

Lecture 8 : Quantum magnetism – spin wave theory

§1. Direct exchange interacting: (ferro-magnetic Heisenberg model)

The exchange interaction is from the Coulomb interaction between d-electrons on neighbours sites.

$$H_{\text{Coulomb}} = \frac{1}{a} \int d\mathbf{r}_1 d\mathbf{r}_2 \hat{\psi}_{\sigma}^*(\mathbf{r}_1) \hat{\psi}_{\sigma'}^*(\mathbf{r}_2) \frac{e^2}{r_{12}} \hat{\psi}_{\sigma'}(\mathbf{r}_2) \hat{\psi}_{\sigma}(\mathbf{r}_1)$$

Expand it in the Wannier basis (local atomic orbit), you will find it contains a spin-spin interaction term which was found by Heisenberg

$$H = -2J \sum_{\langle ij \rangle} [\vec{S}_i \cdot \vec{S}_j + \frac{1}{4} n_i n_j], \text{ where } \vec{S}_i, n_i \text{ are spin and charge on each site.}$$

$$J \text{ is the exchange integral} \quad J = \int \phi_i^*(\mathbf{r}_1) \phi_j^*(\mathbf{r}_2) \frac{e^2}{r_{12}} \phi_i(\mathbf{r}_2) \phi_j(\mathbf{r}_1) d\mathbf{r}_1 d\mathbf{r}_2.$$



ϕ_i and ϕ_j
has significant
overlap

if electrons i. and j are with total spin singlet, then their orbital wavefunction must be symmetric, which contributes a positive exchange energy $E_x = J$

if "i" and "j" are total spin triplet, their orbit wavefunction

is anti-symmetric, $\rightarrow E_{ex} = -J$

Singlet $\vec{S}_i \cdot \vec{S}_j = \frac{1}{2} (\vec{S}_i + \vec{S}_j) - \frac{3}{4} = -\frac{3}{4}$ $\rightarrow \frac{1}{4}$ } which agrees with above Heisenberg model,

Direct exchange: FM
to save interaction energy

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If we neglect the Kinetic energy, and other terms in interaction energy and set each site with one electron, we arrive at the celebrated Heisenberg ferromagnetic model. There is an inconsistency for this model, because usually FM occurs in metal where electrons are itinerant. Heisenberg model describes the local moment, but it indeed grasps many interesting features of FM. Itinerant v.s. local moments is a subtle issue / open issue.

C.f. He-atom in the excited state $1S\ 2S$. \rightarrow triplet energy is lower.

$$\begin{array}{c} \oplus \uparrow \uparrow \\ \downarrow \downarrow \\ 1S \quad 2S \end{array} \quad E = -3.36 \text{ eV} \qquad \begin{array}{c} \oplus \uparrow \downarrow \\ \downarrow \downarrow \\ 1S \quad 2S \end{array} \quad E = -3.62 \text{ eV}$$

$\Delta E = 0.3 \text{ eV.}$

§ Ferromagnetic Heisenberg model

$$H = -J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j = -J \sum_{\langle ij \rangle} \left\{ \frac{1}{2} (S_i^+ \cdot S_j^- + S_i^- \cdot S_j^+) + S_i^z \cdot S_j^z \right\}$$

$$[S_i^x, S_j^y] = i \delta_{ij} S_i^z$$

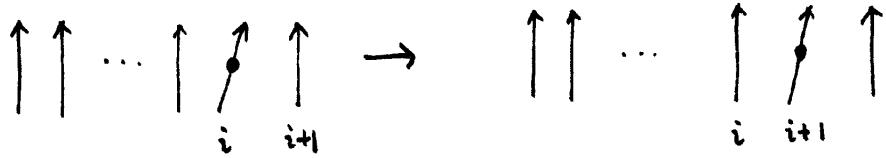
FM Heisenberg model's ground state is known

$$|NR\rangle = |1S\rangle_1 \otimes |1S\rangle_2 \otimes \cdots |1S\rangle_N$$

$$H|NR\rangle = -J \sum_{ij} S_i^z S_j^z |NR\rangle - \frac{1}{2} J \sum_{\langle ij \rangle} (S_i^+ \cdot S_j^- + S_i^- \cdot S_j^+) |NR\rangle = -J N \otimes S^2 |NR\rangle.$$

The fully polarized state with total spin NS , with degeneracy $NS(NS+1)$.

