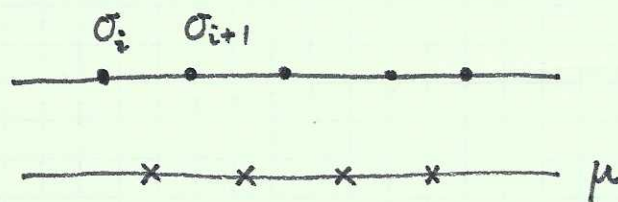
as  $k$  in the BZ.  $k \in [-\pi, \pi]$ , if we represent  $\Delta_k$  as a 2-vector

in the basis of  $\tau_1, \tau_2$ , we have



§ Come back to the spin language, we have an order/disorder transition.

Duality (site-bond)



$$\begin{cases} \mu_{n+1/2}^z = \prod_{j=1}^n \sigma_j^x \\ \mu_{n+1/2}^x = \sigma_n^z \sigma_{n+1}^z \end{cases} \rightarrow \begin{cases} \sigma_n^z = \prod_{j=0}^{n-1} \mu_{j+1/2}^x \\ \sigma_n^x = \mu_{n-1/2}^z \mu_{n+1/2}^z \end{cases}$$

in terms of  $\mu \Rightarrow$

$$H = -K \left[ g \sum_n \mu_{n-1/2}^z \mu_{n+1/2}^z + \mu_{n+1/2}^x \right]$$

$g \rightarrow 1/g$ , self-duality.

What is  $\mu$ ? the Kink operator / disorder operator

$$|\Omega\rangle = \prod_n |\uparrow\rangle_n \Rightarrow \mu_{n+1/2}^z |vac\rangle = |\downarrow \dots \downarrow \uparrow \uparrow \uparrow \dots\rangle_{n, n+1/2}$$

Thus  $g > 1$ ,  $\sigma_z$  disordered,  $\leftrightarrow \mu^z$  ordered ↖ self-dual  
 $< 1$   $\sigma_z$  ordered  $\leftrightarrow \mu^z$  disordered ↘

Further come back to 2D Ising model  $\Rightarrow$  low  $T < T_c$  ↖ Wigner-Kramers  
 $T > T_c$  ↘ duality.

### § Majorana Representation

$$\xi_1(n) = \frac{C_n^\dagger + C_n}{\sqrt{2}}, \quad \xi_2(n) = \frac{C_n^\dagger - C_n}{-\sqrt{2}i} \Rightarrow \{\xi_i, \xi_j\} = \delta_{ij}$$

Ex: please verify that in the Majorana Rep

$$H = K \left[ ig \xi_2(n) \xi_1(n) - i \xi_2(n) \xi_2(n+1) \right]$$

→ antinurse version

$$\frac{H}{K} = -i \xi_2(n) (\xi_1(n+1) - \xi_1(n)) + i (g-1) \xi_2(n) \xi_1(n)$$

$$\rightarrow \int dx \xi_2 (-i \partial_x) \xi_1 - im \xi_1 \xi_2 \quad m = g-1$$

$$= \frac{1}{2} \int dx \xi^T (\alpha p + \beta m) \xi, \quad \text{where } \xi = \begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix}, \quad \alpha = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$$
  
 $\beta = \begin{pmatrix} 1 & -i \\ i & -1 \end{pmatrix} \quad p = -i \partial_x$