

§1 Fluctuation, dissipation, and Linear response

In the lecture, we have shown that if a system with Hamiltonian H subjected to an external perturbation $H_e(t) = B e^{-i\omega t + \eta t}$,

then the physical quantity of operator A at time t , satisfies

$$\langle A(t) \rangle = \langle A \rangle - \frac{i}{\hbar} \int_{-\infty}^{+\infty} dt' \Theta(t-t') \langle [A(t), B(t')] \rangle e^{-i\omega t' + \eta t'}$$

where $\langle A \rangle$ is the value of A in thermal equilibrium,

$A(t)$ and $B(t')$ are operators of A and B in the Heisenberg picture of H , i.e. $A(t) = e^{\frac{i}{\hbar} H t} A e^{-\frac{i}{\hbar} H t}$, $B(t') = e^{\frac{i}{\hbar} H t'} B e^{-\frac{i}{\hbar} H t'}$.

Define the retarded Green function as

$$G_r(t-t') = -\frac{i}{\hbar} \Theta(t-t') \langle [A(t), B(t')] \rangle,$$

where the " $\langle |\cdots| \rangle$ " means the expectation value in thermal equilibrium.

1 Lehmann representation:

Prove that: $G_r(t-t') = -\frac{i}{\hbar} \Theta(t-t') \tilde{Z}^{-1} \sum_{mn} e^{-\beta E_m} \langle m | B | n \rangle \langle n | A | m \rangle$

$$\times e^{-\frac{i}{\hbar}(\varepsilon_m - \varepsilon_n)t} (e^{\beta(\varepsilon_m - \varepsilon_n)} - 1), \text{ where } \tilde{Z} = \sum_m e^{-\beta E_m} \text{ and } |m\rangle, |n\rangle$$

are eigenstates of the Hamiltonian H . The Fourier transform should be unperturbated

$$G_r(\omega) = Z^{-1} \sum_{mn} e^{-\beta E_m} \langle m | B | n \rangle \langle n | A | m \rangle \frac{e^{\beta(E_m - E_n)} - 1}{\hbar \omega - (E_m - E_n) + i\eta}$$

We define the spectra function as

$$J(\omega) = -2 \operatorname{Im} G_r(\omega), \text{ and prove that}$$

$$G_r(\omega) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \frac{J(\omega')}{\omega - \omega' + i\eta} d\omega' .$$

2° Let us set $A = B$, and define the correlation function

$$S(t-t') = \langle A(t) A(t') \rangle = \int_{-\infty}^{+\infty} \frac{d\omega}{2\pi} e^{-i\omega(t-t')} S(\omega) .$$

Show that

$$S(t-t'=0) = \langle A^2 \rangle = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \frac{J(\omega)}{e^{\beta\omega} - 1} d\omega .$$

$\langle A^2 \rangle$ means the ground state fluctuation, and $J(\omega)$ denotes the dissipation spectra, thus the above expression is called fluctuation-dissipation theorem.

Show that for the case of $A = B$, $J(\omega) > 0$ at $\omega > 0$, and

$$J(-\omega) = -J(\omega) .$$

3° Consider the example of forced harmonic oscillator

$$H = -\frac{1}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m\omega_0^2 x^2 , \quad H_e = -f(t) X(t)$$

(3)

define the retarded response function as the displacement-correlation function

$$\chi(t-t') = -\frac{i}{\hbar} \theta(t-t') \langle |[\mathbf{x}(t), \mathbf{x}(t')]| \rangle,$$

Calculate $\chi(\omega)$ and $J(\omega)$, show $\chi(\omega)$ has the pole at $\pm\omega_0$.

Show that in the classic limit $T \rightarrow +\infty$,

$$\langle x^2 \rangle = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \frac{k_B T}{\omega} J(\omega) d\omega = \frac{k_B T}{m\omega_0^3} \text{ as required by}$$

the equipartition theorem!

§2: Sum-rule (f-longitudinal).

Prove that for inter-acting electron gas, the following relations are exact.

$$(a) [[H, P_q], P_{-q}] = -\left(\frac{Nq^2}{m}\right)$$

$$(b) \int_0^{+\infty} d\omega \omega \operatorname{Im} \chi(q, \omega) = +\frac{\pi}{2}$$

where P_q is the Fourier component of density operator.

N is number of particle, $\chi(q, \omega)$ is the density-density response (vacuum polarization), ω_p : plasmon frequency.

3a) Prove that the 3D plasmon dispersion relation is

$$\omega_q^2 = \omega_p^2 + \frac{3}{5} q^2 v_F^2 \quad \text{where } v_F \text{ is the Fermi velocity}$$

$$\text{and } \omega_p^2 = \frac{4\pi Ne^2}{m}$$

(from the evaluation of zero of $\epsilon(q, \omega)$, at $\frac{\omega}{v_F q} \gg 1$).

b)

Derive the plasma frequency through classic equation of motion.

Hint: use the continuity equation and equation of motion

$$\frac{\partial n}{\partial t} + \nabla \cdot (n \vec{v}) = 0, \quad m \frac{\partial}{\partial t} (n \vec{v}) + m \vec{v} \cdot \nabla (n \vec{v}) = -ne \vec{E}.$$

Expand to the leading order fluctuation $\delta n = n - n_0$. Show

$$\frac{\partial^2}{\partial t^2} \delta n = -\frac{4\pi R_0}{m} \delta n \quad \text{at the leading order.}$$

4) Hartree-Fock: We consider a Jellium model.

a) Show that if the screened interaction is taken as the Thomas-Fermi form, then in the limit of low density region, the Hartree-Fock energy of an electron with spin up is independent of its momentum.

b) Assume a finite polarization $P = N_{\uparrow} - N_{\downarrow}$, find the

total Hartree-Fock energy in the limit of a). Express the result as a function of density n , Fermi energy ϵ_F , polarization P , and Thomas-Fermi vector k_{TF} .

c) Calculate the spin-susceptibility due to Hartree-Fock correction. Compare it with the free system. Is the system possible to be spin-polarized? (ferromag)