low energy excitations



method 1: equation of motion

$$\dot{S}_{i,x} = \frac{J}{i\hbar} [S_{i,x}, H] = \frac{J}{i\hbar} \sum_{\vec{\delta}} i [S_{i,z} S_{i+\vec{\delta},y} - S_{i,y} \cdot S_{i+\vec{\delta},z}]$$

$$\text{or } \dot{\vec{S}_i} = -\frac{J}{\hbar} \sum_{\vec{\delta}} \vec{S}_i \times \vec{S}_{i+\vec{\delta}}$$

$$\frac{d}{dt} [S_i^z] = J \frac{i}{\hbar} \sum_{\vec{\delta}} [S_i^z \cdot S_{i+\vec{\delta}}^- - S_i^- \cdot S_i^z] \quad \text{set } \langle S_i^z \rangle \rightarrow S$$

$$\rightarrow \frac{i}{\hbar} S \sum_{\vec{\delta}} [S_{i+\vec{\delta}}^- - S_i^-] \quad \text{do Fourier transform } S_i^- = S^- e^{i(\vec{k}r - \omega t)}$$

$$\Rightarrow \hbar \omega = -J \sum_{\vec{\delta}} [e^{i\vec{k}\vec{\delta}} - 1] = 2J \sum_{\vec{\delta}=\hat{x},\hat{y},\hat{z}} (1 - \cos \vec{k} \cdot \vec{\delta})$$

$$\text{at } \vec{k} \rightarrow 0 \Rightarrow \omega_k = 2 \cdot \frac{J}{a} (ka)^2 = J \cdot (ka)^2.$$

§ Holstein-Primakoff transformation

$$S^z = S - a^\dagger a, \quad S^+ = \sqrt{2S-a^\dagger a} \quad a \quad S^- = a^\dagger \sqrt{2S-a^\dagger a}$$

$a^\dagger a$ describes the derivation from the classic background. ($[a, a^\dagger] = 1$).

but $a^\dagger a = 0, 1, \dots S$.

$$[S^+, S^z] = -S^+, \quad [S^-, S^z] = S^-, \quad [S^+, S^-] = 2S^z$$

Ex: check H-p representation satisfies the commutation relation.

$$\text{For state } |m\rangle, \quad a^\dagger a |m\rangle = (S-m) |m\rangle.$$

plug in the H-p representation, and keep to quadratic level

$$S^z = S - \hat{a}\hat{a}^\dagger, \quad S^+ = \sqrt{2S} \hat{a}, \quad S^- = \hat{a}^\dagger \sqrt{2S}$$

$$\Rightarrow H = -J \sum_{i,j} [(S - \hat{a}_i^\dagger \hat{a}_i)(S - \hat{a}_j^\dagger \hat{a}_j) + \frac{1}{2}(2S)(\hat{a}_i^\dagger \hat{a}_j + \hat{a}_j^\dagger \hat{a}_i)] \\ = -\frac{NzJS^2}{2} + zJS \sum_e \hat{a}_e^\dagger \hat{a}_e - JS \sum_{i,\delta} (\hat{a}_i^\dagger \hat{a}_{i+\delta} + h.c.)$$

$$\rightarrow \text{Fourier transform} \Rightarrow a_{\mathbf{k}} = \frac{1}{\sqrt{N}} \sum_i e^{i\mathbf{k} \cdot \mathbf{R}_i} a_{\mathbf{k}}$$

$$\Rightarrow H = -NZJS^2 + \sum_{\mathbf{k}} \omega_{\mathbf{k}} \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}, \quad \omega_{\mathbf{k}} = 2JS \left[1 - \cos \frac{\mathbf{k} \cdot \vec{r}}{k} \right] \rightarrow JS(ka)^2$$

• Bloch's law

$$\text{the } -M(T) + M(0) = g\mu_B \sum_e \hat{a}_e^\dagger \hat{a}_e = g\mu_B N \int \frac{d^3 k}{(2\pi)^3} \cdot \frac{1}{e^{\frac{\hbar\omega_{\mathbf{k}}}{kT}} - 1}$$

$$\frac{M(0) - M(T)}{g\mu_B N} \approx \int_0^{\infty} \frac{\frac{k^2 dk}{(2\pi)^3}}{e^{\frac{JSk^2/k_B T}{B}} - 1} \quad \text{set } x = \frac{JSk^2/a^2}{k_B T} \\ \Rightarrow k \propto (xT)^{1/2}$$

$$\propto \int_0^{\frac{N^2}{T}} dx^{1/2} \frac{dx}{e^x - 1} (T)^{3/2} \rightarrow T^{3/2} \int_0^{+\infty} dx \frac{x^{1/2}}{e^x - 1}$$

$$\boxed{\Delta M \propto T^{3/2}}$$

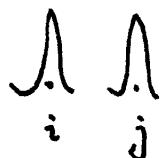
c.f. Curie-Weiss result $\Delta M(T) = \frac{1}{S} e^{-\frac{3T_c}{(S+1)T}}$, which doesn't consider the collective effect.

$$\text{specific heat: } E = \int_0^{\infty} \frac{k^2 dk}{(2\pi)^3} \frac{JSk^2}{e^{\frac{JSk^2/k_B T}{B}} - 1} \approx \int_0^{+\infty} dx^{1/2} \frac{x^2}{e^x - 1} (T)^{5/2}$$

$$\Rightarrow C_V = \frac{dE}{dT} \propto T^{3/2}$$

§: Super-exchange and anti-ferro Heisenberg model

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The wavefunction overlap ϕ_i and ϕ_j is negligible, thus no direct exchange, but super-exchange can occur through virtual hopping process.

$$H = H_0 + H_{\text{int}} ; \quad H_0 = -t(C_{i\sigma}^{\dagger}C_{j\sigma} + h.c.), \quad H_{\text{int}} = U \sum_i n_{i\uparrow} n_{i\downarrow}$$

triplet → no exchange : forbidden by Pauli's exclusion principle.

Singlet → or → $\Delta E = -\frac{4t^2}{U}$

super-exchange reduce kinetic energy $\Delta H = \frac{\langle f | H_0 | m \rangle \langle m | H_0 | i \rangle}{E_0 - E_m}$

$$H = J \sum_{\langle ij \rangle} \{ \vec{S}_i \cdot \vec{S}_j - \frac{1}{4} n_i n_j \}, \quad J = \frac{4t^2}{U}$$

FM: metal, direct exchange, spin polarize to reduce Coulomb interaction.

AFM: insulator, super-exchange. to reduce kinetic energy.

important feature: on a bipartite, the classic Neel state is NOT the even ground state. Classically, FM & AFM Heisenberg models are the same by doing $\vec{S} \rightarrow -\vec{S}$ on one sublattice. But quantum mechanically, $-\vec{S}$ does not obey the commutation relation of spin, thus AFM and FM are not the same.

Let us start from the classic Néel state $\uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \cdots$
 $\downarrow \uparrow \downarrow \uparrow \downarrow \uparrow \downarrow \cdots$

$$\text{The } \vec{S}_i \cdot \vec{S}_{i+1} = S_{iz} \cdot S_{i+1,z} + \frac{1}{2} (S_{i+} \cdot S_{i+1,-} + S_{i-} \cdot S_{i+1,+})$$

$$\vec{S}_i \cdot \vec{S}_{i+1} | \cdots \downarrow \uparrow \cdots \rangle = -\frac{1}{4} | \cdots \downarrow \uparrow \cdots \rangle + \frac{1}{2} | \cdots \uparrow \downarrow \cdots \rangle, \Rightarrow$$

Néel state is not even the eigenstate.

§ Modified - HP boson method / Bogoliubov transformation

On sublattice A, we define $S_{A+} = \sqrt{2S-a^*a} a$, $S_{A-} = a^* \sqrt{2S-a^*a}$, $S_z = S - aa^*$
 B, we define $S_{B-} = \sqrt{2S-b^*b} b$, $S_{B+} = b^* \sqrt{2S-b^*b}$, $S_z = bb^* - S$.

i.e. a^*a and b^*b describe the deviation from the Néel config.

plug in the AF Heisenberg model, and keep to quadratic level, \Rightarrow

$$H = -\frac{NzJS^2}{2} + zSJ \left(\sum_i a_i^* a_i + \sum_j b_j^* b_j \right) + JS \sum_{\langle i,j \rangle} (a_i^* b_j^* + a_i b_j)$$

where a and b are defined on the two sublattices, respectively.

$$a_i = \left(-\frac{2}{N}\right)^{1/2} \sum_k e^{ik \cdot R_i} a_k, \quad b_i = \left(\frac{2}{N}\right)^{1/2} \sum_k e^{ik \cdot R_i} b_k$$

$$\frac{H - H_{\text{Néel}}}{z \cdot SJ} = \sum_k a_k^* a_k + b_k^* b_k + \sum_k (a_k^* b_{-k}^* + a_k b_{-k}) \gamma_k$$

$$\gamma_k = \frac{1}{z} \sum_{\vec{\sigma}} e^{i \vec{k} \cdot \vec{\sigma}} = \frac{1}{3} [c_u s k_x + c_w s k_y + c_w s k_z] \text{ for cubic lattice}$$

$$\frac{H - H_{\text{Heel}}}{Z \cdot SJ} = \sum_k (a_k^+ b_{-k}) \begin{pmatrix} 1 & \gamma_k \\ \gamma_k & 1 \end{pmatrix} \begin{pmatrix} a_k \\ b_{-k}^+ \end{pmatrix} - \frac{N}{2}$$

$$\Rightarrow H = -\frac{N}{2} J S(S+1) + \underbrace{\sum_k (a_k^+ b_{-k})}_{ZJS} \begin{pmatrix} 1 & \gamma_k \\ \gamma_k & 1 \end{pmatrix} \begin{pmatrix} a_k \\ b_{-k}^+ \end{pmatrix}$$

define $\begin{pmatrix} a_k \\ b_{-k}^+ \end{pmatrix} = \begin{pmatrix} \text{ch}\theta_k & -\text{sh}\theta_k \\ -\text{sh}\theta_k & \text{ch}\theta_k \end{pmatrix} \begin{pmatrix} a_k \\ \beta_{-k}^+ \end{pmatrix}$, which keep bosons commutation relation

$$\rightarrow H_k = \sum_k (a_k^+ \beta_{-k}) \begin{pmatrix} \text{ch}\theta_k & -\text{sh}\theta_k \\ -\text{sh}\theta_k & \text{ch}\theta_k \end{pmatrix} \begin{pmatrix} 1 & \gamma_k \\ \gamma_k & 1 \end{pmatrix} \begin{pmatrix} \text{ch}\theta_k & -\text{sh}\theta_k \\ -\text{sh}\theta_k & \text{ch}\theta_k \end{pmatrix} \begin{pmatrix} a_k \\ \beta_{-k}^+ \end{pmatrix}$$

$$= \sum_k (a_k^+ \beta_{-k}) \begin{bmatrix} \text{ch}^2\theta_k - \gamma_k \text{sh}^2\theta_k, & -\text{sh}^2\theta_k + \gamma_k \text{ch}^2\theta_k \\ -\text{sh}^2\theta_k + \gamma_k \text{ch}^2\theta_k, & \text{ch}^2\theta_k - \gamma_k \text{sh}^2\theta_k \end{bmatrix} \begin{pmatrix} a_k \\ \beta_{-k}^+ \end{pmatrix}$$

$$\text{Set } \tanh 2\theta_k = \gamma_k \Rightarrow \text{ch}^2\theta_k = \frac{1}{\sqrt{1-\gamma_k^2}} \quad \text{sh}^2\theta_k = \frac{\gamma_k}{\sqrt{1-\gamma_k^2}}$$

$$\rightarrow H_k = \sum_k (a_k^+, \beta_{-k}) \begin{bmatrix} \gamma_k & \\ & \gamma_k \end{bmatrix} \begin{pmatrix} a_k \\ \beta_{-k}^+ \end{pmatrix} = \sum_k \hbar \omega_k \{(a_k^+ a_k + \frac{1}{2}) + (\beta_{-k}^+ \beta_{-k} + \frac{1}{2})\}$$

$$\rightarrow \omega_k = Z |J| S \sqrt{1 - \gamma_k^2}, \quad \text{in the limit of } k \rightarrow 0$$

$$\begin{aligned} 1 - \gamma_k^2 &= 1 - \left\{ \frac{1}{3} \left[3 - \frac{1}{2} \left(\frac{k^2}{z} \right) \right] \right\}^2 = \left[1 - \left(1 - \frac{k^2}{z} \right)^2 \right] = 1 - \left(1 - \frac{2k^2}{z} \right) \\ &= \frac{2k^2}{z} \end{aligned}$$

$$\Rightarrow \omega_k = J \cdot S \sqrt{2z} |k|, \text{ which is linear.}$$

§ low-T specific heat

$$U = \int \frac{k^2 dk}{(2\pi)^3} \frac{\hbar \omega_k}{e^{\hbar \omega_k / k_B T} - 1} \propto T^4 \int \frac{x^3 dx}{e^x - 1}$$

$$C_V = \frac{\partial U}{\partial T} \propto T^3$$

§: zero-point motion

$$S - \langle S_A \rangle = \frac{1}{N/2} \sum_i a_i^\dagger a_i = \frac{2}{N} \sum'_K a_K^\dagger a_K = \frac{2}{N} \sum'_K \sin^2 \theta_K \langle \beta_K \beta_K^\dagger \rangle, \sum'_K \text{ sum over half BZ.}$$

$$= \sum_K -\frac{1}{2} \left[\frac{1}{N_1 - \delta_K^2} - 1 \right],$$